# Planar Spanners and Approximate Shortest Path Queries among Obstacles in the Plane

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Abstract. We consider the problem of finding an obstacle-avoiding path between two points s and t in the plane, amidst a set of disjoint polygonal obstacles with a total of n vertices. The length of this path should be within a small constant factor c of the length of the shortest possible obstacle-avoiding s-t path measured in the  $L_p$ -metric. Such an approximate shortest path is called a c-short path, or a short path with stretch factor c. The goal is to preprocess the obstacle-scattered plane by creating an efficient data structure that enables fast reporting of a c-short path (or its length). In this paper, we give a family of algorithms for the above problem that achieve an interesting trade-off between the stretch factor, the query time and the preprocessing bounds. Our main results are algorithms that achieve logarithmic length query time, after subquadratic time and space preprocessing.

## 1 Introduction

Given a set of disjoint polygonal obstacles with a total of n vertices in the plane, the (geometric) shortest paths problem is that of finding a path between two points s and t (henceforth shortest s-t path) in the plane that does not intersect the interior of any obstacle, and that has the minimum length measured in the

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 $L_p$ -metric for some integer  $p, 1 \leq p \leq \infty$ . To be more precise, such a shortest path consists of straight-line segments, called *edges*, and the *length* of each edge is equal to the  $L_p$ -distance between its end points. The *length* of the entire path is defined as the sum of the lengths of its edges. Computing shortest paths is a fundamental topic in computational geometry because shortest paths problems appear in many application areas, such as robotics and VLSI design, and play vital roles in solving various geometric problems.

In this paper, we consider shortest paths problems in the plane, for a general  $L_p$ -metric. Natural special cases are the  $L_1$  and  $L_2$  (Euclidean) metrics. Both these metrics have been extensively studied, since they are important in practice and have a lot of applications. Note that when we refer to a path in the  $L_1$ -metric, we do not mean that this path uses only line segments that are parallel to a coordinate axis, but that the lengths of the segments of the paths are measured in the  $L_1$  metric. There are several different versions of the shortest paths problem, depending on whether we ask for a shortest path between any two obstacle vertices s and t, or whether s and t can be arbitrary points in the obstacle-free space. In addition, we are often satisfied in many applications with an obstacle-avoiding path that is not necessarily shortest, but whose length is within a small constant factor c of the length of a shortest path. Such an approximate shortest path is called a c-short path, and the constant c is called the stretch factor of the path.

The first problem considered in this paper is that of answering short path queries in the  $L_p$  metric: Given a set of disjoint polygonal obstacles in the plane, construct an efficient data structure that enables a fast report of an *s*-*t c*-short path (or its length) between any pair of query points *s* and *t* (regardless whether they are arbitrary points or obstacle vertices).

Previous results for this problem are as follows. Clarkson [13] gave an algorithm for  $L_2$   $(1 + \epsilon)$ -short path queries among polygonal obstacles, for any positive  $\epsilon$ . His algorithm uses O(n) space, and answers a short path query in  $O(n \log n)$  time. As was indicated in [13] and shown in [5], it is possible to extend Clarkson's result as follows. In  $O(n^2 \log n)$  time, an  $O(n^2)$  space data structure can be constructed such that a length query can be answered in  $O(\log n)$  time. Reporting an actual  $(1 + \epsilon)$ -short path takes  $O(\log n + L)$  time, where L is the number of edges of the path. Chen [5] presented an efficient data structure for  $L_2$  (6 +  $\epsilon$ )-short path queries among polygonal obstacles. His data structure requires  $O(n \log n)$  space and  $O(n^{3/2}/\sqrt{\log n})$  time to construct, and supports a time of  $O(\log n)$  for a length query and an additional O(L) time for reporting an actual path. Results on some special cases of short path queries can be found in [6]. In contrast, the (exact) shortest path queries problem typically takes more time and space to solve. There are several results on  $L_2$  shortest path queries in a simple polygon [12, 20, 21, 22] and  $L_1$  shortest path queries among various types of obstacles [3, 4, 7, 16]. Note that for even the seemingly simpler case of  $L_1$  shortest path queries among multiple obstacles in the plane, all known data structures supporting a polylogarithmic length query time require  $\Omega(n^2)$  space and time to construct.

In this paper, we improve all of the above results for the short path queries problem. We give a family of algorithms whose bounds are summarized in Table 1.

Short paths are closely related to the notion of a spanner, see e.g. [13, 5]. Given a set S of n points, a  $\tau$ -spanner is a graph having the points of S as its vertices, such that for any two points s and t of S, there is an s-t path in the graph of length at most  $\tau$  times the  $L_p$ -distance between s and t. The problem of constructing spanners has attracted a considerable amount of attention recently (see e.g. [1] and the references given there). In particular, the main goal is to construct spanners that contain a linear number of edges (and that possibly satisfy some other constraints [1]).

Stretch factor	Preprocessing Time	Space	Query Time
$c + \epsilon$	$O(n^2/\sqrt{r})$	$O(n^2/\sqrt{r})$	$O(\log n + \sqrt{r})$
$c + \epsilon$	$O(n \log n)$	O(n)	O(n)
$2c + \epsilon$	$O(n^{3/2})$	$O(n^{3/2})$	$O(\log n)$
$3c + \epsilon$	$O(n^{3/2}/\sqrt{\log n})$	$O(n \log n)$	$O(\log n)$

TABLE 1: Our results for the short path query problems. For the  $L_1$ -metric c = 1, for the Euclidean metric  $c = \sqrt{2}$ , and in general for the  $L_p$ -metric  $c = 2^{(p-1)/p}$ . The parameter  $\epsilon$  is an arbitrarily small positive constant, whereas r is an arbitrary integer, such that  $1 \leq r \leq n$ . The actual short path can be output in an additional O(L) time, where L is the number of edges of the reported path.

The second problem considered in this paper is the following planar spanner problem. Given a set of disjoint polygonal obstacles in the plane, construct a graph G = (V, E) such that: (i) the set of obstacle vertices S is a subset of V; (ii) the edges of G are straight-line segments that do not intersect the interior of any obstacle; (iii) for any two obstacle vertices  $s, t \in S$ , there is an s-t path in G which is a  $\tau$ -short path; and (iv) G is planar. If V = S, then we call G a planar  $L_p$   $\tau$ -spanner. Otherwise, if G contains additional vertices (called Steiner vertices), we call G a planar Steiner  $L_p$   $\tau$ -spanner. The real number  $\tau \geq 1$ , representing the stretch factor of short paths, is called the stretch factor of the spanner. There are several algorithms that construct planar  $L_2$   $\tau$ -spanners in  $O(n \log n)$  time [9, 10, 15]. The best known stretch factor is  $\tau = 2$  [9, 10]. We are not aware of any previous spanners specifically constructed for the  $L_1$  metric. Regarding the planar Steiner problem, no previous results are known in any metric.

We present the following new results for the planar spanner problem.

- We prove (Section 2) that a planar  $L_1$  2-spanner among polygonal obstacles can be constructed in  $O(n \log n)$  time without using Steiner vertices. This result is not only optimal w.r.t. time, but also w.r.t. stretch factor: we show that there are sets of polygonal obstacles in the plane such that any planar  $L_1 \tau$ -spanner which does not use Steiner vertices has a stretch factor  $\tau \geq 2$ .
- In view of the above result, if we want a planar  $L_1$  spanner with a stretch factor less than 2, then we have to use Steiner vertices. In this case, we

prove (Section 3) that, for any  $\epsilon > 0$ , a planar Steiner  $L_1$   $(1 + \epsilon)$ -spanner with O(n) Steiner vertices can be constructed in  $O(n \log n)$  time. In fact, the same construction produces a planar Steiner  $L_p$   $(2^{(p-1)/p} + \epsilon)$ -spanner with O(n) Steiner vertices. (The constants that appear in the Big-O bounds depend on  $\epsilon$ .)

The first result on constructing a planar  $L_1$  2-spanner is based on a constrained Delaunay triangulation [8] that uses a special convex distance function. This convex distance function is defined by a carefully chosen equilateral triangle in the  $L_1$  metric whose shape is somewhat different from the standard equilateral triangles. We also construct examples to show that 2 is the lower bound of the stretch factor achieved by any planar  $L_1$  spanner without using Steiner vertices.

The approach used for the second result is based on an algorithm of Arya et al. [2], that, given a set of points, constructs a subdivision of the plane into boxes. We first build this subdivision on the set of obstacle vertices. Then, each of the resulting O(n) boxes is "gridded" in an appropriate way. Each box gives a constant number of Steiner vertices. Superimposing the obstacles onto this subdivision gives a planar Steiner  $L_1$   $(1 + \epsilon)$ -spanner, which may have  $\Omega(n^2)$ Steiner vertices. We show, however, that by carefully merging regions of the superimposed subdivision, we get a planar  $(1 + \epsilon)$ -spanner with only a linear number of Steiner vertices. But constructing the spanner in this way would still take  $\Omega(n^2)$  time. Fortunately, our algorithm avoids the costly merging procedure and manages to construct the spanner in only  $O(n \log n)$  time.

Our results for short path queries given in Table 1 are based on the above results on planar spanners, and on the following two graph-theoretic results (Section 4) which are of independent interest:

- I. Given an *n*-vertex (directed or undirected) planar graph G with nonnegative real edge weights, we can perform, for any  $1 \le r \le n$ , an  $O(n^2/\sqrt{r})$  time and space preprocessing of G such that the length of a shortest path in G between any two vertices can be found in  $O(\sqrt{r})$  time.
- II. Given an *n*-vertex undirected planar graph G with nonnegative real edge weights, we can perform an  $O(n^{3/2})$  time and space preprocessing of G such that the length of a 2-short path in G between any two vertices can be found in  $O(\log n)$  time.

We can also output the actual shortest (or 2-short) path between the query vertices in an additional O(L) time, where L is the number of edges of the reported path. Our algorithms for planar graphs improve (in one or another way) upon known previous results (see e.g. [5, 14, 18]).

# 2 Planar $L_1$ spanners without using Steiner vertices

We first prove that planar spanners (without Steiner vertices) for  $L_1$  shortest paths can achieve a stretch factor no better than 2. Note that it is sufficient to show this fact on the simpler case with just point-obstacles in the plane. **Lemma 1.** There exist point sets in the plane such that any planar  $L_1$  spanner that is a subgraph of the complete graph has a stretch factor  $\geq 2$ .

**Proof.** We first consider a simple case: A unit diamond in the plane with points a, b, c, and d as its vertices (i.e., the  $L_1$  distance from each vertex to any of the other vertices of the unit diamond is 1). Let  $K_4$  be a complete undirected graph for vertices a, b, c, and d (see Figure 1a). It is clear that  $K_4$ , in which we let each edge have a unit weight, is the complete graph modeling the exact  $L_1$  shortest paths among the four vertices. Suppose we obtain a spanner of  $K_4$  by removing an arbitrary edge (say, the edge (a, b)). Then the shortest path from a to b in this spanner becomes 2. Therefore, no proper subgraph of  $K_4$  can approximate the shortest paths with a stretch factor better than 2. Consequently, the only spanner of  $K_4$  with a stretch factor less than 2 is  $K_4$  itself.



Fig. 1. (a) A  $K_4$  on a unit diamond; (b) a diamond grid with n points.

Although the  $K_4$  is a planar graph (Figure 1a), it plays a critical role in our following argument. Consider a set of n points forming a  $\sqrt{n} \times \sqrt{n}$  "diamond grid" in the plane (see Figure 1b). A planar graph on these vertices has at most 3n - 6 edges, while one can show that a larger number of edges  $(4n - 6\sqrt{n} + 2)$  are required to make each unit diamond have stretch factor less than 2.

The idea to efficiently construct a spanner with stretch factor exactly 2 is to use a type of the Constrained Delaunay Triangulation (CDT) using a distance based on a triangle [11, 8, 9]. The trick here is to find the right triangle-shape and the right triangle-orientation. Standard equilateral triangles that worked for the  $L_2$  case, as described in [9, 10], fail to yield the desired planar  $L_1$  2-spanner, so we turn to the following triangle shape: An isosceles triangle as depicted in Figure 2. Note that this triangle fits nicely within an  $L_1$  circle (i.e., a unit diamond). In a sense, this is still an equilateral triangle: The  $L_1$  length of the base is equal to 1 and each of the other two sides of the triangle also has its  $L_1$ length equal to 1. We can prove the following.

**Theorem 2.** Let S be the set of obstacle vertices for any set of disjoint, polygonal obstacles in the plane with |S| = n. There is a planar subgraph of the visibility graph, modeling the  $L_1$  distances among the points in S, whose stretch factor is exactly 2 (i.e., an  $L_1$  2-spanner). Furthermore, such a planar  $L_1$  2-spanner can be constructed optimally in  $O(n \log n)$  time.



Fig. 2. Our distance-defining isosceles triangle and a CDT based on it. The dotted triangle represents an "empty circle."

#### 3 Planar $L_1$ spanners with Steiner vertices

In this section we prove the following theorem.

**Theorem 3.** Given a collection of disjoint polygonal obstacles on the plane with n vertices and any  $\epsilon > 0$ , a planar  $L_1$   $(1 + \epsilon)$ -spanner with  $O(n/\epsilon^2)$  Steiner vertices can be constructed in  $O(n \log n + n/\epsilon^2)$  time.

#### 3.1 A Steiner spanner without obstacles

Let us start with the simpler problem of constructing a Steiner spanner for a set S of n points without any obstacles. (Note: in the rest of the section, the default metric is  $L_1$ ). We frequently make use of a procedure called *interval*. Given a line segment xy and r > 0, *interval*(xy, r) starts from x and introduces Steiner vertices along xy such that the segment is broken into intervals of length r, except possibly for the last interval.

Arya *et al.* [2] describe a certain planar subdivision for solving nearest neighbor search problems, and we find it useful in constructing our Steiner spanner. This subdivision is a planar graph where each face is a connected region called a *cell*. The shapes of the cells have special significance, and are best described through the concept of *boxes*. A box is an axis-parallel rectangle such that the ratio of its longest side to its shortest side is at most 2. A cell is either a box (called a *box cell*), or the set-theoretic difference of two boxes, one contained within the other (called a *doughnut cell*). Furthermore, each doughnut cell is restricted as follows. For each side e' of the inner box, the orthogonal distance between e' and the corresponding side of the outer box is either zero, or greater than or equal to the length of e'. Finally, a box cell contains at most one point of S, whereas a doughnut cell contains no points of S. The following lemma can be derived from the results in [2].

**Lemma 4.** Given a set S of n points, let B be any box containing them. Then, in  $O(n \log n)$  time a subdivision D of B can be constructed such that, each cell of D is either a box cell or a doughnut cell, and the number of cells is O(n).

In constructing our spanner, we first construct a planar subdivision of any box B containing S, as in the above lemma. We then augment the graph of the subdivision by adding new Steiner vertices and edges within each cell as follows. Consider any box/doughnut cell. For every boundary edge xy, first perform  $interval(xy, \epsilon l)$ , where l is the length of shortest side of the box to which xy belongs. Then, from x, y and each of the Steiner vertices generated, shoot rays orthogonal to xy and directed into the interior of the cell, until they hit the cell's boundary, possibly creating new Steiner vertices. This process introduces  $O(1/\epsilon)$  vertical and horizontal rays. If we further break the rays up by computing their intersections, we get a "grid" with  $O(1/\epsilon^2)$  Steiner vertices and edges per cell. Note that the grid inside a doughnut cell is somewhat more complex than the one inside a box cell.

The resulting graph G is clearly planar and has  $O(n/\epsilon^2)$  Steiner vertices. We now show that its stretch factor is  $(1 + \epsilon)$ . Consider any path on the plane between points u and v of S, say P(u, v). Let P(u, v) be divided into the pieces  $P_1, P_2, \ldots, P_k$ , where each  $P_i$  is a maximal portion confined within a cell. Consider a particular  $P_i$  and let its end points be a and b. Note that a and b need not necessarily be vertices of G, although they lie on edges of G. We show that there is a path  $P'_i$  from a to b that "stays on" G, such that  $P'_i$  is at most  $(1 + \epsilon)$ times longer that  $P_i$ .

Consider one possible case. Suppose  $2 \le i \le k-1$  and  $P_i$  is within a doughnut cell (with outer corners x, y, z and w as seen clockwise from top-left, corresponding inner corners x', y', z' and w', length of shortest side of outer box l, and length of shortest side of inner box l'). Suppose a is on xy and b is on x'y'. To construct  $P'_i$  we go from a along xy until we reach a Steiner vertex whose horizontal separation from b is within  $\epsilon l'/2$  (such a Steiner vertex has to exist because of the upward ray shots from x'y'), then go down vertically until we reach x'y', and finally go horizontally until we reach b. It is easily seen that the length of  $P'_i$  is at most  $(1 + \epsilon)$  times the  $L_1$  distance between a and b.

A full case analysis (which we omit) proves the same for all possible types of  $P_i$ . If we merge the  $P'_i$ s and eliminate any overlaps, we get a path P'(u, v)composed of whole edges of G, which is at most  $(1+\epsilon)$  times longer than P(u, v).

The time taken to construct G is  $O(n \log n + n/\epsilon^2)$ , i.e. the time taken for the planar subdivision as well as the time taken to grid each cell.

## 3.2 A Steiner spanner amidst obstacles

Our solution for obstacles is similar, except that we use a more complicated subdivision. Let us treat each polygonal obstacle as a collection of *edge obstacles* (i.e. the boundary edges). Once the spanner has been computed, we can easily recognize and discard the portions that lie within the interiors of the original obstacles.

Let E be the given edge obstacles and let S be the set of their end points. Let B be a box containing them. The obstacles induce a natural subdivision of B into a single connected region where each obstacle edge is a "hole". Let this subdivision be D. We also compute another subdivision D' of B for the points of S as in Lemma 4. Let S'(E') be the vertices (edges) of D'. Define the subdivision  $D_1$  as the superimposition of D on D'. The vertices of  $D_1$  will be  $S \cup S'$ , and additional vertices created by intersecting edges of D and D'.



**Fig. 3.** (a) Red regions in  $D_1$  (b) Blue regions in  $D_1$ 



Fig. 4. A ladder in  $D_1$ 

The regions of  $D_1$  can be classified into two types. A red region is a quadrilateral such that none of the four vertices belong to  $S \cup S'$  (see Figure 3(a)). Note that the number of red regions may be  $\Omega(n^2)$ . The rest of the regions are blue regions. Note that blue regions may or may not have boundary vertices from  $S \cup S'$ . As Figure 3(b) shows, the maximum number of boundary edges of a blue region can be 16. Define the red graph of  $D_1$ , as follows: Create a vertex for each red region; there is an edge between two vertices if the corresponding red regions share a boundary edge that is part of a box/doughnut cell. Each connected component of this graph is a simple chain, and all the red regions of the chain lie between the same two obstacle edges (Figure 4). The configuration resembles a ladder, with the obstacle edges forming the two sides, and parts of box/doughnut cell edges forming the rungs. Of course, some rungs may be horizontal while others may be vertical. Now collapse every ladder in  $D_1$  (i.e., eliminate the intermediate rungs), yielding a single quadrilateral red region per ladder. Call the resulting subdivision  $D_2$ . ( $D_2$  has the same blue regions as  $D_1$ .)

**Lemma 5.** The subdivision  $D_2$  has O(n) vertices, edges and regions.

**Proof.** We omit the details in this version. The idea is to show that there are O(n) extremal rungs of ladders remaining in  $D_2$ . This is done by a charging technique, where each rung is charged to a vertex in  $S \cup S'$  "just outside" its ladder. We can show that in this way, each vertex in  $S \cup S'$  is charged at most a constant number of times.

A crucial result of our paper (to be presented later) is an efficient and nontrivial algorithm for constructing  $D_2$ . For the moment, assume we have constructed  $D_2$ . We discard the red/blue regions that lie within obstacles, then grid the remaining regions as follows. For every blue/red region, for every boundary edge xy that is part of a box/doughnut cell, first perform *interval*( $xy, \epsilon l$ ), where l is the length of the shortest side of the box to which xy belongs. Then, from x, y and each of the Steiner vertices generated, shoot rays orthogonal to xyand directed into the interior of the region, until they hit the region's boundary, possibly creating more Steiner vertices. Finally, compute the intersections of the horizontal and vertical rays, thus constructing a  $O(1/\epsilon^2)$  grid for the region.

Clearly the eventual graph G is planar, and has  $O(n/\epsilon^2)$  Steiner vertices. Although some edges may not be axis-parallel, the length of each is defined in the  $L_1$  metric. The proof that its stretch factor is  $(1+\epsilon)$  is structurally similar to the earlier proof without obstacles; essentially we show that in a red/blue region, between every a and b on boundary edges that are parts of box/doughnut cells, there is an  $(1 + \epsilon)$ -short path that "stays on" G. The details are omitted.

Finally, we outline the efficient algorithm for constructing  $D_2$ . Clearly a naive algorithm which first constructs  $D_1$  and then collapses ladders will take  $\Omega(n^2)$ time. Our algorithm avoids constructing  $D_1$ . Let  $E'_h$  and  $E'_v$  be the set of horizontal and vertical edges of D'. Define  $D_{1h}$   $(D_{1v})$  as the superimposition of D with only  $E'_h$   $(E'_v)$ . As was done for  $D_1$ , define ladders for  $D_{1h}$   $(D_{1v})$  (note that in  $D_{1h}$   $(D_{1v})$  the rungs are horizontal (vertical)). Define  $D_{2h}$   $(D_{2v})$  as  $D_{1h}$  $(D_{1v})$ , but with all ladders collapsed. Define  $D_3$  as the superimposition of  $D_{2h}$ on  $D_{2v}$ . Define ladders for  $D_3$ . It can be shown that each ladder in  $D_3$  has at most four rungs, and that  $D_2$  is  $D_3$  with all ladders collapsed.

The algorithm consists of four stages. In the first and second stages, we construct  $D_{2h}$  and  $D_{2v}$  respectively. In the third stage we construct  $D_3$  (easy to do since the horizontal edges of  $D_{2h}$  do not intersect the vertical edges of  $D_{2v}$ ). In the last stage we construct  $D_2$  (easy to do by collapsing the ladders of  $D_3$ ).

We give details of the first stage (the second stage is similar). It is implemented by an upward plane sweep which discovers the top rungs of all ladders in  $D_{2h}$ , followed by an identical downward sweep that discovers the bottom rungs.

Consider the upward sweep. At any instant, the sweep line intersects a subset of the obstacle edges, where a pair of adjacent edges in the left-to-right order define an interval on the sweep line. Consider any interval I = (l, r) where l and r are two obstacle edges. Let h be the highest horizontal edge of  $E'_h$  (but no higher than the sweep line) which intersects both l and r. If no such edge exists, or if the region between h, l, r and the sweep line contains a point of  $S \cup S'$ , then I is a non-ladder interval. Otherwise I is a ladder interval, and its current top rung (denoted as top(I)) is defined to be h. It can be shown that if two ladder intervals are adjacent, then their current top rungs are the same.

We maintain the obstacle edges intersected by the sweep line as a balanced binary search tree T. The innovative idea used in our algorithm is that the additional properties of the intervals such as ladder/non-ladder classification and current top rung information, are not maintained in T. Instead, consider another tree T' which contains a subset of the obstacle edges intersected by the sweep line. Each interval M defined by these edges on the sweep line is either an original non-ladder interval, or is the union of a maximal contiguous sequence of original ladder intervals, called a merged-ladder interval. Each merged-ladder interval is associated with a horizontal edge of  $E'_h$  called top(M). The above information is maintained with each interval of T'.

We update T and T' as follows. Consider the event where the sweep line encounters an edge of  $E'_h$ , say h = uv. We search for u and v in T and find the intervals  $I_u = (l_u, r_u)$  and  $I_v = (l_v, r_v)$ . We search for u and v in T' and find the intervals  $M_u = (L_u, R_u)$  and  $M_v = (L_v, R_v)$ . If  $M_u$  is a merged-ladder, we classify  $I_u$  as ladder, otherwise as non-ladder. We similarly classify  $I_v$ . If  $I_u$  is ladder, we output the part of  $top(M_u)$  between the obstacle edges  $l_u$  and  $r_u$ . Similarly if  $I_v$  is ladder, we output the part of  $top(M_v)$  between the obstacle edges  $l_v$  and  $r_v$ . Let the sequence of edges in T' be  $\alpha L_u R_u \beta L_v R_v \gamma$  where  $\alpha, \beta$ and  $\gamma$  represent subsequences of edges. Using a constant number of balanced binary search tree operations (search/insert/delete/split/merge), we can achieve a new tree T' which has the sequence  $\alpha L_u l_u r_u l_v r_v R_v \gamma$ . In this tree, the intervals  $(L_u, l_u)$  and  $(r_v, R_v)$ , if non-zero, are classified as merged-ladder with current top rungs the same as  $top(M_u)$  and  $top(M_v)$  respectively. The intervals  $(l_u, r_u)$ and  $(l_v, r_v)$  are classified as non-ladder. Finally, the interval  $(r_u, l_v)$ , if non-zero, is classified as merge-ladder with current top rung h.

In this version we omit discussing how other events are handled (e.g. encountering top/bottom end points of obstacle edges), but claim that each requires at most a constant number of balanced binary search tree operations. Since each tree operation takes  $O(\log n)$  time, the sweep takes  $O(n \log n)$  time. Thus, the overall algorithm for constructing the Steiner spanner takes  $O(n \log n + n/\epsilon^2)$ time.

# 4 All-pairs short and shortest paths in planar graphs

Let G = (V(G), E(G)) be a graph with nonnegative real edge-weights. The allpairs shortest paths (APSP) problem asks for finding shortest paths between every pair of vertices in G, while the single-source shortest paths (SSSP) (or shortest path tree) problem asks for shortest paths between a specific vertex and all other vertices in G. For  $s, t \in V(G)$ , we will call the length of a shortest s-t path in G the distance between them, and the length of a c-short s-t path in G their c-approximate distance. For a subgraph H of G and vertices  $s, t \in V(H)$ , we will denote the distance from s to t in H by  $\delta_H(s, t)$ . For  $s, t \in V(G)$ , we will denote their distance in G simply by  $\delta(s, t)$ . A separator  $S_G$  of an n-vertex planar graph G is a set of vertices whose removal divides G into two subgraphs  $G_1$  and  $G_2$  such that  $|V(G_i)| \leq 2n/3$ , for i = 1, 2, no vertex of  $G_1$  is adjacent to any vertex in  $G_2$ , and  $|S_G| = O(\sqrt{n})$ . Such a separator can be found in O(n) time [24].

In this section we shall give a family of algorithms for solving the APSP and the all-pairs 2-short paths problems on an *n*-vertex undirected planar graph  $G_P$  with nonnegative real edge-weights. (*Remark:* All of our results for the APSP problem hold also for directed planar graphs with nonnegative real edge-weights.) Our algorithms first preprocess  $G_P$  (by creating a data structure) and then query this data structure to find a shortest or 2-short path between a query pair of vertices. Due to space limitations, we will only describe how distance queries are answered. The corresponding shortest (or short) path queries can be answered in an additional time proportional to the number of edges of the reported path.

We start with the APSP problem. We first sketch a simple algorithm, called BASIC-APSP, which provides the basis for the other algorithms in this section. This algorithm is a straightforward application of the divide-and-conquer technique using separators. (Although we have not found this algorithm in the literature, we suspect that it was known as a folklore.) Its preprocessing procedure consists of the following steps: (1) Perform a recursive separator decomposition of  $G_P$  and associate with it a binary tree called the separator decomposition tree  $T(G_P)$ . Moreover, associate with every node x of  $T(G_P)$  a certain subgraph G of  $G_P$ , denoted by x(G), and the separator  $S_G$  of this subgraph, denoted by  $x(S_G)$ . (The root is associated with the input graph  $G_P$  and its separator  $S_{G_P}$ .) If x is a leaf of  $T(G_P)$ , then consider all vertices of x(G) as separator vertices. (2) For each node x of  $T(G_P)$  and for every vertex  $v \in x(S_G)$ , compute a shortest path tree in x(G) rooted at v, by running the O(n)-time sssp algorithm of [23]. (3) Preprocess  $T(G_P)$  in linear time (using the algorithm of [25]) such that lowest common ancestor (LCA) queries are answered in O(1) time.

It is not hard to see that the running time is dominated by the running time, say P(n), of Step (2) which satisfies the recurrence  $P(n) \leq \max\{P(n_1) + P(n_2) :$  $n_1+n_2 = n$  and  $n_1, n_2 \leq 2n/3\} + O(n^{3/2})$ , and whose solution is  $P(n) = O(n^{3/2})$ . Let x be a node of  $T(G_P)$ . For an ancestor (resp. descendant) node y of x in  $T(G_P)$ , we will call the subgraph y(G) the ancestor (resp. descendant) subgraph of x(G).

The main idea behind the query procedure is the following. Let  $s, t \in V(G_P)$ be any two vertices and let x be the node of  $T(G_P)$  for which the separator  $x(S_G)$  separates s from t in the descendant subgraph x(G) of  $G_P$ . Then clearly,  $\delta_{x(G)}(s,t) = \min_{v \in x(S_G)} \{\delta_{x(G)}(s,v) + \delta_{x(G)}(v,t)\}$ . However, it is possible that  $\delta_{x(G)}(s,t) \neq \delta(s,t)$ , since a shortest s-t path in  $G_P$  need not necessarily stay inside x(G). For this reason we look for shortest s-t paths in the ancestor subgraphs of x(G). The crucial observation is that in such a case the shortest path has to pass through some separation vertex of these subgraphs. Let  $A(x) = \{y : y \text{ is an ancestor of } x \text{ in } T(G_P)\}$ . Then, it is not hard to verify that  $\delta(s,t) = \min\{\delta_{x(G)}(s,t), \min_{y \in A(x)}\{\min_{v \in y(S_G)}\{\delta_{y(G)}(s,v) + \delta_{y(G)}(v,t)\}\}\}$ .

The query procedure of algorithm BASIC-APSP consists of the following steps. (1) Let y and z be the lowest-level nodes (i.e. closest to the root) of  $T(G_P)$  such that  $s \in y(G)$ ,  $t \in z(G)$  and  $y \neq z$ . Find the LCA x of y and z in  $T(G_P)$ . (2) Compute  $\delta_{x(G)}(s,t)$  (as shown above). Set  $\delta(s,t) = \delta_{x(G)}(s,t)$ . If x is the root of  $T_G$ , then stop. (3) Let u be the parent of x. Compute  $\delta(s,t) = \min\{\delta(s,t), \min_{v \in u(S_G)}\{\delta_{u(G)}(s,v) + \delta_{u(G)}(v,t)\}\}$ . (4) If u is the root of  $T(G_P)$ , then stop. Otherwise, set x = u and repeat Steps (3) and (4).

Clearly, Step (1) takes O(1) time and Step (2) takes time  $O(|x(S_G)|) = O(\sqrt{|V(x(G))|}) = O(\sqrt{n})$ . Let Q(i) be the maximum time required by Steps (3) and (4), where  $0 \le i \le d$  is the level number of node x in  $T(G_P)$  and  $d = O(\log n)$  is the depth of  $T(G_P)$ . One iteration of Step (3) takes  $O(((\frac{2}{3})^i n)^{1/2})$  time, since  $|V(x(G))| = O((\frac{2}{3})^i n)$ . Hence, Q(i) satisfies the recurrence  $Q(i) \le Q(i-1) + O(((\frac{2}{3})^i n)^{1/2})$ , whose solution is  $O(\sqrt{n})$ .

The above discussion implies that algorithm BASIC-APSP can answer a distance query between any two vertices in  $O(\sqrt{n})$  time, after an  $O(n^{3/2})$  time and space preprocessing of  $G_P$ . We now show how we can improve more on the query time. Frederickson [17] showed how to divide an *n*-vertex planar graph into  $\Theta(n/r)$  edge-disjoint subgraphs, called *regions*, such that each region has O(r) vertices,  $1 \le r \le n$ , and  $O(\sqrt{r})$  boundary vertices (i.e. vertices shared with other regions). Such a division is called an *r*-division and can be computed in  $O(n \log n)$  time [17], or even in O(n) time using the results of Goodrich [19].

We call our new algorithm IMPROVED-APSP. Preprocessing procedure of algorithm IMPROVED-APSP: (P1) Find an r-division D of  $G_P$ . (P2) Run the preprocessing procedure of algorithm BASIC-APSP inside every region. (P3) For every boundary vertex b of D, compute a shortest path tree in  $G_P$  rooted at b using the algorithm of [23].

Query procedure of algorithm IMPROVED-APSP: Let  $s, t \in V(G_P)$ . (Q1) If s and t belong to the same region R, then find their distance  $\delta_R(s,t)$  inside R (using the query procedure of algorithm BASIC-APSP), find  $\delta'(s,t) = \min_{v \in B(R)} \{\delta(s,v) + \delta(v,t)\}$ , (where B(R) is the set of boundary vertices of R), and output as  $\delta(s,t)$  the minimum of  $\delta_R(s,t)$  and  $\delta'(s,t)$ . (Q2) If s and t belong to different regions R and R' respectively, then  $\delta(s,t) = \min_{v \in B(R)} \{\delta(s,v) + \delta(v,t)\}$ .

It can be easily verified that the preprocessing procedure of algorithm IMPROVED-APSP needs  $O(n^2/\sqrt{r})$  time and space, while the query procedure of the same algorithm takes  $O(\sqrt{r})$  time. This yields the first graph-theoretic result (I) mentioned in the introduction.

Both previous algorithms compute (exact) APSP information in planar graphs. We will now see how 2-short paths can be efficiently computed. Let s be a vertex of a planar graph G, and let  $b_s \in S$ , where S is a separator of G. The vertex  $b_s$ , satisfying that  $\delta(s, b_s) \leq \delta(s, u)$ ,  $\forall u \in S$ , is called the *closest separator vertex* of s on the separator S. Our algorithm is based on the following lemma.

**Lemma 6.** Let s and t be two vertices of a planar graph G and let S be a separator of G. If a shortest s-t path contains a vertex from S, then  $\min\{\delta(s, b_s) + \delta(b_s, t), \delta(t, b_t) + \delta(b_t, s)\} \leq 2\delta(s, t)$ .

*Proof.* Consider a shortest s-t path P in G. Let u be the first vertex of S in P. Then, the length of P is given by  $\delta(s,t) = \delta(s,u) + \delta(u,t)$ . Consider first the case that  $\delta(s,u) \leq \delta(u,t)$ . Since  $\delta(s,b_s) \leq \delta(s,u)$  by the definition of  $b_s$ , we have

 $\begin{array}{l} \delta(b_s,t) \leq \delta(b_s,u) + \delta(u,t) \leq \delta(b_s,s) + \delta(s,u) + \delta(u,t) \leq 2\delta(s,u) + \delta(u,t). \text{ Thus,} \\ \delta(s,b_s) + \delta(b_s,t) \leq \delta(s,u) + 2\delta(s,u) + \delta(u,t) \leq 2\delta(s,u) + 2\delta(u,t) = 2(\delta(s,u) + \delta(u,t)) = 2\delta(s,t), \text{ where the second inequality follows from our assumption that} \\ \delta(s,u) \leq \delta(u,t). \text{ In the other case, namely when } \delta(u,t) \leq \delta(s,u), \text{ we can show} \\ \text{ in a similar way that } \delta(t,b_t) + \delta(b_t,s) \leq 2\delta(s,t). \end{array}$ 

We now present algorithm 2-APPROX-APSP that computes all-pairs 2-short paths in  $G_P$ . The preprocessing procedure is the same as that of algorithm BASIC-APSP, except for the computation of the closest separator vertices. However, observe that the required closest separator vertices, for every  $u \in x(G)$ , can be easily found in Step (2) (within the same resource bounds) from the shortest path trees rooted at every vertex  $v \in x(S_G)$ .

The query procedure of algorithm 2-APPROX-APSP is based on Lemma 6, and its structure is similar to that of algorithm BASIC-APSP. Let  $s, t \in V(G_P)$ be the query vertices. The query procedure is as follows: (Q1) Let y and z be the lowest-level nodes (i.e. closest to the root) of  $T(G_P)$  such that  $s \in y(G)$ ,  $t \in z(G)$ and  $y \neq z$ . Find the LCA x of y and z in  $T(G_P)$ . (Q2) Compute  $\delta'_{x(G)}(s,t) =$  $\min\{\delta_{x(G)}(s, b_s) + \delta_{x(G)}(b_s, t), \delta_{x(G)}(t, b_t) + \delta_{x(G)}(b_t, s)\}$ , where  $b_s$  (resp.  $b_t$ ) denotes the closest separator vertex of s (resp. of t) on the separator  $x(S_G)$ . Set  $\delta 2(s,t) = \delta'_{x(G)}(s,t)$ . If x is the root of  $T(G_P)$ , then stop. (Q3) Let u be the parent of x. Compute  $\delta'_{u(G)}(s,t) = \min\{\delta_{u(G)}(s,b_s) + \delta_{u(G)}(b_s,t), \delta_{u(G)}(t,b_t) + \delta_{u(G)}(b_t,s)\}$ , where now  $b_s$  (resp.  $b_t$ ) denotes the closest separator vertex of s(resp. of t) on the separator  $u(S_G)$ . Set  $\delta 2(s,t) = \min\{\delta 2(s,t), \delta'_{u(G)}(s,t)\}$ . (Q4) If u is the root of  $T(G_P)$ , then stop. Otherwise, set x = u and repeat Steps (3) and (4).

The correctness of the query procedure is established by the following lemma.

**Lemma 7.** Let s,t be two vertices in  $G_P$ , and let y, z, x and  $\delta'_{x(G)}(s,t)$  be as defined above. Then,  $\min\{\delta'_{x(G)}(s,t), \min\{\delta_{w(G)}(s,b_s)+\delta_{w(G)}(b_s,t), \delta_{w(G)}(t,b_t)+\delta_{w(G)}(b_t,s)\}\} \leq 2\delta_{G_P}(s,t)$  for some ancestor w of x in  $T(G_P)$ , where  $b_s$  (resp.  $b_t$ ) is the closest separator vertex of s (resp. t) on the separator  $w(S_G)$  in the subgraph w(G).

Proof. Omitted due to space limitations.

Concerning the resource bounds of the query procedure, note that Steps (Q1) and (Q2) take O(1) time. As before, let Q(i) be the maximum time required by Steps (Q3) and (Q4), where  $0 \le i \le d$  is the level number of node x in  $T(G_P)$ and  $d = O(\log n)$  is the depth of  $T(G_P)$ . One iteration of Step (Q3) clearly takes O(1) time, since the required distances are available from the preprocessing of the graph. Hence, Q(i) satisfies the recurrence  $Q(i) \le Q(i-1)+O(1)$ , whose solution is  $O(\log n)$ . Therefore, algorithm 2-APPROX-APSP answers a length query between any two vertices of  $G_P$  in  $O(\log n)$  time, after an  $O(n^{3/2})$  time and space preprocessing, yielding the second graph-theoretic result (II) mentioned in the introduction.

# 5 Short path queries among obstacles in the plane

Following Chen's approach for processing  $L_2$  short path queries [5], our  $L_p$  short path data structures consist of two major components:

**Part A.** A data structure for answering queries on all-pairs short(est) paths in a graph  $G_P$  which is the planar Steiner  $L_p \tau$ -spanner constructed by our algorithm in Section 3.

**Part B.** A data structure that, given any two query points s and t in the plane, quickly reduces the computation of a short s-t path to computing the short(est) paths between a constant number of vertices of  $G_P$ .

Given the results in Sections 3 and 4, the data structure of Part A can be easily constructed as follows. Let  $\epsilon_1 > 0$  be a value depending on the required stretch factor. We first obtain a planar Steiner  $L_p(2^{(p-1)/p} + \epsilon_1)$ -spanner with O(n) Steiner vertices, denoted by  $G_P$ , and then build a data structure for answering all-pairs short(est) path queries in the planar graph  $G_P$ . It is clear from Section 4 that, for the results of the first and third row of Table 1, an all-pairs short(est) path query data structure on  $G_P$  can be built in the claimed time and space bounds. It should be also clear that the result of the second row can be achieved by applying to  $G_P$  the linear time sssp algorithm of [23]. The result of the fourth row follows by applying to  $G_P$  Chen's all-pairs short path query algorithm on planar graphs [5].

The data structure of Part B is the same for all results of Table 1, and is a generalization of Chen's approach [5] for processing  $L_2$  short path queries. (We omit the details due to space limitations.) The data structure of Part B can be set up in  $O((n \log n)/\epsilon_2)$  time and  $O(n/\epsilon_2)$  space, for some  $\epsilon_2 > 0$  depending on the required stretch factor. Given two query points s and t in the plane, the data structures of Parts A and B together enable us to compute the length of a geometric short s-t path in  $O((\log n)/\epsilon_2)$  time from the "graphic" short(est) paths between  $O((1/\epsilon_2)^2)$  pairs of vertices in  $G_P$ .

### 6 Final Remarks

We have recently improved the planar spanner results presented in this paper using new ideas. More precisely, we can achieve a planar  $(1 + \epsilon)$ -spanner with a linear number of Steiner vertices for *all* metrics (i.e., the constant *c*, in Table 1, is equal to 1 for any  $L_p$ -metric). The details will be given in the full paper.

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