# Chapter 24 A New Way to Weigh Malnourished Euclidean Graphs

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# Abstract

In this paper, we show that any Euclidean graph over a set V of n points in k-dimensional space that satisfies either the leapfrog property or the isolation property has small weight, i.e., has weight  $O(1) \cdot wt(SMT)$ , where SMT is a Steiner minimal tree of V. Both the leapfrog property as well as the isolation property constrain the way the edges of the graph are configured in space. Our main application is to prove that certain Euclidean graphs known as t-spanners can be constructed with optimal weight of  $O(1) \cdot wt(SMT)$ , an intriguing open problem that has attracted much attention recently. The main tool in obtaining the above weight bounds is a theorem that proves the existence of long edges in a Steiner minimal tree on a restricted set of points in k-dimensional space. We also generalize this theorem for Steiner minimal trees on arbitrary point sets. Since very little is known about high-dimensional Steiner minimal trees, these results are of independent interest.

#### 1 Introduction.

It is often difficult to analyze the total edge weight of an Euclidean graph for the purpose of providing performance bounds. For instance, bounding the length of the Lin-Kernighan heuristic for the traveling salesperson problem appears to be very difficult [16, 5], even for points in Euclidean space. More successful examples include algorithms for the on-line traveling salesperson problem for Euclidean points [13, 5] and the t-spanner problem for Euclidean points [1, 4, 7, 14, 15]. Generally, the analysis centers on relating the weights of a set of edges to wt(SMT) (or wt(MST) or wt(TSP)). Here wt(SMT), wt(MST), and wt(TSP) is the total edge weight of a Steiner minimal tree, minimum spanning tree, and an optimal traveling sales person tour, resp., of a given set of points. (Note that wt(TSP) = O(wt(MST)) = O(wt(SMT)) for a set of points in Euclidean space).

Various tools have been developed in order to perform such analyses. The central idea is to bound the weight of a set of edges that satisfy certain spatial constraints. In [4], it was shown that if a set of edges satisfies the property that the distance between the endpoints of any two edges is at least as large as a constant times the weight of the shorter edge (the qap property), then the weight of these edges can be bounded by  $O(\log n) \cdot wt(SMT)$ , where wt(SMT) is the total edge weight of a Steiner minimal tree of the set of endpoints. The gap property found application in two different problems. It is satisfied by the set of edges produced by the greedy algorithm for t-spanners [4], and also by the set of edges produced by the 2-opt heuristic for the traveling salesperson problem [5], and consequently helped in their analyses.

The gap property is unfortunately limited in power. It has been shown in [14] that there is a set of edges that satisfies the gap property with weight  $\Omega(\frac{\log n}{\log \log n})$ . wt(SMT) (very recently this lower bound has been increased to  $\Omega(\log n) \cdot wt(SMT)$ , [3]). In order to prove stronger results, other properties were considered. In [7], it was shown that if a set of edges in 3-dimensional space satisfies a property called the *leapfrog property*, then the weight of the edges can be bounded by O(1). The leapfrog property is a complicated wt(SMT). restriction on how a set of edges may be positioned in space, and a precise definition is given later in the paper. This proof was based on a complex charging scheme and employed properties of 2-dimensional Steiner minimal trees. It was left as an open problem to prove the same statement for edges satisfying the leapfrog property in k-dimensional space. The following theorem of this paper solves this open problem. The proof requires a generalization of the properties of the Steiner minimal tree in higher dimensions.

THEOREM 1.1. If a set of line segments E in kdimensional space satisfies the leapfrog property, then  $wt(E) = O(1) \cdot wt(SMT).$ 

The leapfrog property has found applications in the

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improved analysis of t-spanners [7]. Since it is rather difficult to appreciate intuitively, in this paper we introduce a more intuitive property called the *isolation prop*erty. Interestingly enough, recent t-spanner algorithms that enforce the isolation property have turned out to be more efficient than those that enforce the leapfrog property [2]. We believe that it will have applicability in other geometric problems. A precise formulation of the property is presented later; for now it suffices to say that a set of edges in k-dimensional space satisfies the isolation property if each edge can be associated with a large enough hypercylindrical region which does not intersect with the other edges. As will be evident later, the isolation property implies the leapfrog property (but not vice versa). However, in this paper we provide a simpler and more direct proof for the following theorem.

THEOREM 1.2. If a set of line segments E in kdimensional space satisfies the isolation property, then  $wt(E) = O(1) \cdot wt(SMT).$ 

We now define the notion of a *t*-spanner. Let V be a set of n points in k-dimensional Euclidean space, and let t > 1. A subgraph of the complete Euclidean graph of V is a t-spanner if for every  $u, v \in$ V, the shortest path length between any two points is at most t times the Euclidean distance between the two points. Computing a *t*-spanner with a small weight and other desirable properties has been the focus of much recent research. Although many algorithm exist for producing sparse t-spanners, analyzing the performance of these algorithms turns out to be a difficult task. The earliest results on small weight tspanners appeared in [1, 15], where it was shown that in 2-dimensional space, such t-spanners exist with weight at most  $O(1) \cdot wt(SMT)$ . However, the proofs employed planarity properties and could not be directly extended to higher dimensions. In [14] a t-spanner based on the well-separated pairs construction was described, and its weight was analyzed to be  $O(\log^2 n) \cdot wt(SMT)$ . In [4] it was shown that in k-dimensional space, t-spanners constructed by a certain greedy algorithm have weight at most  $O(\log n) \cdot wt(SMT)$ . These results seemed to indicate that eliminating the (poly)log factors in the weight for higher dimensional t-spanners will require more sophisticated techniques than had been previously used. The first optimal result for dimensions higher than 2 appeared in [7]. In that paper it was shown that the *t*-spanner constructed by the greedy algorithm satisfies the leapfrog property in k-dimensional space. However, the paper only provided a proof of the 3dimensional version of Theorem 1.1, which implied the existence of t-spanners with weight  $O(1) \cdot wt(SMT)$  in 3-dimensional space.

Our proof of Theorem 1.1 leads us to the general-

ization stated below. This represents a satisfactory conclusion to the series of previous efforts on constructing small weight *t*-spanners in higher dimensional space.

THEOREM 1.3. For a set of points in k-dimensional space, a t-spanner can be constructed with an optimal weight of  $O(1) \cdot wt(SMT)$ .

While the leapfrog property has been used here to prove the existence of optimal weight t-spanners, the less general isolation property has been recently found crucial in devising an efficient  $O(n \log n)$ -time t-spanner construction with optimal weight [2]. In contrast, the best known algorithm that constructs tspanners satisfying the leapfrog property has a running time of  $O(n \log^2 n)$  [8].

Our main tool in proving the above theorems is to show properties of higher dimensional Steiner minimal trees. Steiner minimal trees are useful in weightbounding tasks because they are monotonic in the following sense. If  $S_1 \subset S_2$  are sets of points, a SMT on  $S_2$  cannot be shorter than a SMT on  $S_1$ . For example, in [7] a SMT is maintained for the endpoints of edges which satisfy the leapfrog property. At every step in the analysis, a suitable edge is removed, and it is shown that the SMT of the endpoints of the remaining edges is shorter than the previous SMT by an amount proportional to the weight of the edge removed. Steiner minimal trees are interesting in their own right, and have been studied by many researchers, [11, 12]. However, very little is known about Steiner minimal trees in higher dimensions [18, 19].

In this paper we consider Steiner minimal trees that connect n + 1 points, n of which lie on the surface of a unit hypersphere in k-dimensional Euclidean space (these points are called *terminals*), while one point lies at the center s of the hypersphere. We call such a tree a *restricted Steiner minimal tree*. We prove the following theorem.

THEOREM 1.4. There is a constant 0 < c < 1 such that for any restricted Steiner minimal tree, the path between s and any terminal u contains an edge of weight at least c.

The existence of these long edges in restricted Steiner minimal trees is crucial to the applications that we discuss, as will be described later. The above theorem also provides insight into the local structure of the SMT of an unrestricted set of points. Consider a hypersphere centered at any vertex of an unrestricted SMT with radius equal to the distance to the nearest neighbor of v. The above theorem says that every path from v to the outside of this hypersphere has to contain suitably long edges. Later we also prove several additional properties of unrestricted SMTs. The rest of the paper is organized as follows. §2 discusses properties of Steiner minimal trees, while §3 discusses applications of these properties in the weight analysis of edge sets satisfying (1) the isolation property, and (2) the leapfrog property. We note that although the results in this paper assume the Euclidean  $(L_2)$  metric, they can easily be extended to any arbitrary  $L_p$  metric.

## 2 Properties of Steiner Minimal Trees.

In this section we first derive properties of restricted Steiner minimal trees in 2-dimensions, then generalize them to higher dimensions, and finally consider unrestricted Steiner minimal trees.

2.1 Restricted Steiner Minimal Trees in 2-Dimensions. Here we prove the 2-dimensional version of Theorem 1.4.

Proof. Let S be the set of terminals, T the Steiner minimal tree under consideration,  $\alpha < 1$  a constant, C a circle of radius  $\alpha$  and the same center s, N the set of Steiner points of T within C, and  $N' \subseteq N$  the set of points that do not have all neighbors in N (these are the fringe vertices of the subtree containing vertices from N). We first prove that |N'| is bounded by a constant. For every vertex  $v \in N'$  there is at least one path in T of length  $(1 - \alpha)$  from v to a terminal. Clearly, there exists a constant p such that if |N'| > p, then wt(T)would exceed  $1 + 2\pi$ , which is a simple upper bound for wt(T). All the Steiner points in T have degree 3. Hence |N| < |N'| and is also bounded by a constant. Thus any path in T from s to a terminal must have a long edge (of weight at least  $c = \alpha/2p$ ), which concludes the proof.

The proof also implies that of the O(n) Steiner points in the Steiner minimal tree T, most of them lie far away from the center s and lie close to the unit circle. Corresponding theorems can be trivially proven for the case where terminals lie on a circle with radius r (here  $\alpha$ will be replaced by  $\alpha r$ ), and for the case where terminals lie *outside* the circle. In an unrestricted setting, where the points to be connected do not necessarily lie on a circle, this theorem implies that for any point s, if its nearest neighbor is at distance d away, then the theorem could be applied with r = d.

The above proof and the consequent observations do not easily extend to the case when the points are in k-dimensional space. In the 2-dimensional case, the n points on the unit circle could be connected together by a network of weight at most  $2\pi$  (independent of n), which does not hold for n points on the unit hypersphere in k-dimensional space. However, using significantly different techniques we prove that similar results also hold for the k-dimensional case. This is presented next. 2.2 Restricted Steiner Minimal Trees in k-Dimensions. We shall now prove Theorem 1.4 for k-dimensions. We need the following result in our proof. It was originally proven by Few [10] and subsequently improved by Smith [17] (see Hwang et al. [12]).

THEOREM 2.1. The largest possible weight of a Steiner minimal tree for n points in the unit hypercube in k-dimensions is  $\Theta(n^{1-1/k})$ .

Few's proof of this result is also valid for points on the surface of a unit hypersphere (the essential idea is to use projections, and the fact that the surface area of a unit k-dimensional hypersphere is  $k \frac{\pi^{k/2}}{\Gamma(k/2+1)}$ .)

COROLLARY 2.1. The largest possible weight of a Steiner minimal tree for n terminals on the surface of a k-dimensional unit hypersphere is  $\Theta(n^{1-1/(k-1)})$ .

We first show that restricted Steiner minimal tree T must contain some long edges. We then show that there must be a long edge in each path from s to a vertex on the surface of the hypersphere.

LEMMA 2.1. T contains an edge of weight at least c', for some constant c'.

**Proof.** Suppose to the contrary that there are no edges of weight c' in T. We show that T must contain greater than n leaves, which contradicts the claim that T is a Steiner minimal tree on n terminals.

To be more specific, consider a sequence of trees  $T_1, T_2, \ldots$ , where each  $T_i$  is a subtree of T. Tree  $T_1$  consists of s and all the portions of T that are within path distance 1/2 of s. Tree  $T_2$  consists of s and the portions of T within path distance 3/4 of s. In general, tree  $T_i$  consists of s and all the portions of T that are within path distance  $1 - 1/2^i$  of s. Note that tree  $T_j$  is a subtree of tree  $T_i, j < i$ . Our goal is to obtain lower bounds on the number of leaves  $\ell(T_i)$  in  $T_i$  and show that for any n, there is some i such that  $\ell(T_i) > n$ . This implies that T contains more than n leaves.

We now bound the number of leaves in  $T_1$ . Let  $c_0 = c'$ . By assumption, there are no edges of weight  $c_0$  in T, so there are no edges of weight  $c_0$  in  $T_1$ . Since each Steiner point has degree 3 and each edge has weight at most c', the height of  $T_1$  is at least  $\frac{1}{2c_0}$ , and  $\ell(T_1) \geq 2^{\frac{1}{2c_0}}$ .

Using Corollary 2.1, we can obtain an even stronger bound on the weight of edges in  $T_2 - T_1$ , the portion of  $T_2$  induced by the removal of tree  $T_1$ . There are  $\ell = \ell(T_1)$  components in  $T_2 - T_1$ ; in each component, choose a longest edge, and let H be the set of edges chosen. If H is removed from T, the Steiner minimal tree T is broken into  $\ell + 1$  components. Choose an arbitrary terminal in each of these components, and let H(S) be the terminals chosen. Corollary 2.1 implies that the terminals in  $H(S) - \{s\}$  can be interconnected using a Steiner minimal tree of weight  $O(\ell^{1-1/(k-1)})$ ; an additional edge of weight 1 to s interconnects the tree. This implies that the total edge weight in H must be  $O(\ell^{1-1/(k-1)})$ .

Since the total weight of edges in H must be  $O(\ell^{1-1/(k-1)})$ , say  $\ell^{1-1/(k-1)}$ , at least  $\ell/2$  of these edges must have weight at most  $2/\ell^{1/(k-1)}$ . This implies that at least  $2^{\frac{1}{2c_0}-1}$  components in  $T_2 - T_1$  have longest edge with weight at most  $c_1 = 2^{1-1/(2c_0(k-1))} \ll c_0$ . The number of leaves in  $T_2$  is at least  $2^{1/2c_0-1} \times 2^{1/4c_1}$ .

In general, let  $c_{i-1}$  be an upper bound on the weight of a longest edge on a particular subtree of T in which the longest root-to-leaf path length is  $1/2^i$ . This tree T' is intended to be a component of  $T_i - T_{i-1}$ . ( $T_0 = \emptyset$ and  $c_0 = c'$ ). Then the height of T' is at least  $\frac{1}{2^i c_{i-1}}$ , and the number of leaves is  $\ell \geq 2^{\frac{1}{2^i c_{i-1}}}$ . From the argument above, at least  $\ell/2$  of the subtrees rooted at the leaves of T' have longest edge weight at most  $2/\ell^{1/(k-1)}$ . Consequently, let  $c_i$  satisfy the following recurrence:

$$c_i = 2^{1 - \frac{1}{2^i (k-1)c_{i-1}}}$$
  
$$c_0 = c'.$$

Then if a component in  $T_i - T_{i-1}$  has longest edge at most  $c_{i-1}$ , it must have  $2^{\frac{1}{2^i c_{i-1}}}$  leaves, and half of these leaves are roots of subtrees with maximum weight bound  $c_i$ .

By successively applying the arguments above, we see that the number of leaves in  $T_i$  satisfies

$$\ell(T_i) \ge \prod_{j=1}^i (2^{\frac{1}{2^j c_{j-1}} - 1}).$$

There is a j such that  $\ell(T_j) > n$ , completing the proof.

Although Lemma 2.1 guarantees the existence of a long edge in each tree in the forest, it does not guarantee that a long edge lies on the path from s to u. Let p(s, u) be the path from s to u.

LEMMA 2.2. The total number of Steiner points in  $p(s, u) \cap T_1$  is bounded by a constant.

**Proof.** Assume the contrary. Suppose there are j such Steiner points. Since the degree of each Steiner point is 3, each Steiner point on  $p(s, u) \cap T_1$  is associated with at least one tree connecting that Steiner point to some boundary terminal. By a slight generalization of Lemma 2.1, there is an edge of weight at least c'/2 in each of j trees. Remove these edges. The weight of the removed edges is c'j/2. Reconnect these components by choosing one vertex from each component and forming a Steiner minimal tree on the surface of the hypersphere, connected by an edge to s. Corollary 2.1 states that the weight of the latter tree is  $O(j^{1-1/(k-1)})$ , which is less than c'j/2 for large enough j, thus contradicting the fact that T is a Steiner minimum tree. Hence the proof.

Lemma 2.2 implies there is an edge of weight at most c''' in p(s, u), where c''' is a constant. As a consequence, there is an edge in  $p(s, u) \cap T_1$  of weight at least c''', for some constant c''', thus proving Theorem 1.4.

2.3 Unrestricted Steiner Minimal Trees. Here we extend some of our earlier results, by considering Steiner minimal trees of more general point sets. First we consider the case when instead of a single vertex at the center s of the unit hypersphere, we have a set A of m points contained within a hypersphere of radius  $\beta$  centered at s.

THEOREM 2.2. Let  $\beta \leq \alpha_i < \alpha_o < 1$ . Let A be a set of m points lying within a hypersphere of radius  $\beta$ centered at a point s. Let B be a set of n points lying outside the unit hypersphere centered at s. Then the number of Steiner points on any Steiner minimal tree T lying within a hyperspherical shell of inner radius  $\alpha_i$ and outer radius  $\alpha_o$  is bounded by a constant. Also, every path in T connecting a point in A and a point in B must have an edge of weight greater than c, for some constant c.

**Proof.** Let T be a Steiner minimal tree connecting A and B. Let  $C_1$  be a hypersphere centered at s of radius  $\alpha_i$  and let  $C_2$  be a hypersphere centered at s of radius  $\alpha_o$ . Let S be the hyperspherical shell between  $C_1$  and  $C_2$ . Let  $S_A$  ( $S_B$ ) be the set of Steiner points beyond (within) radius  $\alpha_i$  ( $\alpha_o$ ) with at least 1 subtree such that all its leaves are in A (B). Let  $S'_A$  ( $S'_B$ ) be the set of fringe vertices of  $S_A$  ( $S_B$ ). In other words, these are the vertices of  $S_A$  ( $S_B$ ) not all of whose neighbors are from  $S_A$  ( $S_B$ ). Also, let R be the set of (remaining) Steiner points from T that are not in  $S_A$  or  $S_B$ .

Let  $u \in S'_B$ . Consider the subtree of u that has all its leaves from B and whose neighbor is a vertex not in  $S'_B$ . By Theorem 1.4, this subtree has a long edge of length say c'. By lemma 2.2 this subtree has at most a constant number of Steiner points inside  $C_2$ . We claim that the number of points in  $S'_B$  within  $C_2$  is bounded by a constant. To prove this, assume the contrary. Let this number be more than some constant j. Since each of these subtrees has a long edge of weight c', by arguments similar to those used in the previous section, for large enough j, one can force  $c'_j > 1 + j^{1-1/(k-1)}$ , thus contradicting the assumption that T is a Steiner minimal tree. Similarly, one can also prove that the number of points in  $S'_A$  outside  $C_1$  is bounded by a constant. Hence the number of points from  $S'_A \cup S'_B$ that lie in the hyperspherical shell S is bounded by a constant.

Using arguments similar to ones used before, it can be shown that since  $S'_A$  and  $S'_B$  are the fringe vertices of the subtree of vertices from  $S_A$  and  $S_B$ , and since their number within the hyperspherical shell S is bounded by a constant, the number of points from  $S_A \cup S_B$  that lie in the hyperspherical shell S is also bounded by a constant.

In order to show that the number of Steiner points of T that lie within the hyperspherical shell  $\mathcal{S}$  is bounded by a constant, we are left to show that the number of points in R that lie within the hyperspherical shell S is also bounded by a constant. Similar to the approach employed in the previous proofs, we define a set R' of vertices in R, not all of whose neighbors are in R. We will first show that the number of vertices in R'lying in S are bounded by a constant. Each vertex in R'has degree 3 and has at least one neighbor from  $S_A \cup S_B$ . By Theorem 1.4, for every vertex in R', the subtree rooted at the neighbor from  $S_A \cup S_B$  must contain a long edge since the root is at distance at least  $\alpha_i$  or  $\alpha_o$ (depending on whether the vertex is in  $S_A$  or  $S_B$ ) away from the leaves of the subtree. Now, if there are more than a constant number of vertices in R', a contradiction to the minimality of the Steiner tree can be arrived at. Having bounded the size of R' lying within S, similar arguments as used before can be used again to prove that the size of R lying within S is also bounded.

Once the total number of Steiner points in S are bounded, it is easy to see that every path in T that connects a point in A and a point in B must pass through S, and contains no vertex, one vertex, or a constant number of vertices that lie in S. In each of the cases it is easy to see that there must be an edge on the path of weight more than some constant c, thus proving the theorem.

In a more general setting, the results we have shown imply that if for some point s, there exists an *empty* hyperspherical shell between radius  $\beta r$  and r (for some  $\beta < 1$ ), then the Steiner minimal tree will have long edges (of weight cr for some constant c), and that the number of Steiner points in a portion of this hyperspherical shell is bounded by a constant. In fact, Theorem 2.2 can be extended to prove the following theorem, which is our most general version.

THEOREM 2.3. Let  $A \cup B$  be a set of points inside the unit hypersphere connected by a SMT. Let the minimum distance between a point in A and a point in B be d. Then there exists an edge of weight at least cd, for some constant c < 1 on any path in the SMT between a vertex in A and a vertex in B.

*Proof.* The main ideas of the proof are as follows. Let  $\alpha_i < \alpha_o < 1$ . Consider the union of hyperspheres of radius  $\alpha_i d$  around every point in A. Consider the union of hyperspheres of radius  $(1 - \alpha_o)d$  around every point in B. Using arguments similar to the proof of Theorem 2.2 it can be shown that the number of Steiner points in the rest of the region is bounded by a constant. Since any path from a point in A to a point in B must pass through this region, there must be a long edge.

## **3** Applications to Weight Analysis.

In this section we apply the properties of Steiner minimal trees to bound the weight of edge sets satisfying various spatial constraints. We first prove Theorem 1.2 which concerns the isolation property. Then we outline the proof of Theorem 1.1 which concerns the leapfrog property.

**3.1** The Isolation Property. Let c > 0 be a constant. Let E be a set of edges in k-dimensional space, and let  $e \in E$  be an edge of weight l. If it is possible to place a hypercylinder B of radius and height  $c \cdot l$  each, such that the axis of B is a subedge of e and  $B \cap (E - \{e\}) = \emptyset$ , then e is said to be *isolated*. If all the edges in E are isolated, then E is said to satisfy the *isolation property*. We now prove Theorem 1.2.

**Proof.** Let E be a set of edges satisfying the isolation property. Let T be a Steiner minimal tree connecting the endpoints of E. We first partition E into a constant number of groups,  $E_1, E_2, \ldots E_{O(1)}$ , such that within each group the edges are almost parallel to each other. This can be done by using the standard technique of covering the space with cones, and selecting edges that lie within a particular cone (see [7]). We will now prove that for each  $i, wt(E_i) = O(1) \cdot wt(T)$ , where T is now a Steiner (though not necessarily minimal) tree connecting the endpoints of  $E_i$ .

Let the k co-ordinate axes be named  $x_1, x_2, \ldots x_k$ , and w.l.o.g. let the  $x_1$  axis be parallel to the edges of  $E_i$ . If a line segment is parallel (perpendicular) to the  $x_1$  axis, it is said to be vertical (horizontal). Furthermore, if a point u has a larger  $x_1$  co-ordinate than a point v, u is said to be *above* v (and v is said to be below u). Since  $E_i$  satisfies the isolation property, each edge e is associated with a hypercylinder B which does not intersect with the rest of the edges. However the hypercylinders may intersect each other. To eliminate this problem, we shrink each hypercylinder horizontally by halving its radius. Each hypercylinder's surface is composed of three pieces: each end is a (k-1)dimensional hypersphere such that one is above the other, and connecting the two is a (k-1)-dimensional surface representing the vertical wall.

We first transform T to T' by replacing each edge (u, v) of T by a vertical edge (u, w) and a horizontal edge (w, v). Let hwt(T') denote the weight of the horizontal edges of T'. Clearly hwt(T') = O(wt(T')) = O(wt(T)). Partition  $E_i$  further into two groups  $L_i$  and  $N_i$ . If there is a connected portion of T' completely contained within a hypercylinder B and touching both the upper and lower surfaces, then the corresponding edge belongs to  $N_i$ , otherwise it belongs to  $L_i$ . We shall prove that  $wt(N_i) = O(wt(T'))$  and  $wt(L_i) = O(hwt(T'))$ , which will suffice to prove the theorem.

The first part is easy, because each edge in  $N_i$  can be charged to the portion of T' contained within its hypercylinder. To prove the second part, consider the structure consisting of the tree T' and the edges in  $L_i$ . We proceed to remove (suitably selected) edges from  $L_i$ one by one, and at each iteration restructure T' such that, (1) it is still a Steiner tree for the endpoints of the remaining edges in  $L_i$ , (2) it is composed of horizontal and vertical edges, (3) it does not have a connected portion completely contained in any remaining hypercylinder which touches both the upper and lower surfaces, and (4) the weight of its horizontal edges is smaller than the corresponding weight of the horizontal edges of the previous tree by an amount at least a constant times the weight of the edge removed.

At any iteration, select the B whose upper hypersphere has the largest  $x_1$  co-ordinate, and remove the corresponding edge (say e = (u, v) where u is above v). Consider the unbounded hypercylindrical region whose surface is formed by extending the vertical wall of Bin both directions until infinity. Let  $T'_u$  be the maximal connected portion of T' that includes u and that lies completely within this region. Notice that the interior vertices of  $T'_u$  other than u have to be Steiner points, and cannot be endpoints of the remaining edges in  $L_i$ . Let  $T'_u$  pierce the surface of this region at points  $U = \{u_1, u_2, \ldots, u_m\}$ . Let  $u_1$  be the unique point in Uwhich lies along the path between u and v in T'.

Our restructuring of T' will proceed as follows. For each  $u_j$  in U there is a corresponding  $u'_j$  whose  $x_1$  coordinate is the same as that of u, but the rest of the co-ordinates agree with those of  $u_j$ . Let U' be the set of these corresponding points. Remove  $T'_u$ . Construct a (k - 1)-dimensional restricted Steiner minimal tree  $S_u$  connecting U' with u. Add vertical edges between each  $u_j$  and its corresponding  $u'_j$ . Add the vertical edge (u, v). Remove the long edge (Theorem 1.4) along the path in  $S_u$  between u and  $u_1$ . It is easy to see that the new T' satisfies all four properties.

Thus at each iteration we can charge the removed edge to the difference in the horizontal weights of the successive trees. The process is then repeated for the next edge. We can therefore conclude that  $wt(L_i) = O(hwt(T'))$ , which proves Theorem 1.2.

It is easy to see that in the definition of the isolation property, we can replace the hypercylinder by a hypersphere, hypercube etc., without affecting

Theorem 1.2. The theorem can also be strengthened so that all edges of E do not have to be isolated; only certain long edges need be. The details are omitted from this version of the paper. This property was the linchpin in devising an  $O(n \log n)$  time *t*-spanner construction with weight  $O(1) \cdot wt(SMT)$  [2]; this latter result serves to prove Theorem 1.3. Extrapolating from our experience with the gap property, we expect that the isolation property too should prove useful in analyzing other Euclidean graphs.

**3.2** The Leapfrog Property. Here we strengthen the previous result by showing that a set of edges satisfying the leapfrog property has a small weight. The leapfrog property is defined as follows. Let d(u, v)denote the Euclidean distance between points u and v. Let E be a set of edges in k-dimensional space, and let t > 1 be a real number. E satisfies the leapfrog property if, for every subset  $S = \{(u_1, v_1), \ldots, (u_m, v_m)\},$ 

$$t \cdot d(u_1, v_1) < \sum_{i=2}^{m} d(u_i, v_i) + t \cdot (\sum_{i=1}^{m-1} d(v_i, u_{i+1}) + d(v_m, u_1))$$

The isolation property is a special case of the leapfrog property. This is because for every subset of edges  $\{(u_1, v_1), \ldots, (u_m, v_m)\}$ , the empty hypercylinder of  $(u_1, v_1)$  ensures that the leapfrog condition holds.

We sketch the proof of Theorem 1.1. It is a generalization of the 3-dimensional proof that appears in [7], and we shall assume the reader is familiar with that proof.

**Proof.** Essentially, every edge is associated with a pair of small hypercylindrical regions at either ends. These regions together with the edge define a dumbbell. It is shown that the set of edges can be partitioned in such a way that the dumbbells in each group  $E_i$  are almost parallel, and in addition satisfy the nested property, i.e either two dumbbells are disjoint, or one dumbbell is completely contained in one of the hypercylinders of the other dumbbell.

Let the k co-ordinate axes be named  $x_1, x_2, \ldots x_k$ , and w.l.o.g. let the  $x_1$  axis be parallel to the edges of  $E_i$ . We generalize the proof in [7] as follows. Construct a *SMT* of the endpoints of  $E_i$  and transform this to a tree T' by replacing each tree edge with a vertical edge and a horizontal edge. Partition  $E_i$  into two groups, the *lateral group*  $L_i$  and the *non-lateral group*  $N_i$ , exactly as in [7]. The weight analysis of the non-lateral group does not need generalization. However, we need to generalize the analysis of the lateral group to higher dimensions. Consider the analysis of the lateral group as described in [7]. The proof is by induction, where at every iteration the current shortest dumbbell e = (u, v)is removed, and T' is restructured to connect the remaining endpoints. It is shown that the removed edge can be charged to the difference in weight between the two trees. Using the terminology in [7], let  $T'_u$  be the maximal connected portion of T' that includes u and that lies wholly within the dumbbell head centered at u. A similar piece  $T'_v$  lies around vertex v. Let the piece  $T'_u$  pierce the vertical wall of the hypercylinder at u at points  $U = \{u_1, u_2, \ldots, u_k\}$ . Let  $u_1$  be the unique point in U which lies along the path between u and v in T'.

Instead of doing the restructuring as described in [7] (which only works for 3-dimensions), our restructuring of T' will proceed as follows (which is very similar to our proof of Theorem 1.2). For each  $u_j$  in U there is a corresponding  $u'_j$  whose  $x_1$  co-ordinate is the same as that of u, but the rest of the co-ordinates agree with those of  $u_j$ . Let U' be the set of these corresponding points. Remove  $T'_u$ . Construct a (k-1)-dimensional restricted Steiner minimal tree  $S_u$  connecting U' with u. Add vertical edges between each  $u_j$  and its corresponding  $u'_j$ . Add the vertical edge (u, v). Remove the long edge (Theorem 1.4) along the path in  $S_u$  between u and  $u_1$ .

Thus at each iteration we can charge the removed edge to the difference in the horizontal weights of the successive trees. The process is then repeated for the next edge. We can therefore conclude that  $wt(L_i) = O(hwt(T'))$ , which proves Theorem 1.1.

Since the greedy algorithm ([4, 7]) produces t-spanners with the leapfrog property, this also serves to prove Theorem 1.3.

#### 4 Conclusions and Open Problems.

In this paper, we have proved the existence of long edges in Steiner minimal trees connecting restricted sets of points. We have demonstrated the usefulness of these results in the geometric analysis of the weight of a set of edges in k-dimensional space satisfying some spatial constraints. As an application, we solve the open problem of proving that small weight t-spanners can be constructed for points in k-dimensional space. Some interesting open problems follow.

A recent paper ([13]) studies the problem of constructing on-line algorithms for the traveling salesperson problem. It is unknown whether there exists a constantcompetitive algorithm for the on-line traveling salesperson problem under the *fixed graph* scenario for points in k-dimensional space. Perhaps some of the techniques in this paper can be applied in resolving this problem.

Some of the off-line heuristics for the TSP problem,

most notably the Lin-Kernighan heuristic, have not been satisfactorily analyzed in the Euclidean setting. It is intriguing whether the suboptimal tour is no more than a constant factor longer than the optimal. It would be interesting to study whether our results give more insight into the heuristic.

In the construction of sparse t-spanners, very tight results have been obtained in reducing the number of edges. In [6] it has been shown that, given any  $\delta > 1$ , t-spanners can be constructed which have at most  $n \cdot \delta$ edges. The corresponding problem in weight is still open, i.e. given  $\gamma > 1$ , are there t-spanners with weight  $wt(MST) \cdot \gamma$ ?

We believe that other applications of the Steiner tree properties as well as the isolation property are very likely. In addition, Steiner tree properties are interesting in their own right, and need to be explored further.

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