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# Design and Analysis of Algorithms

CSE 5311

Lecture 19 Topological Sort

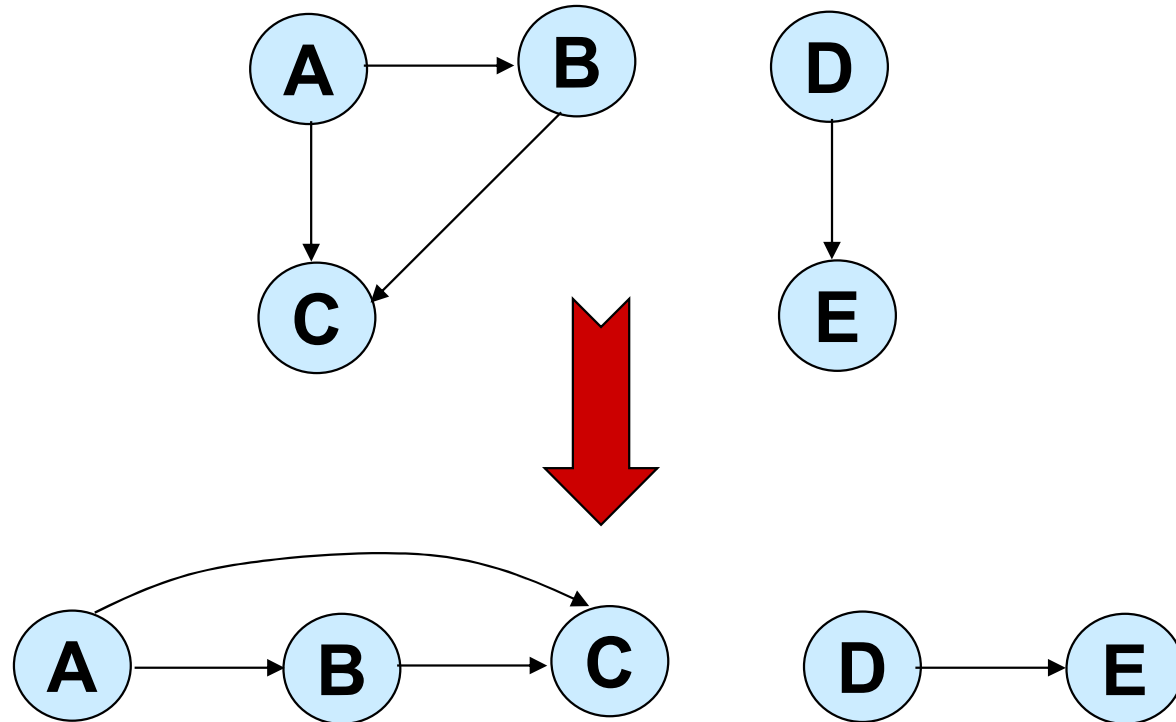
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Department of Computer Science and Engineering

# Topological Sort

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Want to “sort” a directed acyclic graph (DAG).



Think of original DAG as a **partial order**.

Want a **total order** that extends this partial order.

# Topological Sort

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- Performed on a **DAG**.
- Linear ordering of the vertices of  $G$  such that if  $(u, v) \in E$ , then  $u$  appears somewhere before  $v$ .

Topological-Sort ( $G$ )

1. call DFS( $G$ ) to compute finishing times  $f[v]$  for all  $v \in V$
2. as each vertex is finished, insert it onto the front of a linked list
3. **return** the linked list of vertices

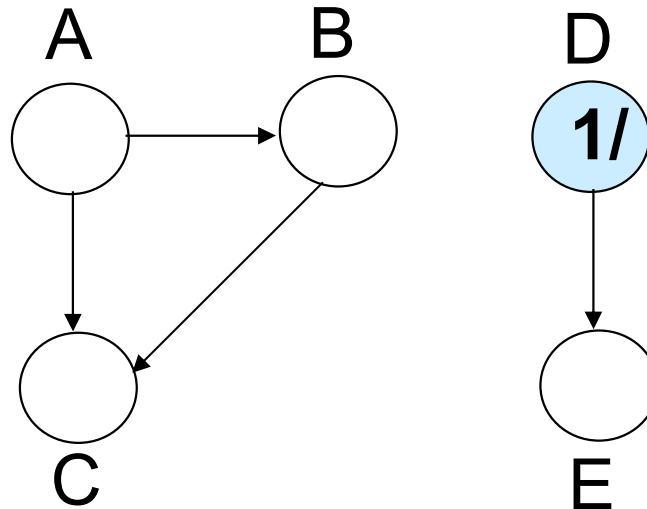
**Time:**  $\Theta(V + E)$ .

**Example:** On board.

# Example

(Courtesy of Prof. Jim Anderson)

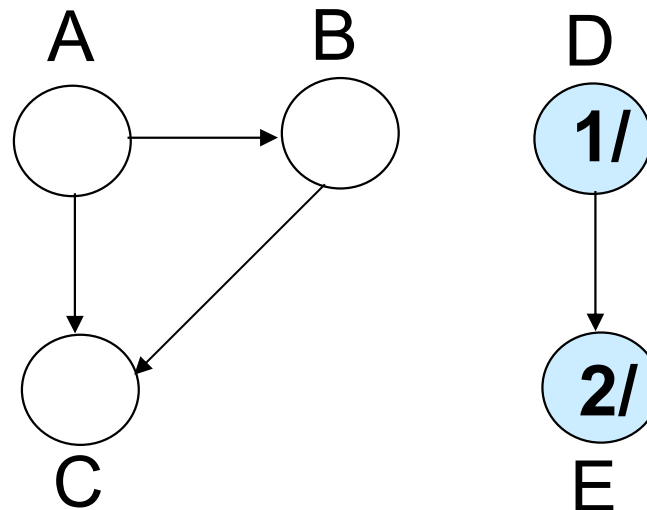
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**Linked List:**

# Example

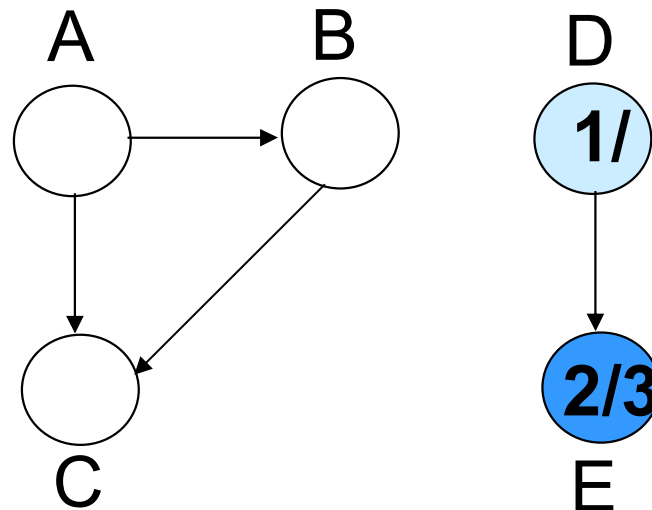
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**Linked List:**

# Example

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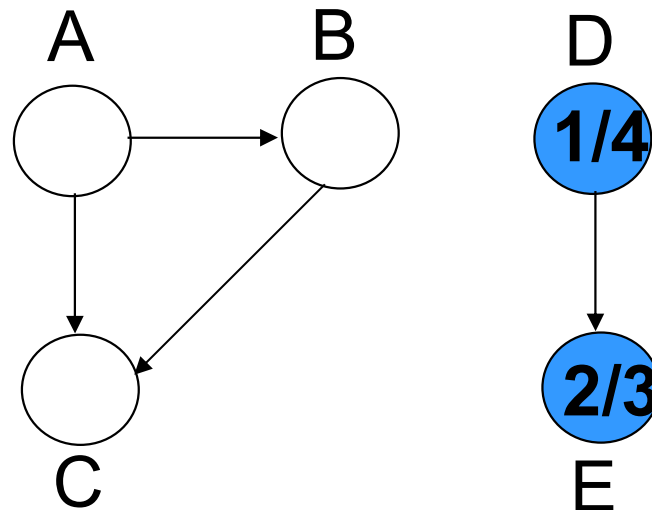


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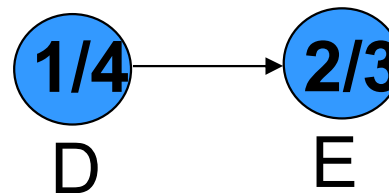


# Example

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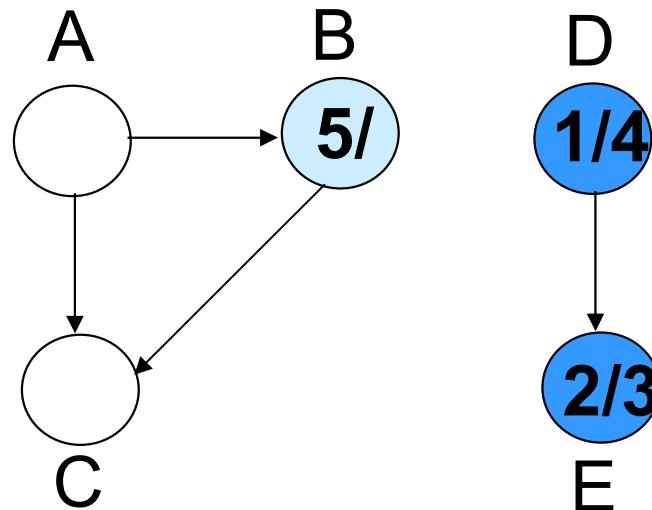


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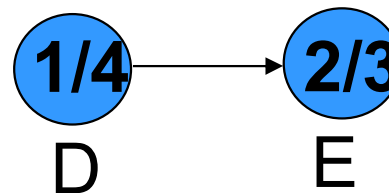


# Example

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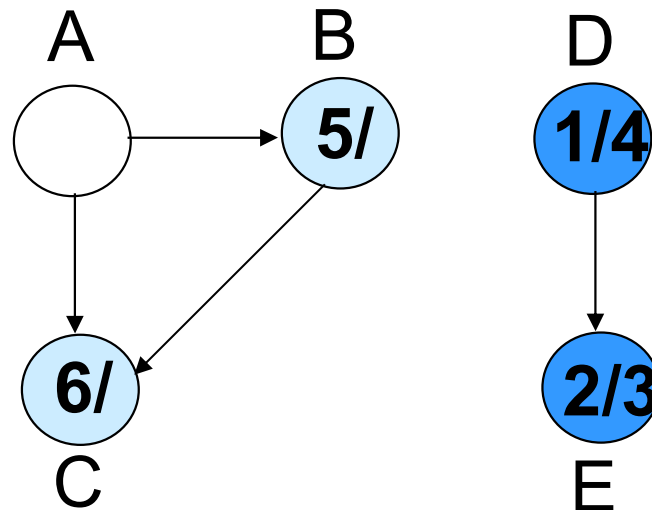
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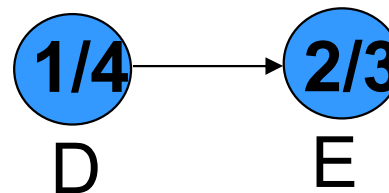


# Example

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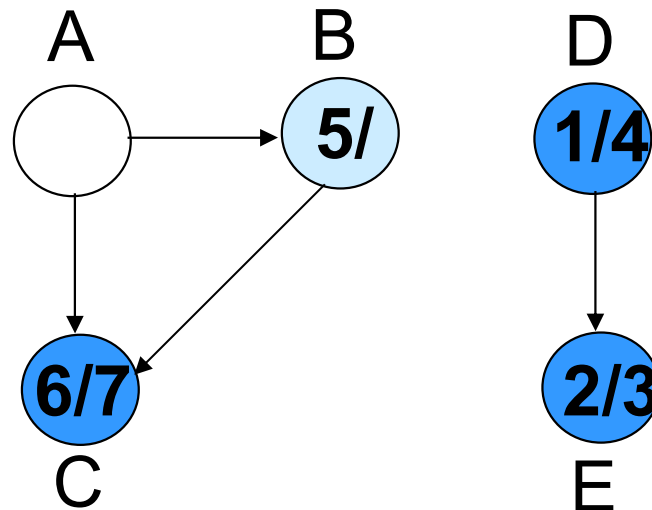


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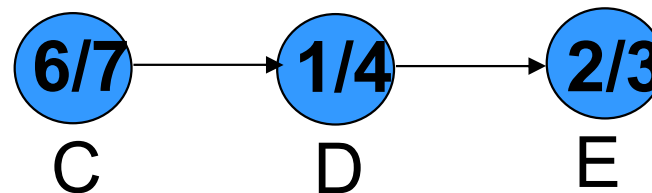


# Example

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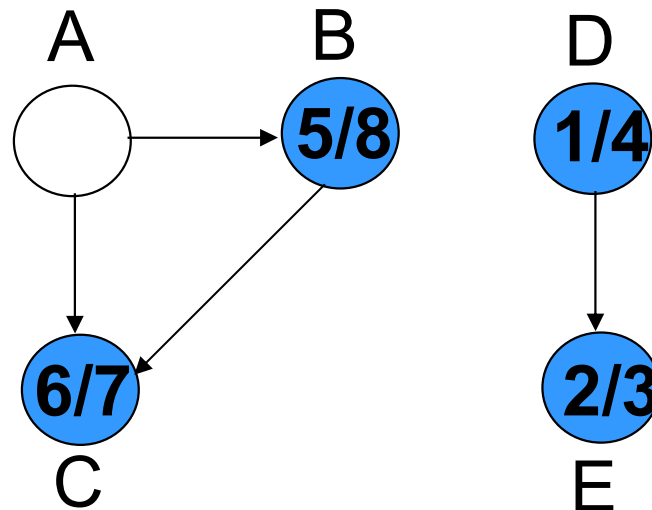


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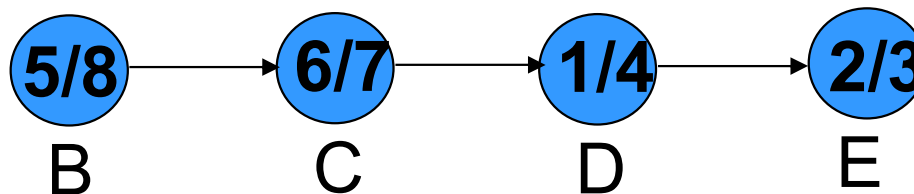


# Example

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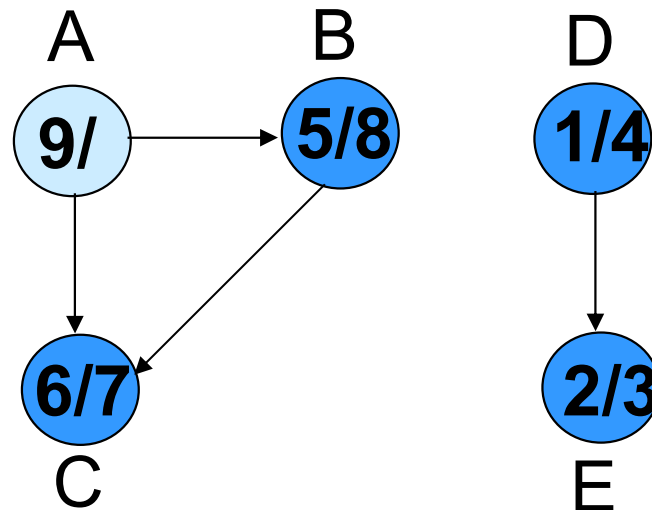


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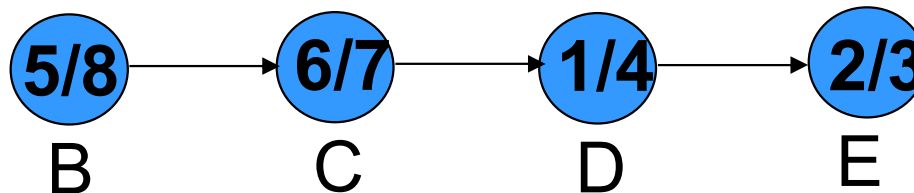


# Example

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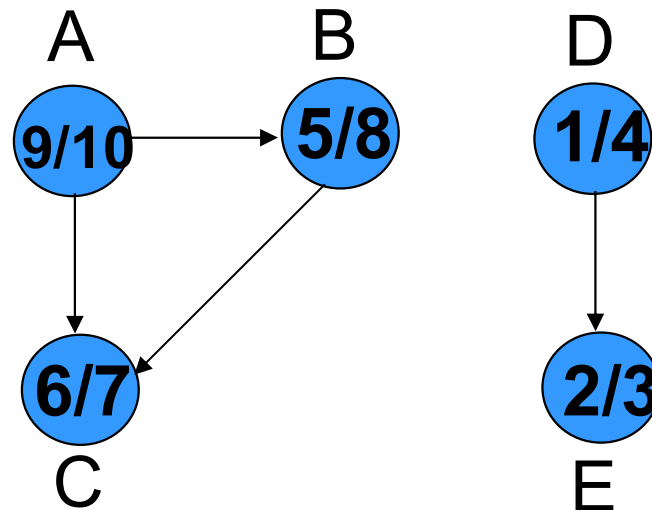


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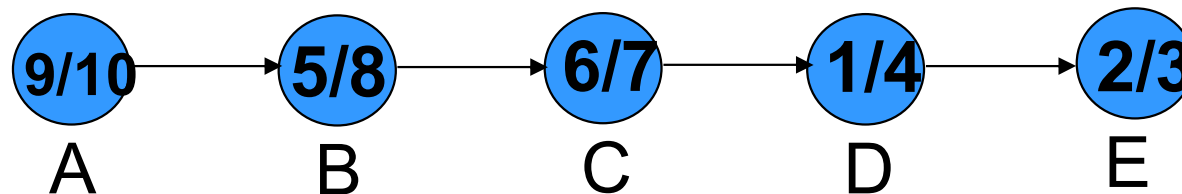


# Example

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**Linked List:**



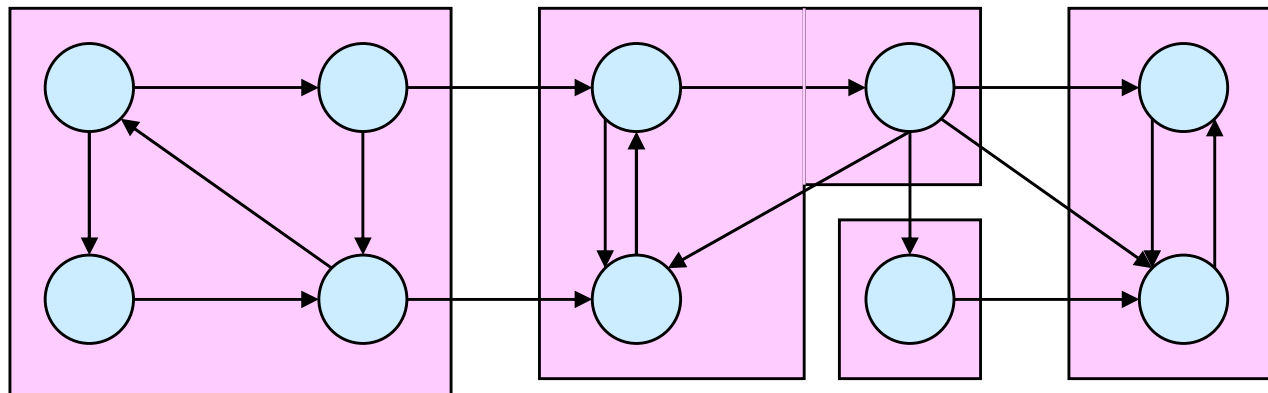
# Correctness Proof

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- Just need to show if  $(u, v) \in E$ , then  $f[v] < f[u]$ .
- When we explore  $(u, v)$ , what are the colors of  $u$  and  $v$ ?
  - $u$  is gray.
  - Is  $v$  gray, too?
    - No, because then  $v$  would be ancestor of  $u$ .
    - $\Rightarrow (u, v)$  is a back edge.
    - $\Rightarrow$  contradiction of Lemma 22.11 (DAG has no back edges).
  - Is  $v$  white?
    - Then becomes descendant of  $u$ .
    - By parenthesis theorem,  $d[u] < d[v] < \underline{f[v]} < \underline{f[u]}$ .
  - Is  $v$  black?
    - Then  $v$  is already finished.
    - Since we're exploring  $(u, v)$ , we have not yet finished  $u$ .
    - Therefore,  $f[v] < f[u]$ .

# Strongly Connected Components

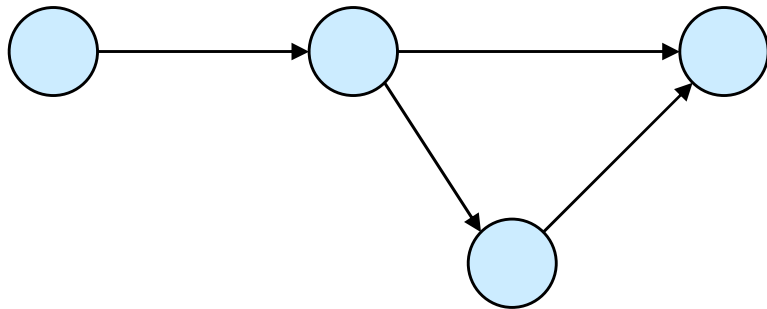
- $G$  is strongly connected if every pair  $(u, v)$  of vertices in  $G$  is reachable from one another.
- A **strongly connected component (SCC)** of  $G$  is a maximal set of vertices  $C \subseteq V$  such that for all  $u, v \in C$ , both  $u \rightsquigarrow v$  and  $v \rightsquigarrow u$  exist.



# Component Graph

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- $G^{\text{SCC}} = (V^{\text{SCC}}, E^{\text{SCC}})$ .
- $V^{\text{SCC}}$  has one vertex for each SCC in  $G$ .
- $E^{\text{SCC}}$  has an edge if there's an edge between the corresponding SCC's in  $G$ .
- $G^{\text{SCC}}$  for the example considered:





# $G^{\text{SCC}}$ is a DAG

## Lemma 22.13

Let  $C$  and  $C'$  be distinct SCC's in  $G$ , let  $u, v \in C$ ,  $u', v' \in C'$ , and suppose there is a path  $u \rightsquigarrow u'$  in  $G$ . Then there cannot also be a path  $v' \rightsquigarrow v$  in  $G$ .

## Proof:

- Suppose there is a path  $v' \rightsquigarrow v$  in  $G$ .
- Then there are paths  $u \rightsquigarrow u' \rightsquigarrow v'$  and  $v' \rightsquigarrow v \rightsquigarrow u$  in  $G$ .
- Therefore,  $u$  and  $v'$  are reachable from each other, so they are not in separate SCC's.

# Transpose of a Directed Graph

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- $G^T = \text{transpose}$  of directed  $G$ .
  - $G^T = (V, E^T)$ ,  $E^T = \{(u, v) : (v, u) \in E\}$ .
  - $G^T$  is  $G$  with all edges reversed.
- Can create  $G^T$  in  $\Theta(V + E)$  time if using adjacency lists.
- $G$  and  $G^T$  have the *same* SCC's. ( $u$  and  $v$  are reachable from each other in  $G$  if and only if reachable from each other in  $G^T$ .)

# Algorithm to determine SCCs

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## SCC( $G$ )

1. call DFS( $G$ ) to compute finishing times  $f[u]$  for all  $u$
2. compute  $G^T$
3. call DFS( $G^T$ ), but in the main loop, consider vertices in order of decreasing  $f[u]$  (as computed in first DFS)
4. output the vertices in each tree of the depth-first forest formed in second DFS as a separate SCC

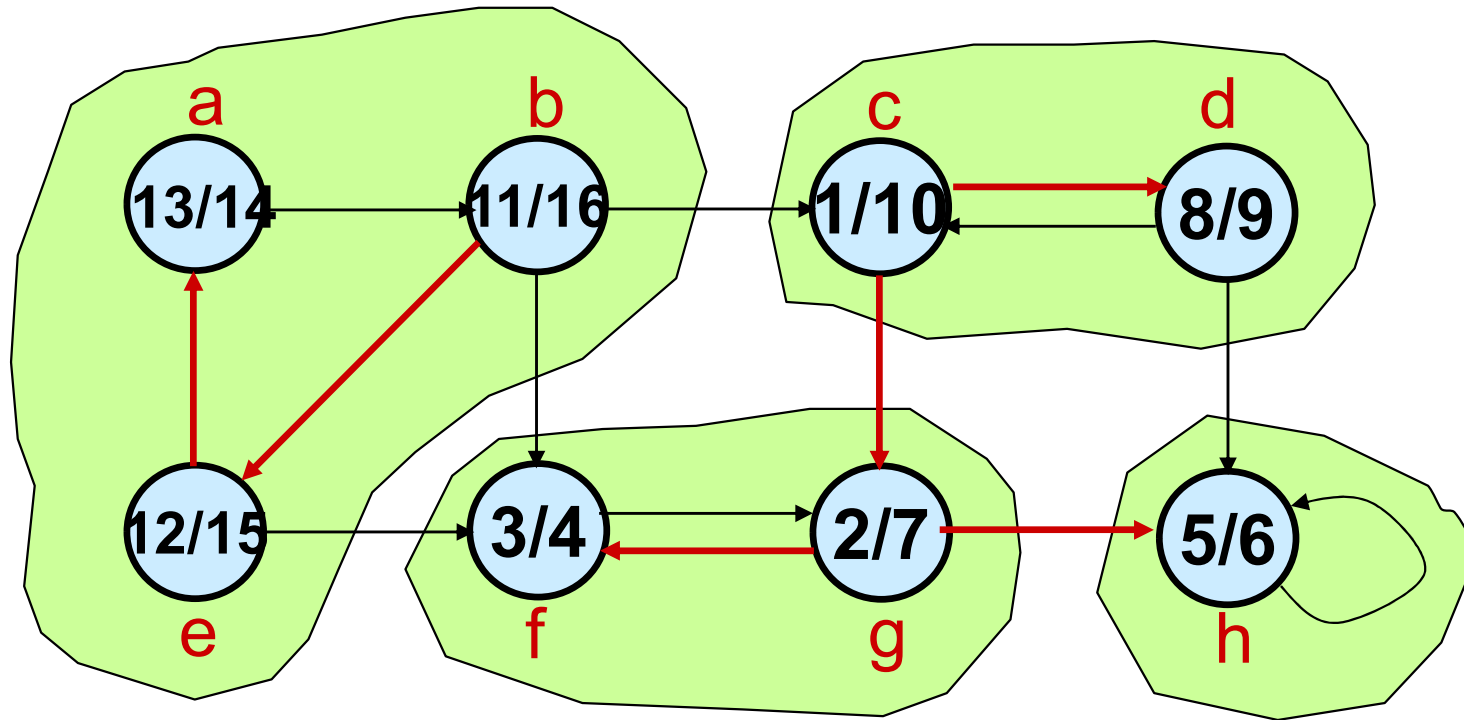
**Time:**  $\Theta(V + E)$ .

**Example:** On board.

# Example

(Courtesy of Prof. Jim Anderson)

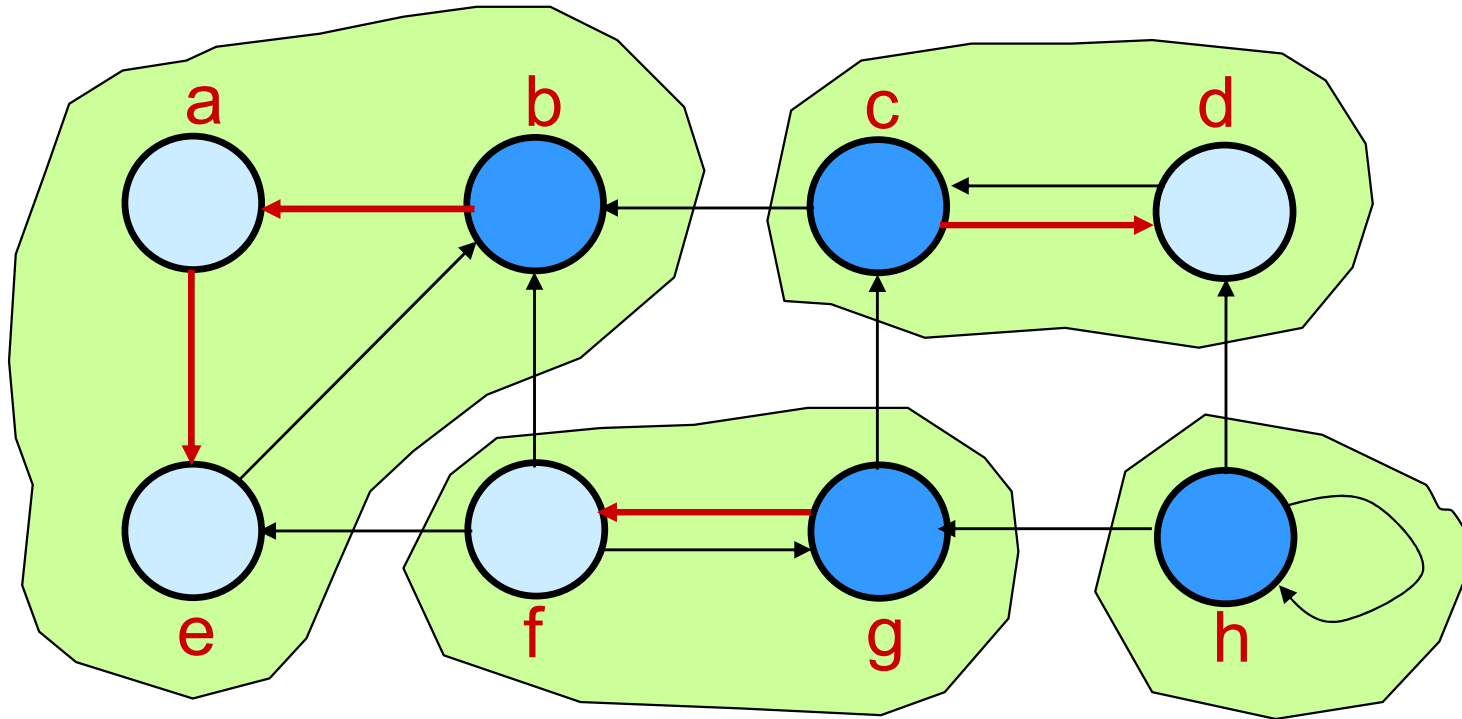
**G**



# Example

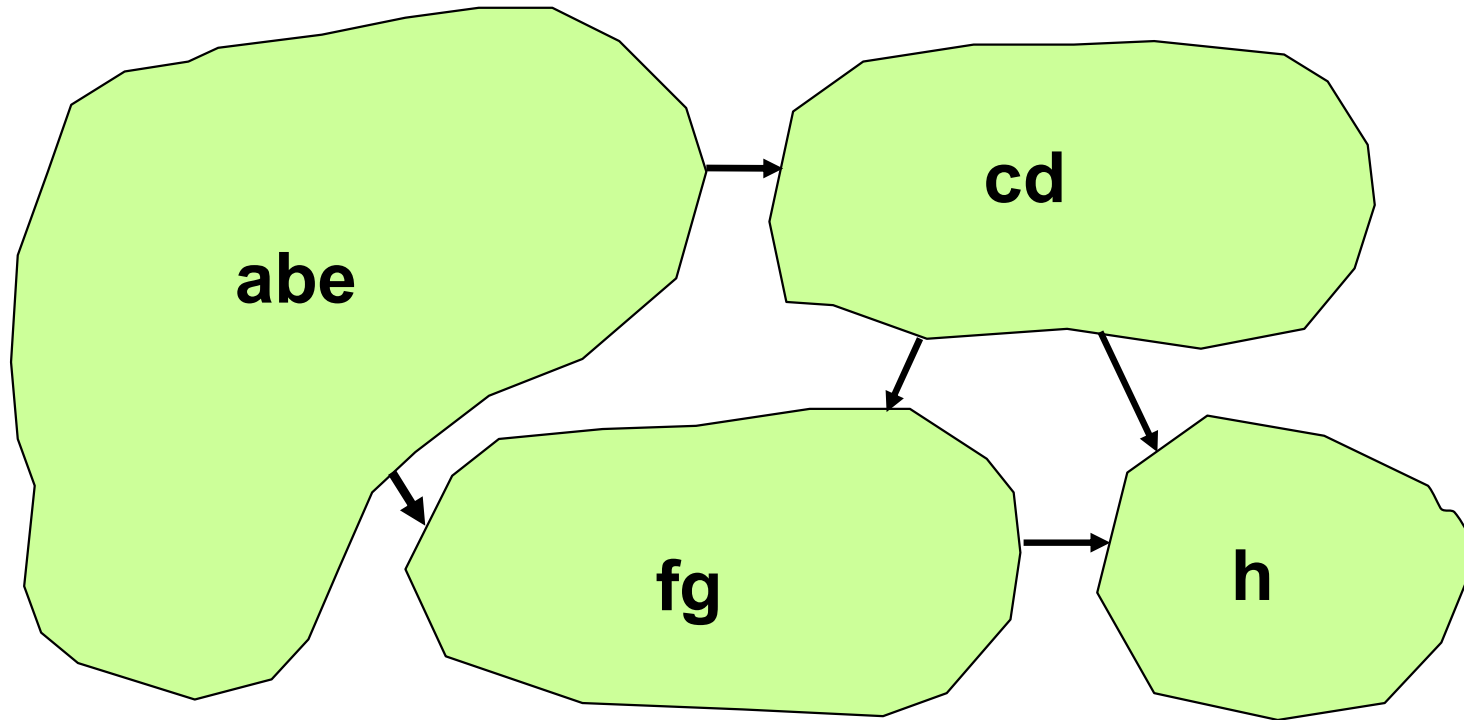
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$G^T$



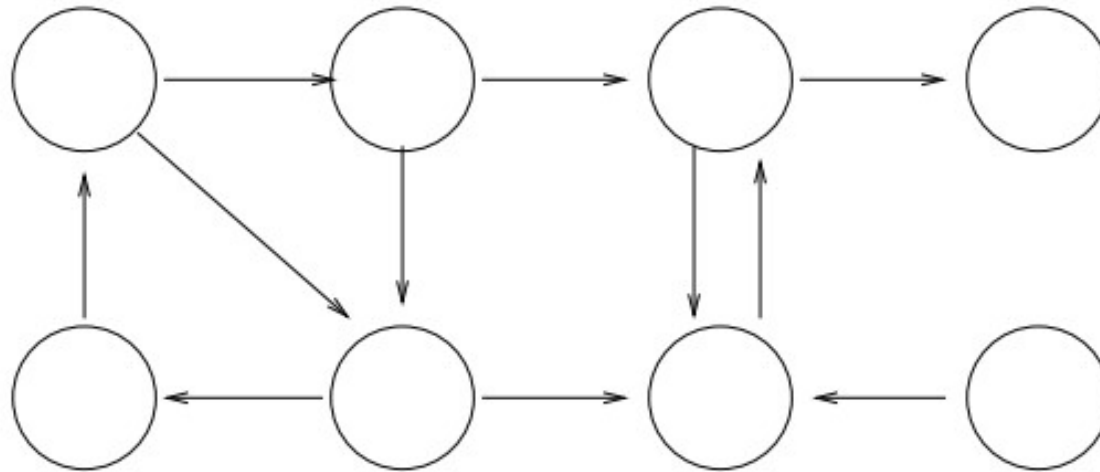
# Example

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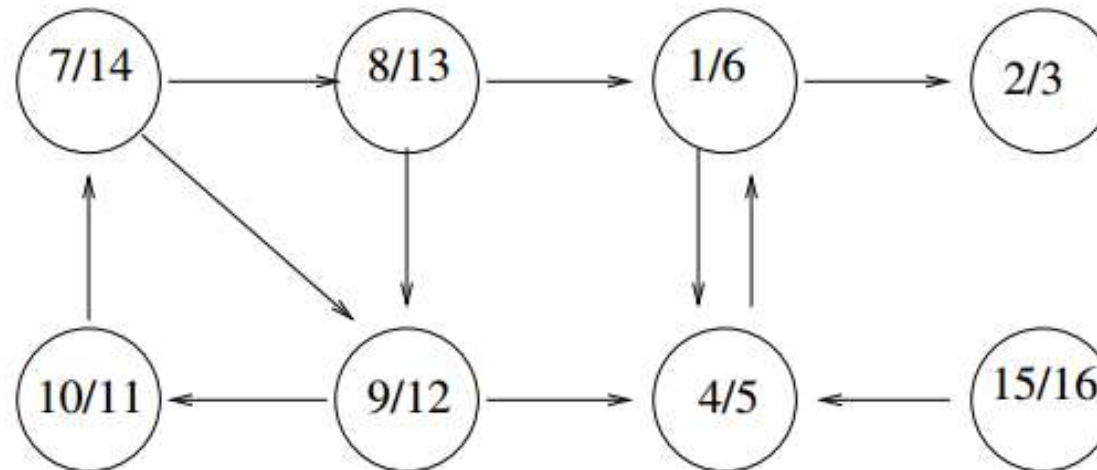
# Example (2)

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# Example (2) DFS

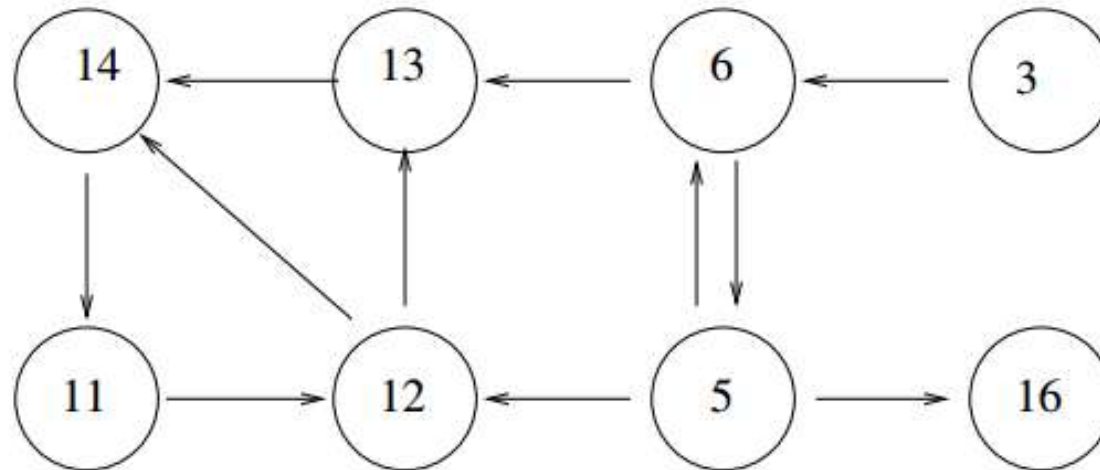
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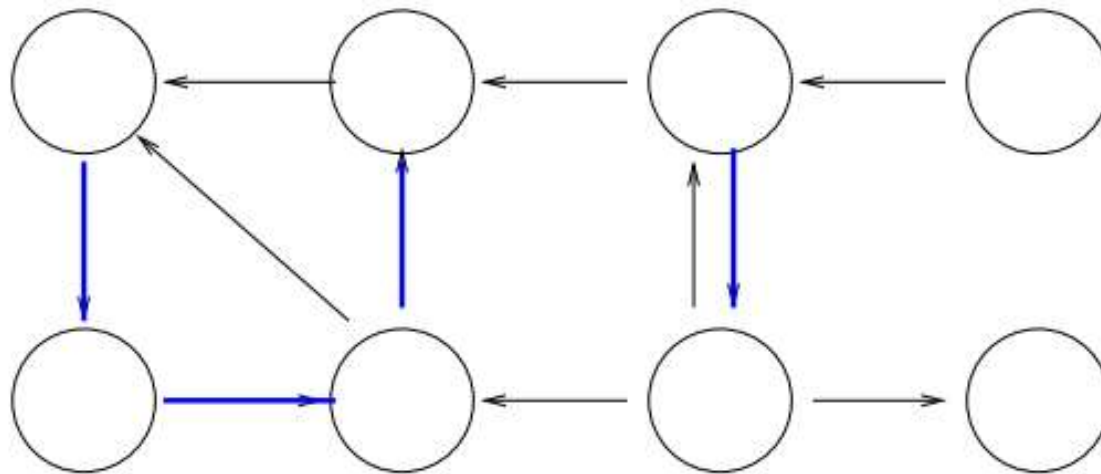
# Example (2) $G^T$

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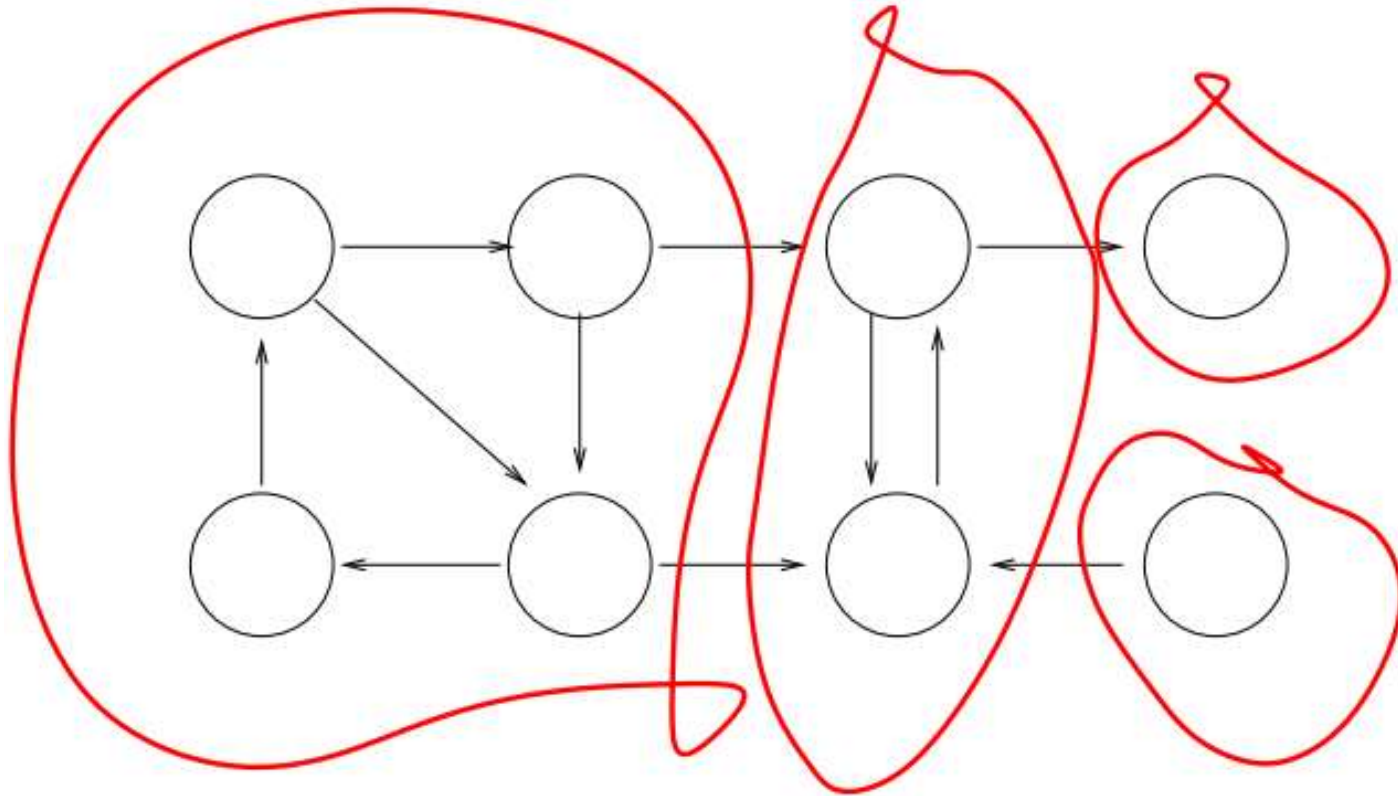
# Example (2) DFT in $G^T$

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# Example (2) SCC

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# How does it work?

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- **Idea:**

- By considering vertices in second DFS in decreasing order of finishing times from first DFS, we are visiting vertices of the component graph in topologically sorted order.
- Because we are running DFS on  $G^T$ , we will not be visiting any  $v$  from a  $u$ , where  $v$  and  $u$  are in different components.

- **Notation:**

- $d[u]$  and  $f[u]$  always refer to *first* DFS.
- Extend notation for  $d$  and  $f$  to sets of vertices  $U \subseteq V$ :
- $d(U) = \min_{u \in U} \{d[u]\}$  (earliest discovery time)
- $f(U) = \max_{u \in U} \{f[u]\}$  (latest finishing time)

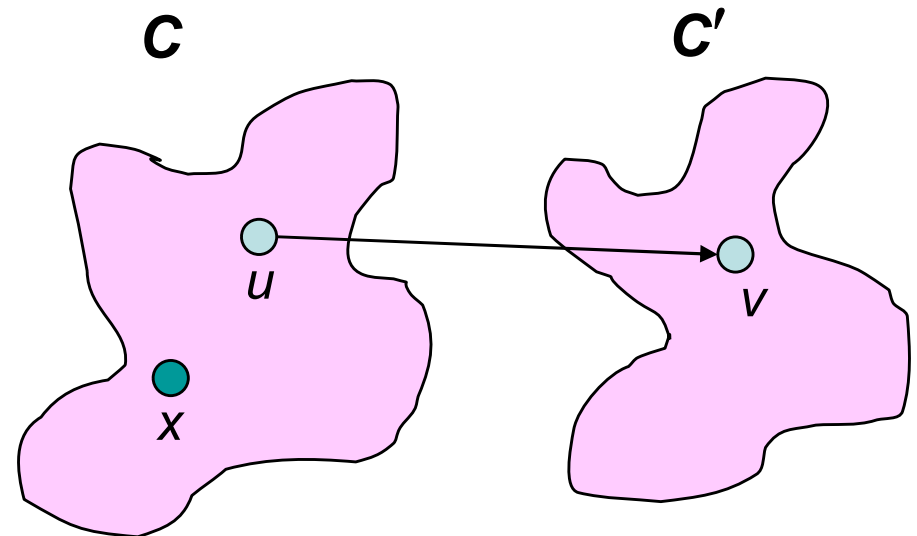
# SCCs and DFS finishing times

## Lemma 22.14

Let  $C$  and  $C'$  be distinct SCC's in  $G = (V, E)$ . Suppose there is an edge  $(u, v) \in E$  such that  $u \in C$  and  $v \in C'$ . Then  $f(C) > f(C')$ .

### Proof:

- *Case 1:  $d(C) < d(C')$* 
  - Let  $x$  be the first vertex discovered in  $C$ .
  - At time  $d[x]$ , all vertices in  $C$  and  $C'$  are white. Thus, there exist paths of white vertices from  $x$  to all vertices in  $C$  and  $C'$ .
  - By the white-path theorem, all vertices in  $C$  and  $C'$  are descendants of  $x$  in depth-first tree.
  - By the parenthesis theorem,  $f[x] = f(C) > f(C')$ .



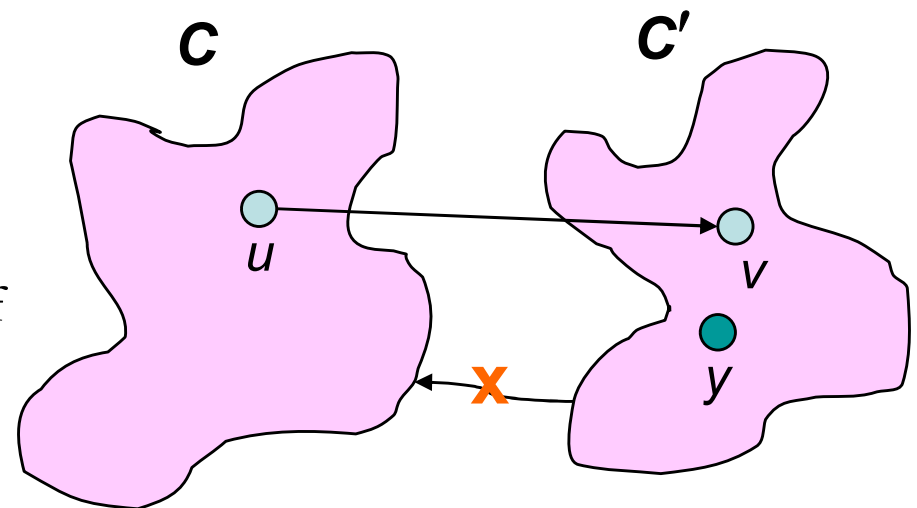
# SCCs and DFS finishing times

## Lemma 22.14

Let  $C$  and  $C'$  be distinct SCC's in  $G = (V, E)$ . Suppose there is an edge  $(u, v) \in E$  such that  $u \in C$  and  $v \in C'$ . Then  $f(C) > f(C')$ .

### Proof:

- **Case 2:  $d(C) > d(C')$** 
  - Let  $y$  be the first vertex discovered in  $C'$ .
  - At time  $d[y]$ , all vertices in  $C'$  are white and there is a white path from  $y$  to each vertex in  $C' \Rightarrow$  all vertices in  $C'$  become descendants of  $y$ . Again,  $f[y] = f(C')$ .
  - At time  $d[y]$ , all vertices in  $C$  are also white.
  - By earlier lemma, since there is an edge  $(u, v)$ , we cannot have a path from  $C'$  to  $C$ .
  - So no vertex in  $C$  is reachable from  $y$ .
  - Therefore, at time  $f[y]$ , all vertices in  $C$  are still white.
  - Therefore, for all  $w \in C$ ,  $f[w] > f[y]$ , which implies that  $f(C) > f(C')$ .



# SCCs and DFS finishing times

## Corollary 22.15

Let  $C$  and  $C'$  be distinct SCC's in  $G = (V, E)$ . Suppose there is an edge  $(u, v) \in E^T$ , where  $u \in C$  and  $v \in C'$ . Then  $f(C) < f(C')$ .

## Proof:

- $(u, v) \in E^T \Rightarrow (v, u) \in E$ .
- Since SCC's of  $G$  and  $G^T$  are the same,  $f(C') > f(C)$ , by Lemma 22.14.

# Correctness of SCC

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- When we do the second DFS, on  $G^T$ , start with SCC  $C$  such that  $f(C)$  is maximum.
  - The second DFS starts from some  $x \in C$ , and it visits all vertices in  $C$ .
  - Corollary 22.15 says that since  $f(C) > f(C')$  for all  $C \neq C'$ , there are no edges from  $C$  to  $C'$  in  $G^T$ .
  - Therefore, DFS will visit *only* vertices in  $C$ .
  - Which means that the depth-first tree rooted at  $x$  contains *exactly* the vertices of  $C$ .



# Correctness of SCC

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- The next root chosen in the second DFS is in SCC  $C'$  such that  $f(C')$  is maximum over all SCC's other than  $C$ .
  - DFS visits all vertices in  $C'$ , but the only edges out of  $C'$  go to  $C$ , *which we've already visited*.
  - Therefore, the only tree edges will be to vertices in  $C'$ .
- We can continue the process.
- Each time we choose a root for the second DFS, it can reach only
  - vertices in its SCC—get tree edges to these,
  - vertices in SCC's *already visited* in second DFS—get *no* tree edges to these.