

# Adaptive Data Fusion for Energy Efficient Routing in Wireless Sensor Networks<sup>†</sup>

Hong Luo, Jun Luo, Yonghe Liu, and Sajal K. Das

{luo,juluo,yonghe,das}@cse.uta.edu

Dept. of Computer Science and Engineering

The University of Texas at Arlington, Arlington, TX 76019

## Abstract

While in-network data fusion can reduce data redundancy and hence curtail network load, the fusion process itself may introduce significant energy consumption for emerging wireless sensor networks with vectorial data and/or security requirements. Through a set of experimental studies, we first demonstrate that for certain applications, fusion costs indeed are comparable to those of communications. Therefore, fusion-driven routing protocols for sensor networks cannot optimize over communication cost only – fusion cost must also be accounted for. In our prior work [2], while a randomized algorithm termed *Minimum Fusion Steiner Tree (MFST)* is devised towards this end, it assumes that *data fusion shall be performed at any intersection node whenever data streams encounter*. Motivated by this limitation of MFST, in this paper, we design a novel routing algorithm, called *Adaptive Fusion Steiner Tree (AFST)*, for energy efficient data gathering in sensor networks. Not only does AFST jointly optimize over the costs for both data transmission and data fusion, but also AFST evaluates the benefit and cost of data fusion along information routes and *adaptively adjusts whether fusion shall be performed at a particular node*. Analytically and experimentally, we show that AFST achieves better performance than existing algorithms including SLT, SPT, and MFST.

## Index Terms

sensor networks, data gathering, data fusion, routing

<sup>†</sup> A preliminary version of this work appeared in [1].

## I. INTRODUCTION

Wireless sensor networks have attracted a plethora of research efforts due to their vast potential applications [3][4]. In particular, extensive research work has been devoted to providing energy efficient routing algorithms for data gathering [5–19]. While some of these approaches assume statistically independent information and have developed shortest path tree based routing strategies [5,6], others have considered the more realistic case of correlated data gathering [7–18]. By exploring data correlation and employing in-network processing, redundancy among sensed data can be curtailed and hence the network load can be reduced [8]. The objective of sensor routing algorithms is then to jointly explore the data structure and network topology to provide the optimal strategy for data gathering with as minimum energy as possible.

Regardless of the techniques employed, existing strategies miss one key dimension in the optimization space for routing correlated data, namely the *data aggregation cost*. Indeed, the cost for data aggregation may not be negligible for certain applications. For example, sensor networks monitoring field temperature may use simple average, max, or min functions which essentially are of insignificant cost. However, other networks may require complex operations for data fusion<sup>1</sup>. Energy consumption of beamforming algorithm for acoustic signal fusion has been shown to be on the same order of that for data transmission [20]. Moreover, encryption and decryption at intermediate nodes will significantly increase fusion cost in the hop-by-hop secure network since the computational cost is on the scale of  $nJ$  per bit [21]. In our own experimental study described in the *Appendix*, we show that aggregation processes such as image fusion cost tens of  $nJ$  per bit, which is on the same order as the communication cost reported in the literature [7, 20].

Different from transmission cost that depends on the output of the fusion function, the fusion cost is mainly determined by the inputs of the fusion function. Therefore, in addition to transmission

<sup>1</sup>In this paper we will consider “aggregation” and “fusion” interchangeable, denoting the data reduction process on intermediate sensor nodes.

cost, the fusion cost can significantly affect routing decisions when involving data aggregation. In our prior work [2], we presented a randomized algorithm termed *Minimum Fusion Steiner Tree (MFST)* that jointly optimizes over both the fusion and transmission costs to minimize overall energy consumption. MFST is proved to achieve a routing tree that exhibits  $\frac{5}{4}\log(n+1)$  approximation ratio to the optimal solution, where  $n$  denotes the number of source nodes.

While MFST has been shown to outperform other routing algorithms including *Shortest Path Tree (SPT)*, *Minimum Spanning Tree (MST)*, and *Shallow Light Tree (SLT)* in various system settings, it assumes that aggregation is performed at the intersection nodes *whenever* data streams encounter. However, as we shall show below, such a strategy may introduce unnecessary energy consumption. Specifically, performing fusion at certain nodes may be less efficient than simply relaying the data directly. This observation motivates us to design an adaptive fusion strategy that not only optimizes information routes, but also embeds the decisions as to when and where fusion shall be performed in order to minimize the total network energy consumption.

### A. Motivation

Fig. 1 depicts a sensor network where sensor nodes are deployed on grid and sensed information of the source nodes is to be routed to sink  $t$ . Arrow lines form the aggregation tree in which nodes  $u$  and  $v$  initially aggregate data of areas  $A$  and  $B$ , respectively. As the sink is far away,  $u$  and  $v$  further aggregate their data at  $v$  and then send one fused data to the sink. Assume

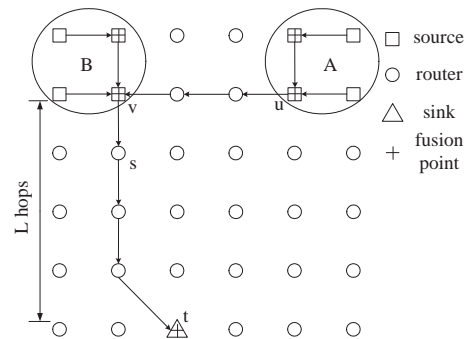


Fig. 1. Illustration of fusion benefit, or disadvantage, in sensor networks.

each hop has identical unit transmission cost  $c_0$ , the fusion cost is linear to the total amount of incoming data, and the unit fusion cost is  $q_0$ . Let  $w(u)$  and  $w(v)$  respectively denote the amount of data at  $u$  and  $v$  before the aggregation between them. The amount of resultant aggregated data at  $v$

can be expressed as  $(w(u) + w(v))(1 - \sigma_{uv})$ , where  $\sigma_{uv}$  represents the data reduction ratio owing to aggregation. In this scenario, if  $v$  performs data fusion, the total energy consumption of the route from  $v$  to  $t$ , assuming there are  $L$  hops in between, is  $Lc_0(w(u) + w(v))(1 - \sigma_{uv}) + q_0(w(u) + w(v))$ . On the contrary, if  $v$  does not perform data fusion, the total energy consumption of the same route is simply the total relaying cost,  $Lc_0(w(u) + w(v))$ . To minimize the *total energy consumption of the network*,  $v$  should not perform data fusion as long as  $\sigma_{uv} < \frac{q_0}{Lc_0}$ .

This simple example reveals that to minimize total network energy consumption, the decision at an individual node has to be based on data reduction ratio due to aggregation, its related cost, and its effect on the communication costs at the succeeding nodes. Although the criteria can be easily obtained for this simple example, a sensor network confronting various aggregation/communication costs, and data/topology structures, undoubtedly will dramatically augment the difficulty of the fusion decisions.

### B. Our Contribution

In this paper, we propose *Adaptive Fusion Steiner Tree* (AFST), a routing scheme that not only optimizes over both transmission and fusion costs, but also adaptively adjusts its fusion decisions for sensor nodes. By evaluating whether fusion is beneficial to the network based on fusion/transmission costs and network/data structures, AFST dynamically assigns fusion decisions to routing nodes during the route construction process. Analytically we prove that AFST outperforms MFST. Through an extensive set of simulations, we demonstrate that AFST provides significant energy saving over MFST (up to 70%) and other routing algorithms under a wide range of system setups. By adapting both the routing tree and fusion decisions to various network conditions, including fusion cost, transmission cost, and data structure, AFST provides a routing algorithm suitable for a broad range of applications.

In particular, we prove that the routing tree resulted from AFST consists of two parts: a lower part where aggregation is always performed, and an upper part where no aggregation occurs. The

result can be readily applied in designing clustering algorithms in sensor networks: based on where fusion stops, the network can be partitioned into clusters where data aggregation is confined to be within the clusters only.

The remainder of this paper is organized as follows. In Section II, we describe the system model and formulate the routing problem. Section III first gives an overview of the randomized approximation algorithm MFST, and then presents in detail the design and analysis of the proposed algorithm AFST. In section IV we experimentally study the performance of AFST. Section V gives the related work and section VI concludes the paper.

## II. SYSTEM MODEL AND PROBLEM FORMULATION

### A. Network Model

We model a sensor network as a graph  $G = (V, E)$  where  $V$  denotes the node set and  $E$  the edge set representing the communication links between node-pairs. We assume a set  $S \subset V$  of  $n$  nodes, are data sources of interests and the sensed data needs to be sent to a special sink node  $t \in V$  periodically. We refer the period of data gathering as a *round* in this paper.

For a node  $v \in S$ , we define node weight  $w(v)$  to denote the amount of information outgoing from  $v$  in every round. An edge  $e \in E$  is denoted by  $e = (u, v)$ , where  $u$  is the start node and  $v$  is the end node. The weight of edge  $e$  is equivalent to the weight of its start node, i.e.,  $w(e) = w(u)$ . Two metrics,  $t(e)$  and  $f(e)$ , are associated with each edge, describing the transmission cost and fusion cost on the edge, respectively.

Transmission cost,  $t(e)$ , denotes the cost for transmitting  $w(e)$  amount of data from  $u$  to  $v$ . we abstract the unit cost of the link for transmitting data from  $u$  to  $v$  as  $c(e)$  and thus the transmission cost  $t(e)$  is

$$t(e) = w(e)c(e). \quad (1)$$

Notice that  $c(e)$  is edge-dependent and hence can accommodate various conditions per link, for example, different distances between nodes and local congestion situations.

Fusion cost,  $f(e)$  denotes energy consumption for fusion process at the *end* node  $v$ .  $f(e)$  depends on the amount of data to be fused as well as the algorithms utilized. In this paper, we use  $q(e)$  to abstract the unit fusion cost on edge  $e$ . Since data fusion is performed by intermediate nodes to aggregate their own data with their children's, in order to avoid confusion, we use  $\tilde{w}(\cdot)$  to denote the temporary weight of a node *before current data fusion*. Then the cost for fusing the data of nodes  $u$  and  $v$  at node  $v$  is given by

$$f(e) = q(e) \cdot (w(u) + \tilde{w}(v)). \quad (2)$$

Key to a sensor data routing protocol with data fusion is the data aggregation ratio. Unfortunately, this ratio is heavily dependent on application scenarios. Here, we use an abstract parameter  $\sigma$  to denote the data reduction ratio due to aggregation. To be more specific, if node  $v$  is responsible for fusing node  $u$ 's data (denoted by  $w(u)$ ) with its own, we have  $w(v) = (w(u) + \tilde{w}(v))(1 - \sigma_{uv})$ , where  $\tilde{w}(v)$  and  $w(v)$  denotes the data amount of node  $v$  before and after fusion. Notice that  $\sigma_{u,v}$  may be different before and after the fusion process between node  $u$  and another node, as the weight  $w(u)$  will change by the fusion and the data correlation between node  $u$  and  $v$  will be different as well.

Due to aggregation cost, node  $v$  may choose not to perform data aggregation in order to realize maximum energy saving. Instead, it will simply relay the incoming data of node  $u$ . In this case, the new weight of node  $v$  is simply  $w(v) = (w(u) + \tilde{w}(v))$ . Jointly considering both cases described above, we can summarize the aggregation function at node  $v$  as

$$w(v) = (w(u) + \tilde{w}(v))(1 - \sigma_{uv}x_{uv}), \quad (3)$$

where  $x_{uv} \in \{0, 1\}$  denotes whether fusion occurs on edge  $e = (u, v)$ .

## B. Problem Formulation

Given the source node set  $S$  and sink  $t$ , our objective is to design a routing algorithm that minimizes the energy consumption when delivering data from all source nodes in  $S$  to the sink  $t$ . Not only do we need to design routing paths back hauling sensed information driven by information aggregation, but also we have to optimize over the decisions as to whether aggregation shall occur or not on a particular node.

Mathematically, a feasible routing scheme is a connected subgraph  $G' = (V', E')$  where  $G' \subset G$  contains all sources ( $S \subset V'$ ) and the sink ( $t \in V'$ ). Depending on whether fusion is performed or not, the edge set  $E'$  can be divided into two disjoint subsets  $E'_f$  and  $E'_n$ , where  $E'_f = \{e | e \in E', x_e = 1\}$  and  $E'_n = \{e | e \in E', x_e = 0\}$ . Our goal is to find a feasible subgraph  $G^*$  such that

$$G^* = \operatorname{argmin}_{G'} \sum_{e \in E'_f} (f(e) + t(e)) + \sum_{e \in E'_n} t(e) \quad (4)$$

## III. DESIGN AND ANALYSIS OF AFST

While MFST [2] has provided an approximation routing algorithm that jointly optimizes over both the transmission and fusion costs with proven performance bound, it lacks fusion decisions in routing construction. This motivates us to design *Adaptive Fusion Steiner Tree (AFST)*, which achieves significantly better performance than MFST due to the incorporation of fusion decision.

In designing AFST to solve the optimization problem as presented in (4), our approach is as follows. By exploiting certain network properties, we first propose a heuristic solution termed *Binary Fusion Steiner Tree (BFST)*, which is analytically shown to have better performance than MFST. However, BFST is still constrained to the tree structure obtained from MFST. By employing SPT rather than the structure obtained via MFST, where appropriate, we further improve BFST to AFST. As a result, we are able to analytically show that AFST is capable of achieving better performance than BFST.

As AFST is based on MFST, we first give a brief overview of MFST. Then we introduce fusion

decisions into the routing structure generated by the MFST scheme. Following that, we detail our new solution.

### A. Brief Overview of MFST

The minimum fusion steiner tree (MFST) is based on the techniques presented in [14, 22]. It first pairs up source nodes (or source with the sink) based on defined metrics and then randomly selects a center node from the node-pair. The weight of the non-center node will be transferred to the center node, paying appropriate transmission and fusion costs on that edge. Subsequently, the non-center node will be eliminated and the center node with aggregated weight will be grouped as a new set of sources. This process will then be repeated on the new set until the sink is the only remaining node. The algorithm is detailed below for the sake of completeness.

#### MFST ALGORITHM:

1) Initialize the loop index  $i = 0$ . Define  $S_0 = S \cup \{t\}$ , and  $E^* = \emptyset$ . Let  $w_0(v)$  for any  $v \in S$  denote its original weight, and let  $w_0(t) = 0$ .

2) For every pair of nodes  $(u, v) \in S_i$ :

- Find the minimum cost path  $(u, v)$  in  $G$  according to the metric

$$M(e) = q(e) \cdot (w_i(u) + w_i(v)) + \alpha(w_i(u), w_i(v))c(e) \quad (5)$$

where  $\alpha(w_i(u), w_i(v)) = \frac{w_i(u)w_i(v)(w_i(u)+w_i(v))}{w_i^2(u)+w_i^2(v)}$  if  $(u, v)$  is no-sink pair and  $\alpha(w_i(u), w_i(v)) = w_i(u)$  if  $v$  is just the sink  $t$ .

- Define  $K_i(u, v)$  to be the distance under metric  $M(e)$  of this path.

3) Find minimum-cost perfect matching<sup>2</sup> between nodes in  $S_i$ . Let  $(u_{i,j}, v_{i,j})$  denote the  $j$ -th matched pair in  $S_i$ , where  $1 \leq j \leq |S_i|/2$ . If there is only one non-sink node left after matching, match it to itself without any cost, and consider it as the last “single-node pair”

<sup>2</sup>Minimum-cost perfect matching is a matching of edges in a graph that guarantees the total cost (distance) for all pairs under  $M(e)$  is minimized. For polynomial-time algorithms for this problem, see [23].



in  $S_i$ .

- 4) For each matched pair  $(u, v)$ , add those edges that are on the path defining  $K_i(u, v)$  to set  $E^*$ .
- 5) For each pair of non-sink matched nodes  $(u, v)$ , choose  $u$  to be the center with probability  $P(u = \text{center}) = \frac{w_i^2(u)}{w_i^2(u) + w_i^2(v)}$ . Otherwise, node  $v$  will be the center. For pair  $(u, t)$ , choose sink  $t$  to be the center.
- 6) Transport weight of non-center node to its corresponding center node. The weight of the center satisfies  $w_{i+1}(\text{center}) = (w_i(u) + w_i(v))(1 - \sigma_{uv})$ .
- 7) Remove all non-center nodes from  $S_i$ , then the remaining center nodes induce  $S_{i+1}$ .
- 8) If  $S_{i+1}$  contains only the sink, we return  $G^* = (V^*, E^*)$ , where  $E^*$  is the set of edges we constructed and  $V^*$  includes the source nodes and the sink. Otherwise increment  $i$  and return to step 2.

In MFST, the size of the set  $S_i$  is reduced half after one iteration of the algorithm. Therefore, the process terminates after  $\log(n + 1)$  iterations. In the remainder of this paper, we call each iteration a “stage” of the algorithm.

MFST jointly considers both fusion and transmission costs. It has been shown that it yields  $\frac{5}{4}\log(n + 1)$  approximation ratio to the optimal solution. Although extensive experiments [2] have shown that MFST can outperform other routing algorithms including SLT, SPT, and MST, one optimizing dimension is still missing, namely the aforementioned fusion decisions at sensor nodes. As MFST requires fusion to be performed along a routing path whenever possible, unnecessary energy may be wasted due to the inefficiency of fusion, for example, little information reduction due to weak correlation and high fusion cost.

Specially, this phenomena can be magnified in the proximity of the sink itself. As aggregated information streams are approaching the sink, their correlation decreases which will introduce

small data reduction owing to fusion. At the same time, directly relaying the data will not incur high communication cost as fewer hops are needed for relaying. Naturally, in this scenario, there is a high probability for direct relaying to outperform aggregation.

To solve this problem, we design AFST where adaptive fusion decisions will be incorporated into the routing construction process.

### B. 3-D Binary Tree Structure

In order to make our analysis more clear, we use a 3-D binary tree structure to describe the process of the hierarchical matching technique used in MFST. Fig. 2 illustrates a mapping example of original aggregation tree and its transformation. From bottom to top, the edges between two layers represent the result of node matching and center selection in each iteration of MFST.

Assuming there are  $n$  sources in the aggregation tree  $G^*$  obtained via MFST, to perform the transformation, we first clone  $N = \lceil \log(n + 1) \rceil$  copies of  $G^*$ , denoted by  $G^1, G^2, \dots, G^N$ . For convenience, we label the original  $G^*$  as  $G^0$  and arrange them into vertical layers as shown in Fig. 2(b). For simplification, we will refer node  $v$ 's clone in layer  $k$  as  $v_k$ . Subsequently, we map the original aggregation tree  $G^*$  as shown in Fig. 2(a) to a new graph  $\hat{G}^*$  embedded into these clones.  $\hat{G}^*$  is the targeted 3-D binary tree. The process is to map the result of each matching stage  $k$  in MFST to the edges between  $G^k$  and  $G^{k+1}$ . If we match  $u$  and  $v$  in stage  $k$  and  $v$  is selected as the center node in MFST, we first add an edge  $e$  linking  $v$ 's clones in  $G^k$  and  $G^{k+1}$  with zero unit transmission cost  $c(e) = 0$ . This edge is termed virtual edge (dotted line). Then we connect  $u_k$  to  $v_{k+1}$  with the same unit communication cost  $c(e)$  as in  $G^*$ .

The result of this transformation is a binary tree which is rooted at the sink in  $G^N$  and has all leaves in  $S$  residing in  $G^0$ . For each source  $v \in S$ , there is a path going through all clones of  $G^*$ , from  $v$  in  $G^0$  to the sink  $t$  in  $G^N$ , via exactly  $N$  hops.

In order to guarantee that the resulting 3-D tree is binary, the number of source nodes is

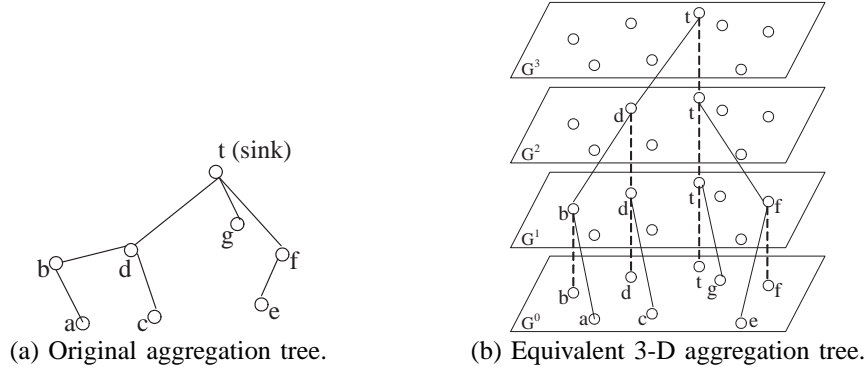


Fig. 2. Expression of data aggregation tree in a 3-D binary tree structure. Solid lines in (b) correspond to edges in (a) and dotted lines represent virtual edges.

assumed a power of 2 minus 1. If this is not the case, dummy nodes with weight 0 can be created as needed to complement the binary tree, corresponding to the single node pair matching cases. These dummy nodes have aggregation ratio 0 with any other nodes and incur zero fusion cost. As virtual edges and dummy nodes/edges incur zero cost for communication and fusion, the 3-D binary tree is equivalent to the original aggregation tree.

For each node  $v_k \in \hat{G}^*$  (the 3-D binary tree) in layer  $k$ , let  $w_{v_k}$  denote the temporary weight of node  $v$  after combining the incoming data from lower layers (with or without data fusion). Each edge  $e_k = (u_k, v_{k+1}) \in \hat{G}^*$ , between the  $k$ -th layer and the  $(k + 1)$ -th layer, is characterized by four parameters: edge weight  $w_{e_k} = w_{u_k}$ , unit transmission cost  $c_{e_k}$ , data aggregation ratio  $\sigma_{e_k}$ , and unit fusion cost  $q_{e_k}$ . Note that virtual edges have  $c_{e_k} = 0$  and  $\sigma_{e_k} = 1$  (full aggregation), and dummy edges have  $w_{e_k} = 0$  and  $\sigma_{e_k} = 0$  (no aggregation).

To incorporate fusion decision, we use  $x_{e_k} \in \{0, 1\}$  to represent whether or not information on edge  $e_k$  is fused at the end node of this edge. Therefore, the optimal routing structures is an optimization problem over  $x_{e_k}$  as well as the tree structure. Towards this end, we present *Binary Fusion Steiner Tree* (BFST), an approximate solution to this routing problem.

### C. Binary Fusion Steiner Tree (BFST)

In BFST, we first obtain a routing tree using MFST algorithm, where fusion is performed by any intermediate node. Subsequently, we evaluate whether fusion on individual nodes will reduce the energy consumption of the network. If not, the fusion process on the node will be cancelled and instead data will be directly relayed.

#### BFST ALGORITHM:

- 1) Run MFST algorithm to obtain routing tree with fusion at every node possible. Convert the resulting aggregation tree to the 3-D binary tree as described above. For all edges in the tree, set  $x_{e_k} = 1$ .
- 2) From bottom to top, calculate the fusion benefit for each edge in the aggregation tree (excluding virtual edges), which can represent the energy saving by data fusion on that edge. Let  $\Delta_{u_k, v_{k+1}}$  denote the fusion benefit of edge  $e_k = (u_k, v_{k+1})$ . It is defined as
 
$$\Delta_{u_k, v_{k+1}} = (w_{u_k} + w_{v_k})C(v_{k+1}, t_N) - \left( (w_{u_k} + w_{v_k})(1 - \sigma_{e_k})C(v_{k+1}, t_N) + q_{e_k}(w_{u_k} + w_{v_k}) \right) \quad (6)$$
 where  $C(v_{k+1}, t_N)$  denotes the summation of unit transmission costs from  $v_{k+1}$  to  $t_N$  in MFST. Set  $x_{e_k} = 1$  if  $\Delta_{u_k, v_{k+1}} > 0$ ; otherwise, set  $x_{e_k} = 0$ .
- 3) For all edges with  $x_{u_k, v_{k+1}} = 0$ , set  $x_{v_k, v_{k+1}} = 0$  to their corresponding virtual edges.

In our analysis, we will employ the 3-D binary tree described in the previous subsection. To simplify the analysis, we assume that in BFST, the data reduction ratio  $\sigma$  is non-increasing on each path from the source to the sink while the unit fusion cost  $q$  is non-decreasing, excluding virtual edges. This assumption can be naturally justified. First, strong correlation and thus high aggregation ratio usually are due to spatial correlation resulting from short distances between nodes. In turn, these short distances will lead to small unit transmission cost. Based on the metric  $M(e)$  defined in MFST (which is a combination of fusion cost and transmission cost), it will match strongly correlated nodes before matching weakly correlated nodes. Therefore, for edges

on a source-sink path, the aggregation ratio for edges near the sink will not be larger than those further away. Reflected on the 3-D binary tree, this will lead to non-increasing  $\sigma$  on a particular source-sink path. The reason for skipping virtual edges is that their data aggregation ratio is set to 1 and does not affect the actual energy consumption of the network. Second, the unit fusion cost  $q$  is determined mainly by the complexity of the fusion algorithm and the input data set. As the information is being routed toward the sink, the data size and complexity will naturally increase due to aggregation on the route. Therefore, performing fusion thereon will incur more computation and hence more energy consumption per unit data.

Based on this assumption, we first introduce Lemma 1.

*Lemma 1:  $x_e$  is non-increasing on each path from a source to the sink in BFST.*

*Proof:* Let Fig. 3 represent a branch of the binary fusion tree produced by BFST. In which, solid lines represent actual edges in the aggregation tree; dotted lines denote virtual edges added for analysis, and dash-dotted lines are paths to the sink. On any path from a source node to the sink, assume  $e_k$  is the first edge with  $x_{e_k} = 0$ . We will enumerate different cases.

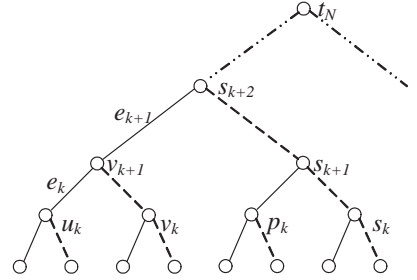


Fig. 3. A fraction of the binary fusion tree for BFST.

**Case 1:** If  $e_k$ , the first edge not performing fusion, is not a virtual edge, there are two sub-cases depending on its succeeding edge,  $e_{k+1}$ , as discussed below.

If both  $e_k$  and its succeeding edge  $e_{k+1}$  are not virtual edges, as exemplified by  $e_k = (u_k, v_{k+1})$  and  $e_{k+1} = (v_{k+1}, s_{k+2})$  shown in Fig. 3, we have  $\Delta_{u_k, v_{k+1}} \leq 0$ . From Equation (6), we have  $\sigma_{u_k, v_{k+1}} C(v_{k+1}, t_N) \leq q_{u_k, v_{k+1}}$ . As  $\sigma_{u_k, v_{k+1}} \geq \sigma_{v_{k+1}, s_{k+2}}$  and  $q_{u_k, v_{k+1}} \leq q_{v_{k+1}, s_{k+2}}$  based on our assumption, and the total unit transmission cost from  $v_{k+1}$  to  $t_N$  is more than that from  $s_{k+2}$  to  $t_N$ , i.e.,  $C(v_{k+1}, t_N) > C(s_{k+2}, t_N)$ , we can infer that

$$\sigma_{v_{k+1}, s_{k+2}} C(s_{k+2}, t_N) < \sigma_{u_k, v_{k+1}} C(v_{k+1}, t_N) \leq q_{v_{k+1}, s_{k+2}}.$$

This will lead to  $\Delta_{v_{k+1}, s_{k+2}} < 0$ . Consequently, we have  $x_{v_{k+1}, s_{k+2}} = 0$ .

If  $e_k$  is not a virtual edge but its succeeding edge  $e_{k+1}$  is, as exemplified by  $e_k = (p_k, s_{k+1})$  and  $e_{k+1} = (s_{k+1}, s_{k+2})$ , the same conclusion can be obtained similarly.

**Case 2:** If  $e_k$  is a virtual edge, as exemplified by  $e_k = (v_k, v_{k+1})$ , according to BFST algorithm, its matching pair edge  $e'_k = (u_k, v_{k+1})$  must have  $x_{u_k, v_{k+1}} = 0$ . From the result of Case 1, we also have  $x_{v_{k+1}, s_{k+2}} = 0$ .

Inductively, we can conclude that all succeeding edges of an edge that does not perform fusion will not perform fusion either. Since the fusion decision  $x_{e_k} \in \{0, 1\}$ , it is evident that  $x_e$  is non-increasing on the path from source to sink. ■

*Theorem 1: The total cost of BFST is no more than MFST.*

*Proof:* Since BFST retains the same tree structure as MFST, for all edges with  $x_{e_k} = 1$ , the BFST and MFST schemes will consume the same amount of energy. For any edge with  $x_{e_k} = 0$ , owing to Lemma 1, any edge  $e_i$  on the path from this edge to the sink satisfy  $x_{e_i} = 0$ . This means that all edges on the path after  $e_k$  have negative effect on energy conservation. In other words, performing fusion will introduce additional cost. Therefore, BFST is a better algorithm than MFST by avoiding fusion when direct relaying is a better choice. ■

Intuitively, Lemma 1 depicts that the routing tree generated by BFST can be divided into two parts: the lower part where data aggregation is always performed and the upper part where direct relaying is employed. As no data aggregation is performed in the upper part of the tree, instead of sticking to MFST, we can further improve the routing structure to reduce energy consumption. Inspired thereby, we develop AFST.

#### D. Adaptive Fusion Steiner Tree (AFST)

AFST further improves BFST by introducing SPT into the routing tree. Similar to BFST, it performs a matching process as in MFST in order to jointly optimize over both transmission

and fusion costs. During the matching process, it also dynamically evaluates if fusion shall be performed or not. If it is determined at a particular point that fusion is not beneficial to the network, as shown by the analysis of BFST, we can conclude that any succeeding nodes on the routing path shall not perform fusion either. Consequently, we can employ SPT as the strategy for the remainder of the route as SPT is optimal for routing information without aggregation. Our analysis shows that AFST achieves better performance than BFST and thereon MFST.

AFST ALGORITHM:

- 1) Initialize the loop index  $i = 0$ . Define  $S_0 = S \cup \{t\}$ , and  $E^* = \emptyset$ . Let  $w_{v_0}$  for any  $v \in S$  equal to its original weight, and let  $w_{t_0} = 0$ .
- 2) For every pair of non-sink nodes  $(u, v) \in S_i$ : find the minimum cost path  $(u, v)$  in  $G$  according to the metric

$$M(e) = q_{u_i, v_{i+1}}(w_{u_i} + w_{v_i}) + \alpha(w_{u_i}, w_{v_i})c(e). \quad (7)$$

Define  $K_i(u, v)$  to be the distance under metric  $M(e)$  of this path.

- 3) Find minimum-cost perfect matching between nodes in  $S_i$ . If there is only one non-sink node left after matching, match it to itself without any cost, and consider it as the last “single-node pair” in  $S_i$ .
- 4) For each matched pair  $(u, v)$ , calculate the fusion benefit for node  $u$  and  $v$  respectively according to this new definition:

$$\Delta_{u_i, v_{i+1}} = (w_{u_i} + w_{v_i})SP(v_i, t) - \left( (w_{u_i} + w_{v_i})(1 - \sigma_{e_i})SP(v_i, t) + q_{e_i}(w_{u_i} + w_{v_i}) \right), \quad (8)$$

where  $SP(v_i, t)$  denotes the summation of unit transmission cost from  $v_i$  to the sink  $t$  using shortest path.

We call  $(u, v)$  a non-fusion pair if there is no fusion benefit regardless which node is selected as the center. It means that the two following inequations are satisfied

$$\Delta_{u_i, v_{i+1}} < 0 \quad \text{and} \quad \Delta_{v_i, u_{i+1}} < 0. \quad (9)$$

Otherwise, we call them a fusion pair.

- 5) For each non-fusion pair  $(u, v)$ ,
  - Add those edges that are on the shortest paths of  $(u, t)$  and  $(v, t)$  to set  $E_n^*$ .
  - Remove both nodes  $u$  and  $v$  from  $S_i$ .
- 6) For each fusion pair  $(u, v)$ ,
  - Add those edges that are on the path defining  $K_i(u, v)$  to set  $E_f^*$ .
  - choose  $u$  to be the center with probability  $P(u = center) = \frac{w_{u_i}^2}{w_{u_i}^2 + w_{v_i}^2}$ . Otherwise  $v$  will be the center. For pair  $(u, t)$ , choose sink  $t$  to be the center.
  - Transport weight of non-center node to its corresponding center node. According to Equation (3), the weight of the center satisfies  $w_{i+1}(center) = (w_{u_i} + w_{v_i})(1 - \sigma_{u_i, v_{i+1}})$ .
  - Remove all non-center nodes from  $S_i$ , then the remaining center nodes induce  $S_{i+1}$ .
- 7) If  $S_{i+1}$  is empty or contains only the sink, we return  $G^* = (V^*, E^*)$  ( $E^* = E_f^* + E_n^*$ ), where  $E_f^*$  and  $E_n^*$  is the set of fusion edges and non-fusion edges, respectively, and  $V^*$  includes source nodes and the sink. Otherwise, increment  $i$  and return to step 2.

The size of set  $S_i$  is reduced at least half after one run of the algorithm. However, the process may terminate sooner than MFST and BFST if fusion is deemed unworthy in the early iterations.

*Theorem 2: The total cost of AFST is no more than BFST.*

*Proof:* The tree resulting from AFST also contains a lower part where aggregation is always performed and an upper part where no aggregation occurs. The lower part of AFST is the same as that of BFST due to their MFST based matching procedure and thus incurs the same cost as well. The task left is then to show that for any non-fusion pair  $(u, v)$  satisfying inequality (9), their transmission costs based on SPT in AFST is no more than the corresponding routing costs, including fusion and transmission costs, incurred in BFST. The proof is given below.

From (9), we have  $\sigma_{u_k, v_{k+1}} SP(v_k, t) < q_{u_k, v_{k+1}}$  and  $\sigma_{v_k, u_{k+1}} SP(u_k, t) < q_{v_k, u_{k+1}}$  where  $SP(v_k, t)$



denotes the summation of unit transmission cost from  $v_k$  to the sink  $t$  using shortest path. Without loss of generality, assume that  $v$  is selected as the center in BFST, and our goal is to prove

$$\begin{aligned} w_{v_k}SP(v_k, t) + w_{u_k}SP(u_k, t) &< w_{u_k}c(u_k, v_{k+1}) + q_{u_k, v_{k+1}}(w_{u_k} + w_{v_k}) \\ &+ (w_{u_k} + w_{v_k})(1 - \sigma_{u_k, v_{k+1}})SP(v_{k+1}, t). \end{aligned} \quad (10)$$

For that, we have

$$\begin{aligned} &w_{v_k}SP(v_k, t) + w_{u_k}SP(u_k, t) \\ &\leq w_{v_k}SP(v_{k+1}, t) + w_{u_k}(c(u_k, v_{k+1}) + SP(v_{k+1}, t)) \\ &= (w_{u_k} + w_{v_k})SP(v_{k+1}, t) + w_{u_k}c(u_k, v_{k+1}) \\ &= (w_{u_k} + w_{v_k})(1 - \sigma_{u_k, v_{k+1}} + \sigma_{u_k, v_{k+1}})SP(v_{k+1}, t) + w_{u_k}c(u_k, v_{k+1}) \\ &\leq (w_{u_k} + w_{v_k})(1 - \sigma_{u_k, v_{k+1}})SP(v_{k+1}, t) + q_{u_k, v_{k+1}}(w_{u_k} + w_{v_k}) + w_{u_k}c(u_k, v_{k+1}) \end{aligned}$$

■

When it is determined that the fusion benefit is positive for every node, the tree structure obtained from AFST degenerates to the tree from MFST. However, when fusion is not always beneficial for all nodes, AFST will stop doing nonsensical data fusion and directly deliver data to the sink for more energy saving, as a result, it can significantly outperform MFST. From the process of route construction, we can see that AFST can dynamically assign fusion decisions to routing nodes during the route construction process by evaluating whether fusion is beneficial to the network based on fusion/transmission costs and network/data structures.

### *E. Application in Clustering*

Clustering in sensor networks is often used to group closely correlated sensor together in order to perform local decision making, detection, or classification. To facilitate these operations, fusion and transmission cost shall be considered due to severe resource constraints. While the concept of clustering has been widely applied, clustering itself is often based on static techniques mainly

based on geographic proximity, fixed cluster number, or certain cluster sizes.

The two-layer structure of AFST naturally provides a new clustering technique for sensor networks. Separated by the routing nodes not performing fusion, the lower part of AFST routing tree is composed of discrete branches within which data of every member will be fused together. These branches can be considered as clusters decided by energy consumption due to fusion and communication. Therefore, AFST provides a clustering algorithm as a byproduct that is energy efficient for gathering correlated data. Sensors in the same cluster will employ MFST based routing structure while cluster heads (roots of the branches) will directly send the aggregated data to the sink via shortest path without fusion. Since AFST is a randomized algorithm, we can rerun the process to generate a different structure and therefore re-assign the role of cluster heads to different nodes. Load balancing in terms of fusion is thus naturally implemented.

#### IV. EXPERIMENTAL STUDY

In this section, we compare the performance of AFST with other routing algorithms. In particular, we select MFST, SPT, MST, and SLT to represent the class of routing schemes where fusion occurs on all routing nodes if possible. For routing schemes that does not perform aggregation, we employ SPT as it is the optimal routing strategy in this class. To distinguish it from the SPT scheme with data aggregation opportunistically occurs where information streams intersect, we denote the SPT without performing aggregation by SPT-nf, short name for SPT-no-fusion.

We study the impact of network connectivity, correlation coefficient, and unit fusion cost on different algorithms. Concurring with our design goal and analysis of the AFST algorithm, our key finding of the experiments is that AFST can adapt itself to a wide range of data correlations and fusion cost. Therefore, AFST can achieve better performance in all kinds of system setups.

### A. Simulation Environment

In our setup, 100 sensor nodes are uniformly distributed in a region of a  $50m \times 50m$  square. We assume that each node produces one 400-byte packet as original sensed data in each round and sends the data to the sink located at the bottom-right corner. All sensors act as both sources and routers. We also did sets of experiments of different number of sensors and different sizes of field, the results are similar and omitted here.

We assume the maximal communication radius of a sensor is  $r_c$ , i.e, if and only if two sensor nodes are within  $r_c$ , there exists a communication link between them, or an edge in graph  $G$ . By varying  $r_c$ , we can control the network connectivity and hence equivalently the network density. We instantiate unit transmission cost on each edge,  $c(e)$ , using the first order radio model presented in [7]. According to this model, the transmission cost for sending one bit from one node to another that is  $d$  distance away is given by  $\beta d^\gamma + \varepsilon$  when  $d < r_c$ , where  $\gamma$  and  $\beta$  are tunable parameters based on the radio propagation. We set  $\gamma = 2$  and  $\beta = 100pJ/bit/m^2$  to calculate the energy consumption on the transmit amplifier.  $\varepsilon$  denotes energy consumption per bit on the transmitter circuit and receiver circuit. Typical values of  $\varepsilon$  range from 20 to  $200nJ/bit$  according to [20]. We set it to be  $100nJ/bit$  in our simulation.

We model data reduction due to aggregation based on correlation among sensed data. The correlation model employed here is an approximated spatial model where the correlation coefficient (denoted by  $\rho$ ) decreases with the distance between two nodes provided that they are within the correlation range,  $r_s$ . If two nodes are more than  $r_s$  distance apart, simply the correlation coefficient  $\rho = 0$ . Otherwise, it is given by  $\rho = 1 - d/r_s$ , where  $d$  denotes the distance between the nodes. If node  $v$  is responsible for fusing node  $u$ 's data (denoted by  $w(u)$ ) with its own, we assume that the weight of node  $v$  after fusion is given by

$$w(v) = \max(w(u), \tilde{w}(v)) + \min(w(u), \tilde{w}(v))(1 - \rho_{uv})$$

where  $\tilde{w}(v)$  and  $w(v)$  respectively denote the data amount of node  $v$  *before* and *after* fusion.

Recall that we use  $\sigma$  to denote the data reduction ratio due to aggregation. From Equation (3),  $w(v) = (w(u) + \tilde{w}(v))(1 - \sigma_{uv})$  if fusion is performed at node  $v$ , we can get that the data reduction ratio  $\sigma_{uv}$  is proportional to the correlation coefficient  $\rho$ . By varying the correlation range  $r_s$ , we can control the average correlation coefficient of the network, and further control the average data reduction after data fusion. For example, a very small  $r_s$  essentially eliminates the correlation among sensors ( $\rho \rightarrow 0$ ), so that the amount of output data is equal to the summation of all input data. While an extremely large  $r_s$  makes the sensed data completely redundant ( $\rho \rightarrow 1$ ), as a result, the fused data size equals to the bigger data size between the two input data. In our simulation, we use  $\rho$  instead of  $\sigma$  to describe the impact of data structure for ease of understanding. For the fusion cost, we assume that  $q$  is constant and use  $\omega$  to denote the average fusion cost per bit at each node.

In the following subsections, we will study the performance of AFST and other algorithms under various system setups, including network connectivity, correlation coefficient, and fusion cost.

### *B. Impact of Network Connectivity*

Since  $r_c$  denotes the communication range of a node, by varying  $r_c$ , we can control the connectivity of the network. Here we set  $\omega$ , the average unit fusion cost, to be  $80nJ/bit$ , which is a typical value as demonstrated by our experimental study for fusion cost described in the Appendix. And we set  $r_s$ , the correlation range, to be  $50m$  to simulate a network with moderate data reduction.

Fig. 4(a) summarizes the performance of all algorithms studied. The larger  $r_c$  is, the more strongly the network is connected. As we can see, MST wastes precious energy on data fusion at numerous relaying nodes and hence incurs high cost when the data reduction is not high. On the

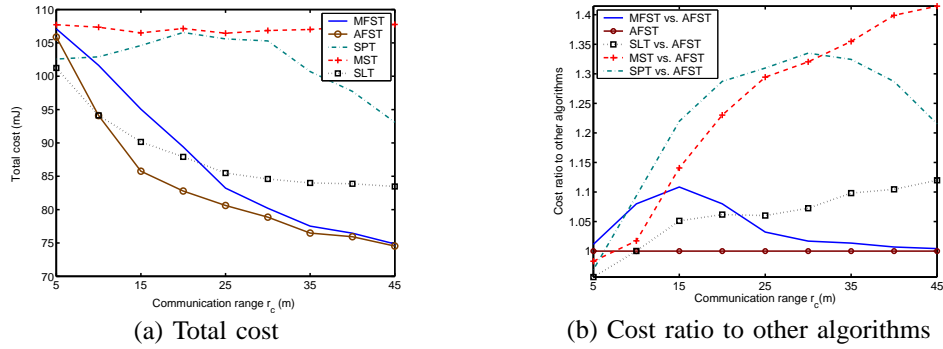


Fig. 4. Impact of network connectivity to energy consumption( $\omega = 80nJ/bit$ ,  $r_s = 50m$ ,  $r_c = 5 \sim 45m$ ).

contrary, SPT wastes energy owing to redundant data transmissions and also induces high cost since it tends to use fewer hops to reach the sink. As SLT is a hybrid tree structure balancing MST and SPT, it achieves better performance than both of them. However, inherently constrained by its algorithm construction, energy consumption of SLT is still relatively high.

As MFST explicitly considers fusion cost, it can effectively trade off between multi-hop relay benefitting from high data reduction ratio and single-hop transmission benefitting from less fusion cost. In a dense network with strong network connectivity, the benefit due to this flexibility to the network energy cost is more apparent. When  $r_c$  is larger than 20m, MFST performs better than all other algorithms except AFST.

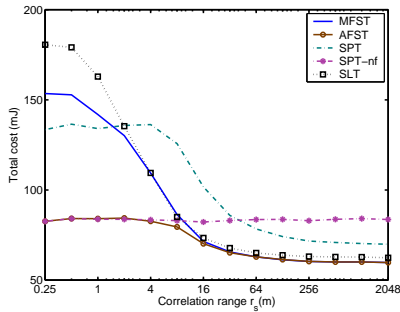
As expected, AFST performs well in all communication ranges, almost steadily outperforming all other algorithms. This can be explained as follows. In a network with moderate data correlation, data from nodes that are far apart has little correlation and hence leads to small reduction ratio if aggregated. Therefore, performing data fusion over them cannot significantly benefit the network but still incur the fusion cost. AFST can effectively avoid such situations by evaluating fusion benefit. If the data reduction ratio due to correlation together with the fusion cost could not justify the fusion process, it employs the shortest paths toward the sink by using direct relay. Therefore, the cost of AFST continuously decreases along with the increase of communication range. Notably, it has significantly less energy cost for weakly connected network resulted from

short communication range as well. It can be reasoned in the same way.

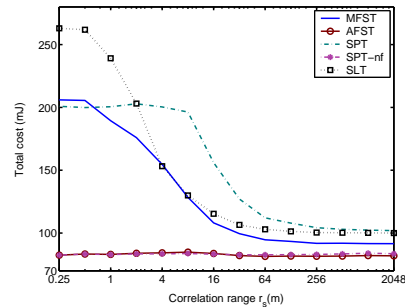
Fig. 4(b) illustrates the cost ratio of other algorithms to AFST. As shown in the figure, the proposed AFST can save over 40% energy compared to MST, up to 35% energy to SPT, and about 10% to SLT when connectivity degree is high. Compared with MFST, AFST can save around 10% of energy in weakly connected environment while maintaining the same or better performance when network is strongly connected.

### C. Impact of Correlation Coefficient

Naturally, performing aggregation is futile in a network with no data redundancy ( $\rho = 0$ ). Even in a network with 100% data redundancy ( $\rho = 1$ ), data fusion at all possible nodes may not bring benefit because of the high fusion cost. In this simulation, we fix the transmission range of the sensor nodes and study the impact of correlation coefficient to the performance of AFST. We increase the correlation range,  $r_s$ , from 0.2 to 2000m which corresponds to varying  $\rho$  from 0 to 1.



(a) Low fusion cost ( $\omega = 50nJ/bit$ )



(b) High fusion cost ( $\omega = 120nJ/bit$ )

Fig. 5. Impact of average correlation coefficient to energy consumption ( $r_c = 30m$ ,  $r_s = 0.25 \sim 2000m$ ).

Fig. 5(a) and (b) depict the total energy costs under light fusion cost and heavy fusion cost, respectively, for AFST and other algorithms like MFST, SPT, SLT, and SPT-nf. Naturally, costs of all algorithms with data fusion decrease as  $\rho$  increases. This exemplifies that data aggregation in sensor networks can greatly benefit the routing performance by reducing redundancy among correlated data. As SPT-nf totally ignores data aggregation, its cost remains constant.

When the data correlation in the network is very weak ( $\rho \rightarrow 0$ ), AFST follows SPT-nf, the optimal solution, by avoiding any data aggregation. When the data correlation in the network increases, AFST dynamically adjusts its decisions accordingly.

We also observe that different fusion cost will affect AFST as well by comparing Fig. 5(a) and (b). As shown in Fig. 5(a), when fusion cost is low, AFST performs data fusion partially in order to benefit from data aggregation and resultant data reduction, even when the correlation degree in the network is weak ( $r_s$  being 4m). When the data in network is highly correlated ( $\rho \rightarrow 1$ ), AFST follows MFST to pursue the most energy saving by performing data fusion at each possible node. On the other hand, Fig. 5(b) illustrates the performance of AFST when the fusion cost is high. As we can see, even when  $\rho \rightarrow 1$ , there are only a few nodes (about 15%) performing data fusion. This shows that AFST chooses not to perform fusion most of the time as the fusion cost itself is too high, overwhelming the benefit of reduction in data and communication costs. As a result, AFST performs better than any algorithm performing network wise fusion. At the same time, it also outperforms SPT-nf in the first case as SPT-nf does not perform fusion at all.

Fig. 5(a) and (b) demonstrate that the cost of AFST is extremely smooth in the whole range of the correlation coefficient and steadily outperform others. As correlation among nodes are often varying from application to application, from node to node, and even from time to time, only a general algorithm such as AFST optimized for a wide range of  $\rho$  can accommodate those versatile scenarios.

#### D. Impact of Unit Fusion Cost

In this set of experiments, we study the impact of varying unit fusion cost to the algorithms. Fig. 6 illustrates the results when  $\omega$ , the unit fusion cost, increases from  $10nJ/bit$  to  $200nJ/bit$ .

Fig. 6(a) shows the total cost of AFST as compared with other algorithms as the unit fusion cost increases. As we can see, the total costs of MFST and SLT increase unboundedly along

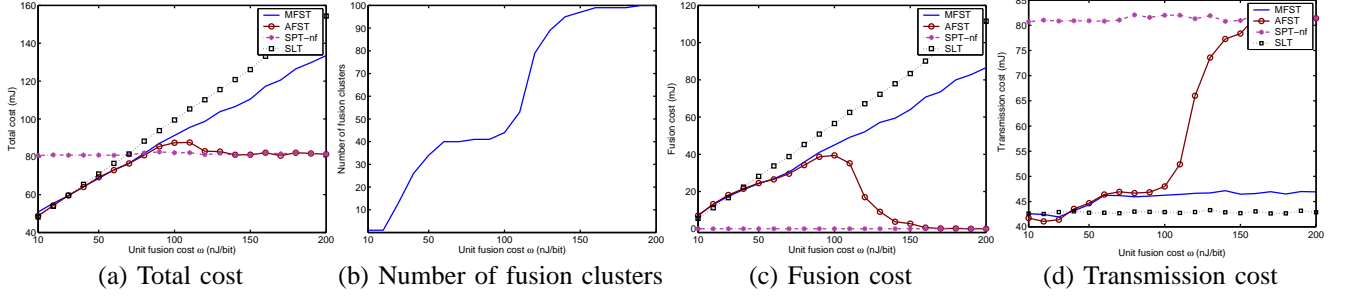


Fig. 6. Impact of unit fusion cost to energy consumption ( $r_c = 30m$ ,  $r_s = 20m$ ,  $\omega = 10 \sim 200nJ/bit$ ).

with the increase of  $\omega$ , even though MFST has a lower slope. On the contrary, AFST follows the performance curve of MFST first and then leans towards SPT-nf, the optimal solution when fusion cost is high. The figure can be best explained when we jointly examine it with Fig. 6(b), which depicts the number of clusters, the branches of the routing tree that always perform aggregation on their nodes. All algorithms with network wise fusion are unable to stop fusion even when fusion cost is extremely high. However, for AFST, as shown in Fig. 6(b), when  $\omega$  is very small, there are only two fusion clusters. This denotes that data fusion is performed almost on all nodes, which takes advantage of the low fusion cost. When  $\omega$  increases, AFST increases the number of fusion clusters and hence reduces the number of fusions due to reduced fusion benefit in order to balance the fusion cost and transmission cost. And when  $\omega$  is too large, AFST can achieve the same constant cost as SPT-nf by completely stopping data fusion.

Fig. 6(c) and (d) provide us a closer look at the routing behaviors by breaking the total cost into transmission and fusion costs. As both SLT and SPT-nf have fixed tree structure when the network topology is determined, their transmission costs are fixed as well. Naturally, the fusion cost of SLT increases linearly with  $\omega$  while there is no fusion cost in SPT-nf.

As MFST jointly explores the transmission and fusion costs to optimize the routes, it can tradeoff between them. As a strategy, it can explore long one-hop transmission in order to reduce the number of fusions and hence fusion cost. As a result, the transmission cost in MFST increases in order to prevent the fast increase of fusion cost. Consequently, the increase of fusion cost



and total cost in MFST are significantly slower than SLT. However, when  $\omega$  increases more and fusion cost is dominant in the total cost, adjustment of routing tree structure will have insignificant impact and hence MFST's fusion cost increases almost linearly while its transmission cost remains constant.

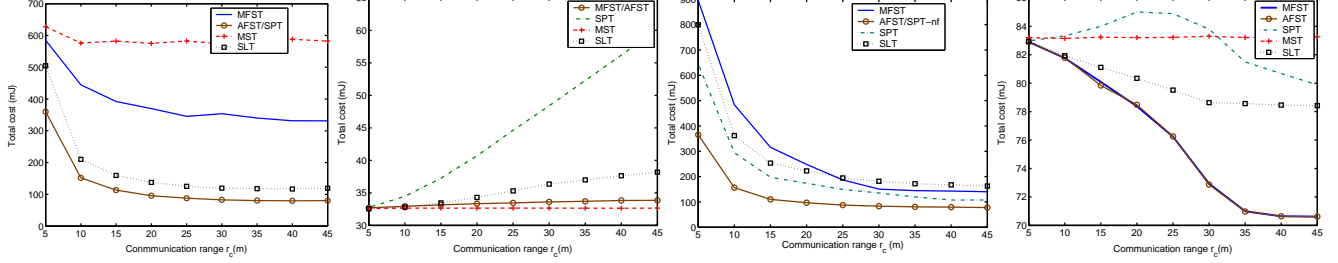
On the contrary, AFST can better balance the fusion and transmission costs by adjusting the number of fusion clusters. When the unit fusion cost is insignificant, AFST effectively reduces its transmission cost by fully exploiting data fusion. In this case, AFST's cost is similar to that of MFST. When the unit fusion cost continues to increase, AFST can reduce data fusion points and employ more shortest paths for direct relaying. Therefore, while its transmission cost increases rapidly, the fusion cost also decreases rapidly simultaneously. As a result, the total cost can be kept low. When the unit fusion cost is extremely large, AFST simply approaches SPT-nf with no node performing fusion and hence obtains zero fusion cost. In this case, the total cost equals to the transmission cost and remains constant.

As described in Section II, fusion cost may vary widely from network to network, from application to application. As an example, a temperature surveillance sensor network may have little fusion cost to calculate the max, min, or average temperature. On the other hand, a wireless video sensor network may incur significant fusion cost when performing image fusion. Our experiments show that among all algorithms, AFST can adapt best to a wide range of fusion costs and hence be applicable to a variety of applications.

#### *E. Extreme Cases of Correlation Coefficient*

In this set of experiments, we study the performance of AFST when the correlation coefficient takes extreme values. We examine the scenario where there is no data redundancy ( $\rho \rightarrow 0$ ) and the scenario of 100% data aggregation ( $\rho \rightarrow 1$ ). Fig. 7 summarizes the results. Two cases, with or without fusion cost, are studied for each of the scenarios. In all cases,  $r_c$  is varied from 5m to

45m, denoted by the x-axis. We use  $r_s = 0.1m$  to simulate the case of  $\rho \rightarrow 0$  and  $r_s = 2000m$  to simulate the case of  $\rho \rightarrow 1$ .



(a)  $\rho \rightarrow 0$  without fusion cost (b)  $\rho \rightarrow 1$  without fusion cost (c)  $\rho \rightarrow 0$  with fusion cost (d)  $\rho \rightarrow 1$  with fusion cost  
Fig. 7. Total cost at extreme cases of data correlation ( $r_c = 30m$ ,  $\omega = 80nJ/bit$ ).

1) *Without fusion cost:* We first disregard fusion cost. The simulation results shown in Fig. 7(a) and (b) concur with those described in [15]. In a weakly correlated network, SPT is the optimal solution while MST is the worst. On the contrary, in a strongly correlated network, MST is the optimal solution and SPT is the worst. Fig. 7(a) also shows that AFST is the same as the optimal solution, SPT, in a weakly correlated network and has a gain factor of 3 compared with MFST. Fig. 7(b) shows that both AFST and MFST closely approximate the optimal solution, MST. The approximation ratio is within 5%, and they can save 10% energy compared with SLT.

2) *With fusion cost:* In this set of simulations, we include fusion cost and study the two extreme scenarios again. We set  $\omega$ , the unit fusion cost, to be  $80nJ/bit$ .

Fig. 7(c) illustrates that AFST can achieve the optimal performance by avoiding data fusion completely compared with all other algorithms with inefficient data fusion on uncorrelated data.

When  $\rho \rightarrow 1$  as illustrated in Fig. 7(d), since the unit fusion cost is comparable to the average of transmission cost, AFST behaves similarly as MFST by performing network wise data fusion, and performs better than all other algorithms.

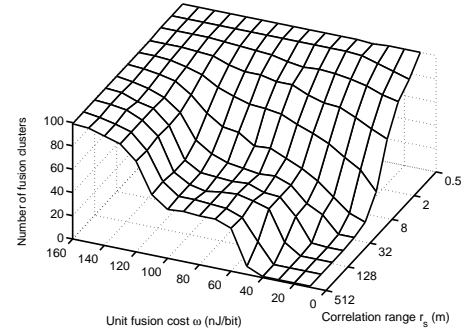


Fig. 8. The number of fusion clusters in AFST.

### F. The Number of Fusion Clusters in AFST

Fig. 8 illustrates the number of fusion clusters with varying correlation coefficient and unit fusion cost. When  $\rho \rightarrow 0$ , the cluster number equals 100, denoting that no data fusion occurs. When the unit fusion cost is small, the number of fusion clusters decreases rapidly with the increase of  $\rho$ . When  $\rho$  approaches 1, the cluster number becomes 1, meaning that all nodes will perform fusion and the network becomes a full fusion tree. At the same time, when unit fusion cost increases, AFST will tradeoff the fusion cost with transmission cost. As a result, the decreasing of the cluster number slows down. For example, for  $\omega = 80nJ/bit$ , a unit fusion cost comparable to the average unit transmission cost, there are 33 fusion clusters when  $\rho \rightarrow 1$ . This means on average, there are only three nodes in a cluster performing data fusion. The fused data are then forwarded to the sink via shortest paths directly with simple relaying. If  $\omega$  keeps increasing, fewer nodes perform fusion to avoid the high fusion cost.

## V. RELATED WORK

If the complete knowledge of all data correlations is available in advance at each source, theoretically the best routing strategy is to use a distributed source coding typified by Slepian-Wolf coding [24]. In this technique, compression is done at the original sources in a distributed manner to achieve the minimum entropy and hence avoid the need for data aggregation on the intermediate nodes. An optimal rate allocation algorithm for nodes in the network is proposed in [15] and SPT is employed as the routing scheme. However, implementation of distributed source coding in a practical setting is still an open problem and likely to incur significant additional cost because of the requirement on the knowledge of network wise correlation.

Routing with data aggregation can be generally classified into two categories: routing-driven and aggregation-driven. Routing-driven algorithms [7–9, 12, 13] emphasize source compression at each individual node and aggregation occurs opportunistically when routes intersect. On the contrary,

routing paths in aggregation-driven algorithms [14–16] are heavily dependent on data correlation in order to fully benefit from information reduction resulting from data aggregation. In [15], the authors proved that the minimum-energy data gathering problem is NP-complete by applying reduction set-cover problem and claimed that the optimal result is between SPT and the travelling salesman path. In [14], a hierarchical matching algorithm is proposed resulting in an aggregation tree with a logarithmic approximation ratio to the optimal for all concave aggregation functions. In this model, each node can theoretically obtain the joint entropy of its subtree to receive the maximal aggregation ratio. However, aggregation only depends on the number of nodes in the subtree rooted at the aggregation node regardless of the correlation among the data.

Indeed, the idea of embedding fusion decisions in routing has been implicitly explored in the literature. For example, LEACH [7] is a cluster-based protocol, in which sensors directly send data to cluster heads where data fusion is performed. Aggregated data is then delivered to the sink through multi-hop paths. The authors of [16] proposed an optimal algorithm MEGA for foreign-coding and an approximating algorithm LEGA for self-coding. In MEGA, each node sends raw data to its encoding point using directed MST, and encoded data is then transmitted to the sink through SPT. LEGA uses SLT [25, 26] as the data gathering topology, and achieves  $2(1 + \sqrt{2})$  approximation ratio for self-coding. LEGA and MEGA implicitly assume that fusion stops after first aggregation as encoded data cannot be recoded again. However, the decision regarding fusions in these schemes are rather static and cannot adapt to network/data structure changes. As demonstrated earlier, this decision shall be based on various conditions of the networks in order to minimize energy consumption.

## VI. CONCLUSION

In this paper, we propose AFST, a routing algorithm for gathering correlated data in sensor networks. AFST not only optimizes over both the transmission and fusion costs, but also adaptively

adjusts fusion decisions for sensor nodes as to whether fusion shall be performed. Generalized from MFST, an algorithm guarantees an approximation ratio of  $\frac{5}{4} \log(n+1)$  to the optimal solution, AFST is analytically shown to be a better algorithm than its ancestor. Extensive experiments show that AFST achieves near optimal solutions under various networking conditions, including broad scopes of fusion/transmission costs and network/data structures.

Furthermore, our analytical result indicates that AFST partitions the routing tree into two distinct parts based on whether aggregation is performed or not. Naturally, AFST also provides a clustering scheme with near optimal routing performance, where fusion can be confined within the clusters only.

As an ongoing effort, we are quantifying theoretically the performance improvement of AFST over MFST. In our future work, we plan to develop an online algorithm based on AFST that can be executed in a distributed manner by sensor nodes.

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## APPENDIX

We have performed a series of experiments to investigate the aggregation cost in sensor networks. Our platform used is Sim-panalyzer [27], an extension of Simple Scalar [28] for power analysis. Simple Scalar is a well established architectural simulator that provides cycle-level tool sets for detailed processor-architecture study. As its extension, Sim-panalyzer provides analytical

power simulation models for ARM CPU and Alpha CPU. In our experiments, we choose StrongARM SA-1110 as our embedded core as it is commonly used for high performance sensor node design in academic research and industrial development.

### A. Measurement Model for Data Aggregation

We first detail the model of data aggregation process used in our simulation. As illustrated in Fig. 9, we assume that each sensor is capable of data sensing, pre-processing, and data aggregation. A sensor node will compress its local raw data before transmitting it on the route back to the sink. If it receives data from another node, in a compressed form,

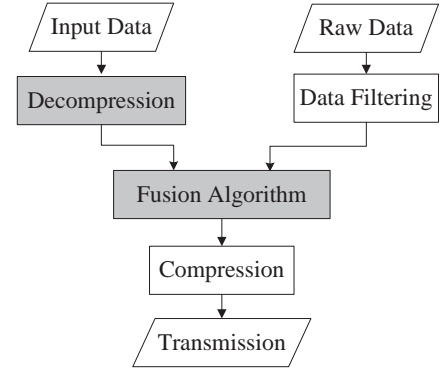


Fig. 9. Measurement model for data aggregation.

to perform data aggregation, the node shall decompress the data first and perform the designated aggregation algorithm with its own raw data. The aggregated data will then be compressed and routed to the next hop. In this model, the aggregation cost is composed of two parts, marked in gray in Fig. 9, the cost for decompressing the input data and the cost for performing the fusion algorithm itself. In the following, we will only focus on these two parts.

Energy consumption of a system contains static power dissipation and dynamic power dissipation. Dynamic power dissipation denotes the application processing energy with given instruction set and data set. Evidently, this part is the investigating target of our experiment. Equation (11) is a model for dynamic power dissipation commonly employed in energy measurement [20].

$$E_{total} = C_{total}V_{dd}^2 + V_{dd}(I_0e^{\frac{V_{dd}}{nV_T}})(\frac{N}{f}). \quad (11)$$

Here,  $N$  represents the number of cycles for executing the algorithm with the given data set. It is determined by the algorithm complexity and affected by the compiling method.  $C_{total}$  denotes the total switched capacitance during the execution of the algorithm.  $C_{total}$  is proportional to  $N$  and

it is also affected by the switching activity parameter.  $V_{dd}$  and  $f$  are the core supply voltage and clock frequency of the CPU, respectively.  $V_T$  denotes thermal voltage.  $I_0$  and  $n$  are core specific which we assign  $1.196mA$  and  $21.26$  according to [20]. The SA-1110 core can be configured to various combinations of supply voltage and system clock. In our experiment we use 100MHz clock and 1.5V for core voltage. We have performed simulations using other configurations and the simulation results are similar.

### B. Image Fusion

One of our experiments is wavelet-based image fusion. Wavelet-based image fusion is generally considered as the most efficient algorithm for image fusion and different approaches have been proposed [29]. In our experiment, we simulate a simple and efficient method described in [29]. According to the measurement model illustrated in Fig. 9, a fusion node will use Discrete Wavelet Transformation (DWT) to generate wavelet coefficients from local image. To perform fusion with another node's data, the node will use Zerotrees expansion to decompress the input data into wavelet coefficients. Given the wavelet coefficients of the two input images, the averages of these coefficients are computed as those for the fusion result. While this algorithm is simple, it provides a lower bound on the computation cost. More complex algorithms for better fusion result will undoubtedly incur even higher cost. Consequently, the aggregation cost is the cost of the decompression process and the merging process.<sup>3</sup>

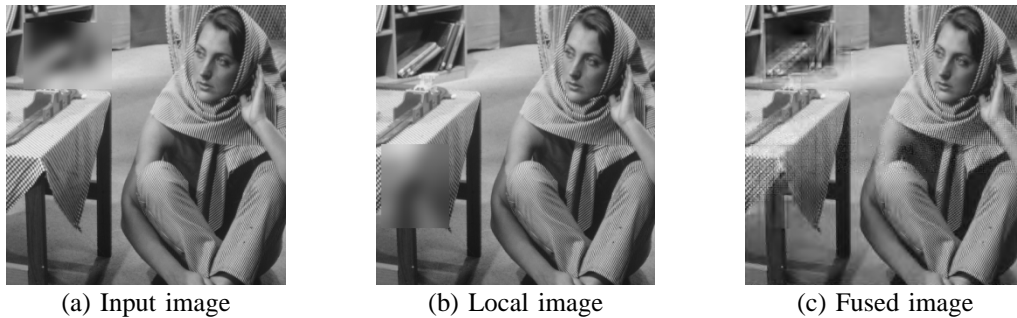


Fig. 10. Image Fusion Example.

<sup>3</sup>The cost of the final Zerotree compression on the merged data shall not be considered as part of the fusion cost as the node would perform Zerotree compression on its local data even if fusion was not performed.



The gray scale images depicted in Fig. 10 are used in the simulation. Fig. 10(a) is the input image representing data from another node. Notice that it has a blurred area at the top-left corner. Fig. 10(b) is the local image at the fusion node, with a blurred area at the bottom-left corner. Fig. 10(c) is the fused image by performing the aforementioned fusion algorithm on Fig. 10(a) and (b). Notice that in the fused image, the blurred areas are effectively eliminated.

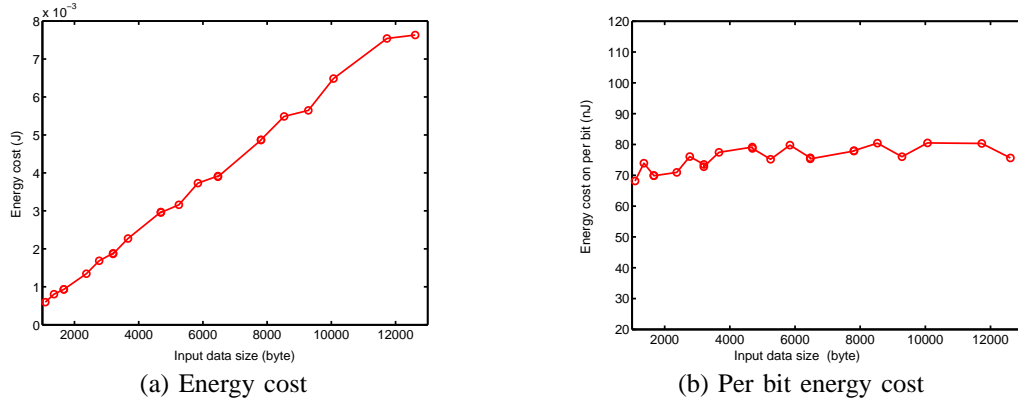


Fig. 11. Wavelet image fusion cost.

Fig. 11(a) and (b) depict our experiment results. During our simulation, we scaled the image content from  $64 \times 64$  pixels to  $220 \times 220$  pixels. Reflected on the X axis of the figures, it is the total data size in byte of the two input images of the fusion algorithm. As we perform two level DWT and apply the embedded coding scheme [30, 31] using Zerotrees, the compression ratio is approximately 8:1. Therefore, the X axis ranges from 1 KB (corresponding to  $64 \times 64$  pixels) to 12 KB (corresponding to  $220 \times 220$  pixels) as the summation of two image sizes. The total image fusion energy consumption in *Joule* is shown in Fig. 11(a) while the per bit energy cost is shown in Fig. 11(b). As we can see, the total image fusion cost monotonically increases with the input data size. Fig. 11(b) shows that the per bit energy cost remains roughly constant at  $75nJ/bit$ , comparable to per bit communication cost reported in the literature [7, 20]. The irregular variations of per bit energy cost between consecutive measurements (image sizes) are due to the Zerotrees encoding scheme.

We have also performed study on other fusion algorithms such as byte-wise Huffman coding.

The result is also on the order of tens of  $nJ/bit$ . We omit the details here due to space limit. From our experimental study, we can conclude that the fusion cost for certain sensor networks is comparable to the transmission cost. Therefore, the impact of fusion cost to energy efficient data gathering in sensor networks is an important area needed to be carefully investigated.