

# Brief Review of TCP

Dr. Chengzhi Li

March 8, 2005

# Transmission Control Protocol (TCP)

§ A connection oriented, end-to-end reliable transport protocol

- Using acknowledgement/retransmission for reliability

§ Key assumption

- Packet loss only due to congestion (buffer overflow)

§ End-to-end semantics

- ACK is sent by the receiver to TCP sender to confirm successful delivery only after the data is obtained

# Basic Concepts, Mechanisms, Algorithms and Parameters

§ TCP assigns byte sequence numbers for each segment

§ Cumulative acknowledgements

- An ACK acknowledges bytes up to the first missing byte in the stream
- A **new** cumulative acknowledgement is generated only on receipt of a new in-sequence packet
- A **duplicate ACK** is generated whenever an **out-of-order** segment arrives at the receiver

§ Indications of packet loss

- Retransmission time out (**RTO**)
- **3** Duplicate ACKs

# Basic Concepts, Mechanisms, Algorithms and Parameters

## § Sliding window control

- Maximum range of data sent but not acknowledged

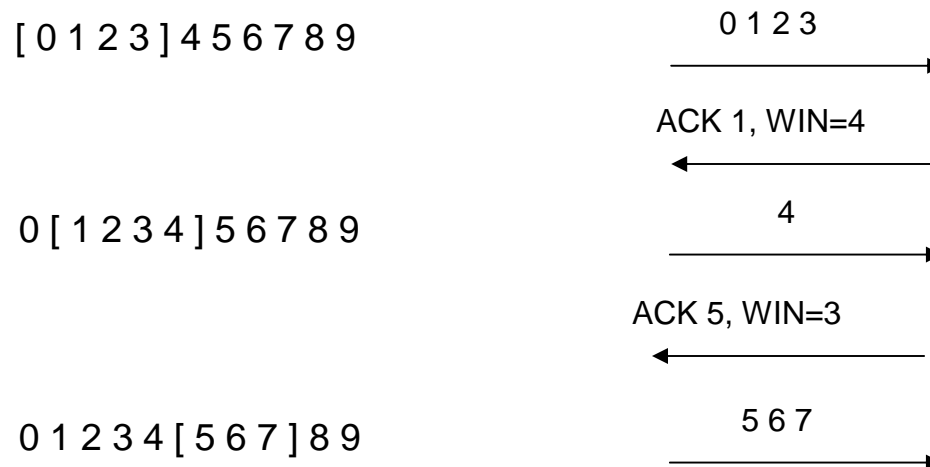
## § Average Throughput

- Average sliding window size/average RTT

## § Sliding window size = Minimum { receiver's advertised window, congestion window }

- receiver's advertised window
  - determined by available buffer space at the receiver
- congestion window
  - determined by the sender, based on feedback from the network

An Example: Initial Sliding Window Size = 4 bytes



# Basic Concepts, Mechanisms, Algorithms and Parameters

## § Four parameters

- Congestion window “**cwnd**”
  - initial value 1 segment
- Receiver advertised window
  - Based on receiver buffer size
  - Bound **cwnd** always
- Slow start threshold “**ssthresh**”
  - initial value 64 KB (A. S. Tanenbaum) or 65535 bytes (RFC 2001)
- Retransmission timer “**RTO**”
  - $RTO = RTT + 4 * D$
  - $RTT = a * pre\_RTT + (1-a) * M$
  - M = the time taken by ACK
  - $a = 7/8$
  - $D = a * D + (1-a) * |RTT - M|$

# Basic Concepts, Mechanisms, Algorithms and Parameters

## § Four algorithms

- Slow start
- Congestion avoidance
- Fast transmit
- Fast recovery

## § Tahoe TCP

- Slow start + congestion avoidance + fast transmission

## § Reno TCP

- Slow start + congestion avoidance + fast transmission + fast recovery

# Slow Start

- § Goal: to fully exploit network resources
- § Slow start procedure is triggered at
  - the beginning of the TCP connection
  - each time a packet loss is detected
- § Congestion window "**cwnd**"
  - set by sender to one segment at the beginning
  - increased by one segment for every ACK (exponential growth of cwnd) till **cwnd = ssthresh** (entering congestion avoidance)
- § Exponential backoff
  - For every timeout,  **$RTO = 2 * RTO$** , upto 64 sec

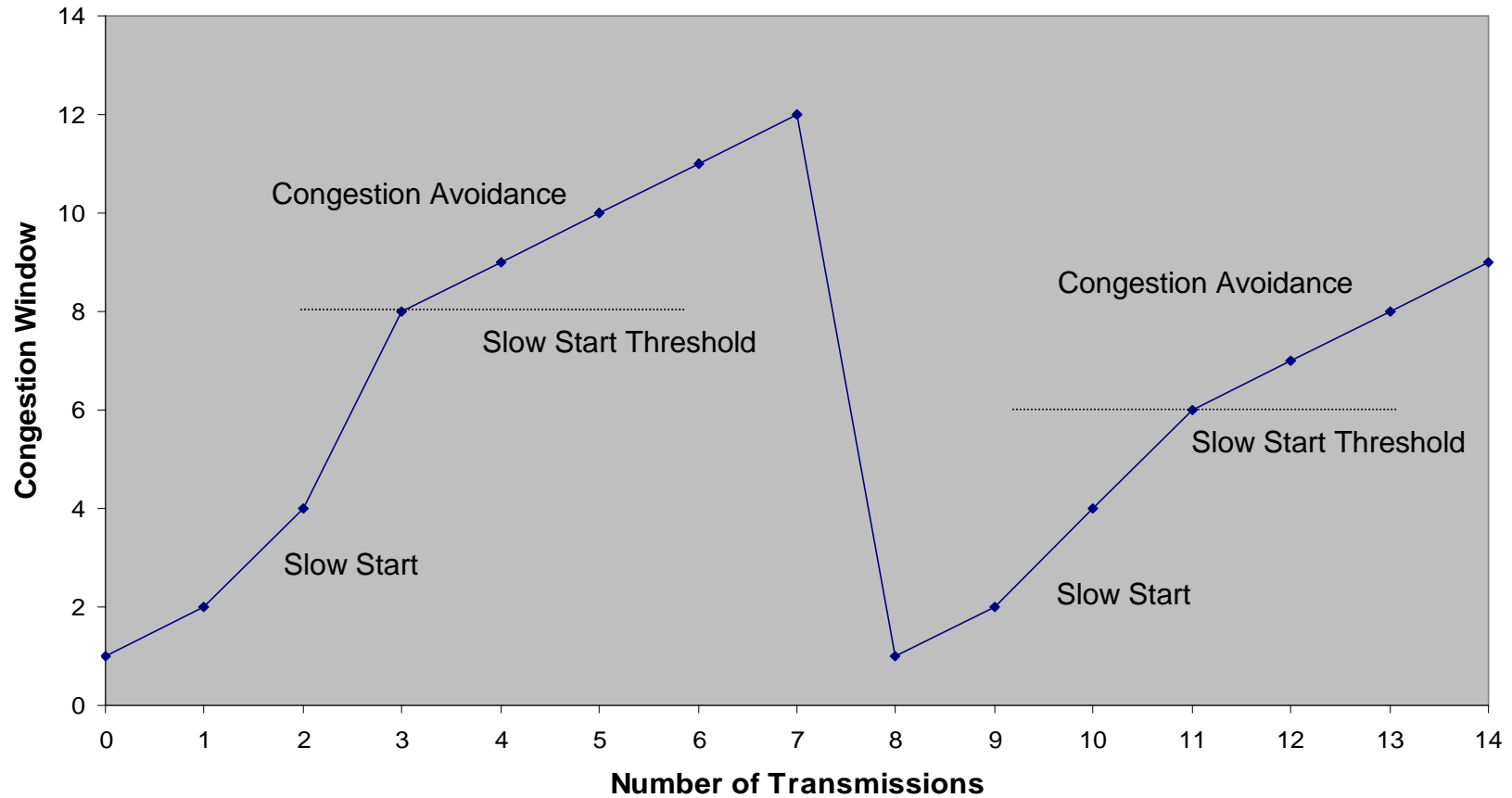
# Congestion Avoidance

- § Goal: to prevent network from being overloaded
- § When an ACK is received and  $cwnd > ssthresh$ 
  - $cwnd$  is increased by  $segment\ size * segment\ size / cwnd$  (linear growth of  $cwnd$ )
- § When congestion occurs (timeout or 3 duplicate ACKs)
  - $ssthresh = 0.5 * cwnd$
  - slow start is triggered if timeout occurs (Tahoe TCP & Reno TCP) or 3 duplicate ACKs (Tahoe TCP)
- § How to determine TCP in slow start or congestion avoidance
  - If  $cwnd \leq ssthresh$  è slow start
  - Otherwise è congestion avoidance



# Example for Slow Start and Congestion Avoidance

TCP Tahoe, Reno



# Fast Retransmission

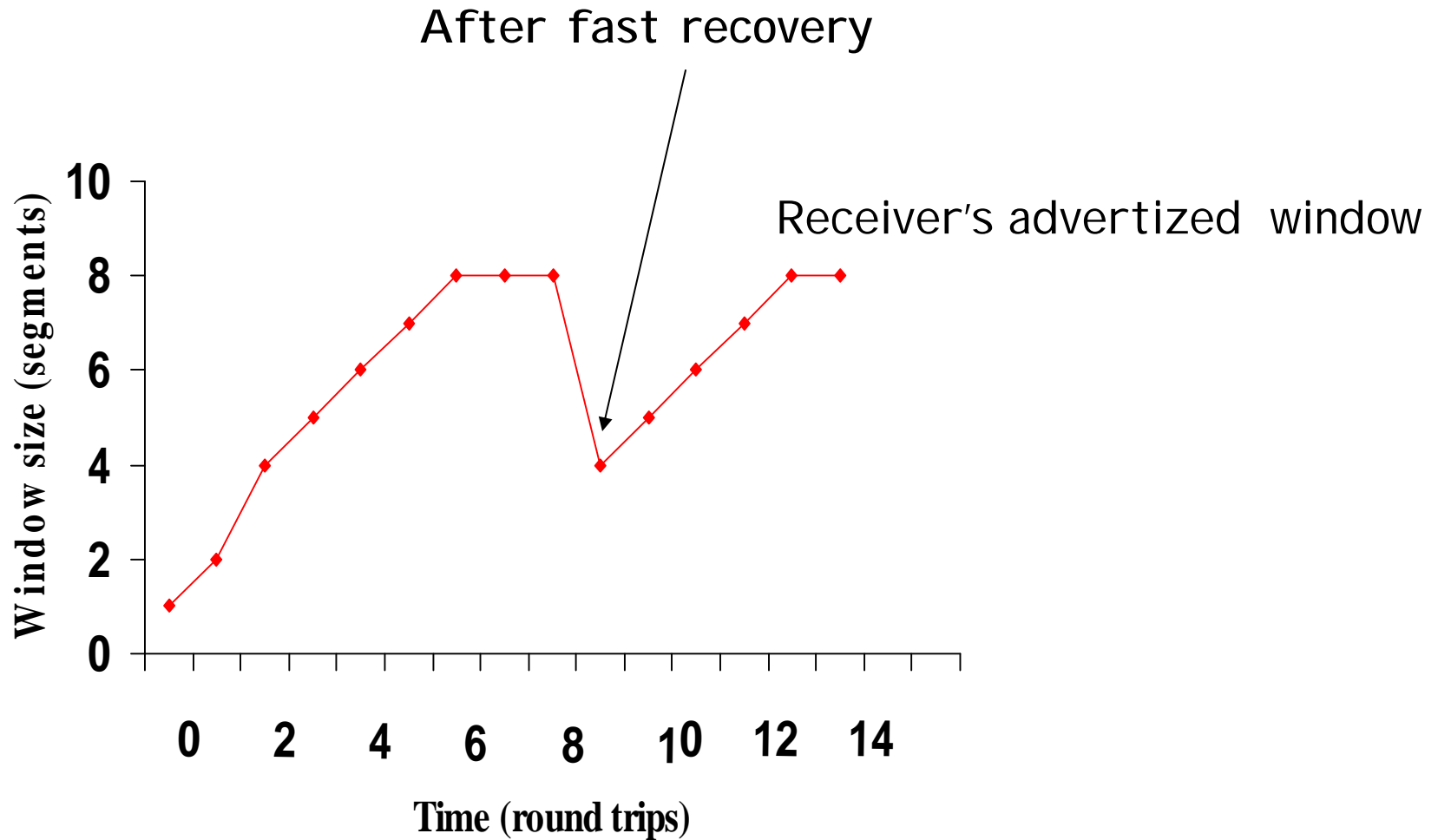
- § Goal: quickly response to packet loss
- § After 3 duplicate ACKs are received, retransmission for the missing segment is performed without waiting for **RTO** timeout
- § For Tahoe TCP, slow start is triggered
- § For Reno TCP, fast recovery is triggered

# Fast Recovery

§ Goal: to allow high throughput under minor or moderate congestion

§ After fast retransmission

- $ssthresh = 0.5 * cwnd$
- $cwnd = ssthresh + 3 \text{ segment size}$
- Each time another duplicate ACK arrives,  $cwnd = cwnd + 1 \text{ segment size}$
- When an ACK for new data arrives
  - $cwnd = ssthresh$
  - begin congestion avoidance procedure



After fast retransmit and fast recovery  
window size is reduced in half

# Other Variations of TCP

## § TCP New-Reno

- stay in fast recovery until all packet losses in window are recovered
- can recover 1 packet loss per RTT without causing a timeout

## § Selective Acknowledgements (SACK)

- provides information about out-of-order packets received by receiver
- can recover multiple packet losses per RTT

# References

1. RFC 2001 – TCP Slow Start, Congestion Avoidance, Fast Retransmit and Fast Recovery
2. “Simulation-based Comparisons of Tahoe, Reno, and SACK TCP”, K. Fall and S. Floyd, Computer Communication Review, 1995