## CSE 2320 Notes 8: Linked Lists

CLRS, 10.2-10.3

## Linked Lists

1. Singly-linked (forward) lists.


Links may be:
Pointers
Subscripts
Disk addresses
Web URLs (a "logical" address vs. a "physical" address in the other three cases)
If the nodes have a key (i.e. a dictionary), should the list be ordered or unordered?
ASSUMPTION: Uniform access probabilities - equal likelihood for accessing each of $n$ keys

| expected <br> probes | hit | miss |
| :---: | :---: | :---: |
| unordered | $\frac{n+1}{2}$ | $n$ |
| ordered | $\frac{n+1}{2}$ | $\frac{n+1}{2}$ |

Most applications have many more hits than misses.
Many applications, however, need ordered retrieval (SUCCESSOR, PREDECESSOR).
2. Keeping linked list code simple and efficient.
a. Header - dummy node at beginning of list (even if no other nodes).

Avoids "first node special" cases:

## Delete

UNION


This pointer never changes

Can be wasteful if an application needs large number of very short lists.
b. Sentinel - dummy element at end of unordered table, unordered list, or tree.
(Book uses term "sentinel" for both headers and sentinels.)
Avoids checking for "end" of data structure.

3. Circular lists - can achieve $\Theta(1)$ time in special cases.


Example 1: Concatenate strings (sequences) stored as linked lists.


Example 2: Free storage list - avoids malloc/free overhead
Including unneeded circular list in a garbage list:


work = z->next;
z->next = G;
G = work;
4. Doubly-linked lists.


Can also have circular doubly-linked.


Example 1: Flexibility to go both ways, but can also use the following clever solution if concurrent access is not needed:


Example 2: Student Database

- Each student record is in a number of linked lists: ethnicity, major, place-of-birth, previous colleges, etc. to allow production of reports.
- Regardless of how a record is reached, it may be necessary to remove from one list and insert in another (e.g. change of major). Trade-off:
- If double linking is used, the "predecessor" is immediately available but more space is used.
- Without double linking, the "predecessor" is found by traversing the list. Suitability depends on length of lists.
- Insert node that x points to after node that p points to:

```
q=p->next;
x->next=q;
x->prev=p;
p->next=x;
q->prev=x;
```

- Remove node that x points to:

```
p=x->prev;
q=x->next;
p->next=q;
q->prev=p;
```

Example 3: Maintain the following abstraction for $n$ elements, $0 \ldots n-1$ :
Specification: (could be used for handles in minHeap in Notes 5)

- Initially all elements are free, but may become allocated.
- A particular free element may be requested and it becomes allocated. (allocate())
- A particular allocated element may be requested and it becomes free. (freeup())
- A request to find and allocate any free element may be made. (allocateAny ( ))
- All operations are to be supported in $\mathrm{O}(1)$ time (except initialization).

Implementation:

- An array with $n+1$ elements is used. Element $n$ acts as a header for a circular, doublylinked list. Initialization:

| n=4 | 0 | 1 | 2 | 3 | 4 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| prev | 4 | 0 | 1 | 2 | 3 |
| next | 1 | 2 | 3 | 4 | 0 |

- allocate (int $x$ ) is just deletion of $x$ from a doubly-linked list:
p=prev[x];
q=next[x];
next[p]=q;
prev[q]=p;
prev[x]=next[x]=(-1);
- freeup (int x ) inserts the freed element x after the header.

```
q=next[n];
next[x]=q;
prev[x]=n;
next[n]=x;
prev[q]=x;
```

- allocateAny () deletes the successor of the header:
$\mathrm{p}=$ next[n];
allocate(p);
return $p$;
- Possible errors? (see circularFree.cpp)

CLRS Problem 10-1: Comparisons among lists

|  | unsorted, <br> singly linked | sorted, singly <br> linked | unsorted, <br> doubly linked | sorted, <br> doubly linked |
| :--- | :---: | :---: | :---: | :---: |
| $\operatorname{SEARCH}(L, k)$ | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ |
| $\operatorname{InSERT}(L, x)$ | $\Theta(1)$ | $\Theta(n)$ | $\Theta(1)$ | $\Theta(n)$ |
| $\operatorname{DeLEtE}(L, x)$ | $\Theta(n)$ | $\Theta(n)$ | $\Theta(1)$ | $\Theta(1)$ |
| $\operatorname{SUCCESSOR}(L, x)$ | $\Theta(n)$ | $\Theta(1)$ | $\Theta(n)$ | $\Theta(1)$ |
| $\operatorname{PrEdECESSOR}(L, x)$ | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ | $\Theta(1)$ |
| $\operatorname{Minimum}(L)$ | $\Theta(n)$ | $\Theta(1)$ | $\Theta(n)$ | $\Theta(1)$ |
| $\operatorname{MAXImUM}(L)$ | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ | $\Theta(1)$ |

