

# Three-dimensional Stable Matching Problems

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## *Abstract*

The stable marriage problem is a matching problem that pairs members of two sets. The objective is to achieve a matching that satisfies all participants based on their preferences. The stable roommate problem is a variant involving only one set, which is partitioned into pairs with a similar objective. There exist asymptotically optimal algorithms that solve both problems.

In this paper, we investigate the complexity of three-dimensional extensions of these problems. This is one of twelve research directions suggested by Knuth in his book on the stable marriage problem. We show that these problems are  $\mathcal{NP}$ -complete, and hence it is unlikely that there exist efficient algorithms for their solutions.

The approach developed in this paper provides an alternate  $\mathcal{NP}$ -completeness proof for the hospitals/residents problem with couples—an important practical problem shown earlier to be  $\mathcal{NP}$ -complete by E. Ronn.

**Key Words.** stable marriage problem, stable roommate problem,  $\mathcal{NP}$ -complete problems, three-dimensional matching.

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**Abbreviated Title.** Three-dimensional Stable Matching Problems.

# Three-dimensional Stable Matching Problems

## Introduction

Consider the problem of assigning  $3n$  students to  $n$  disjoint work groups of three students each. The students must guard against any three individuals abandoning their assignments and instead conspiring to form a new group that they consider more desirable.

The following procedure is followed: each student ranks all  $\frac{1}{2}(3n-1)(3n-2)$  possible pairs of fellow students according to her preference for working with the pairs. A *destabilizing triple* for an assignment  $M$  consists of three students such that each ranks the remaining two (as a pair) more desirable than the pair that she is assigned to in  $M$ . The students' task, the *3-person stable assignment problem* (or 3PSA for short), is to find a *stable assignment*, one that is free of all destabilizing triples, if such an assignment exists.

Readers will recognize that 3PSA is a three-dimensional generalization of the *stable roommate problem*, which partitions  $2n$  persons into  $n$  pairs of stable roommates. A better known variation is the *stable marriage problem*, which divides the participants into two disjoint sets, male and female. Each pair in a stable marriage must include a male and a female. The stable marriage problem has a similar generalization in three dimensions, which we name the *3-gender stable marriage problem* (or 3GSM for short) and define in the next section.

The stable roommate and stable marriage problems have been studied extensively [3] [4] [5] [9]. There exist efficient algorithms for both problems that run in

$O(n^2)$  time [1] [6] [10]. Ng and Hirschberg have obtained lower bound results proving that these algorithms are asymptotically optimal [12]. Since no significant improvement is possible on the original problems, it is then natural to consider their three-dimensional generalizations, 3GSM and 3PSA. This is one of twelve research directions suggested by Knuth in his treatise on the stable marriage problem [9].

In this paper, we show that both 3GSM and 3PSA are  $\mathcal{NP}$ -complete. Hence, it is unlikely that fast algorithms exist for these problems. The  $\mathcal{NP}$ -completeness of 3GSM has been independently established by Subramanian [15]. In [11], we extend the approach developed in this paper to the study of two problems dealing with the task of matching married couples to jobs.

## Definitions

An instance of 3GSM involves three finite sets  $A$ ,  $B$ , and  $D$ . These sets have equal cardinality  $k$ , which is the *size* of the problem instance. A *marriage* in 3GSM is a complete matching of the three sets, i.e., a subset of  $A \times B \times D$  with cardinality  $k$  such that each element of  $A$ ,  $B$ , and  $D$  appears exactly once.

For each element  $a$  of  $A$ , we define its *preference*, denoted by  $\geq_a$ , to be a linear order on the elements of  $B \times D$ . The intuitive meaning of  $(\beta_1, \delta_1) \geq_a (\beta_2, \delta_2)$  is that  $a$  prefers  $(\beta_1, \delta_1)$  to  $(\beta_2, \delta_2)$  in a marriage. For  $b \in B$  and  $d \in D$ , there are also analogous definitions  $\geq_b$  and  $\geq_d$  on the Cartesian products  $A \times D$  and  $A \times B$  respectively. When the subscript in the relation is evident from context, we omit it from the  $\geq$  notation.

A marriage is *unstable* if there exists a triple  $t \in A \times B \times D$  such that  $t$  is not in the marriage and each component of  $t$  prefers the pair that it is matched with in  $t$  to the pair that it is matched with in the actual marriage. A *stable marriage* is a marriage where no such *destabilizing triple* can be found. Formally, a stable marriage is a

marriage  $M$ , such that,  $\forall(a, b, d) \notin M$  and for the triples  $(a, \beta_1, \delta_1)$ ,  $(\alpha_2, b, \delta_2)$ ,  $(\alpha_3, \beta_3, d) \in M$ ; either  $(\beta_1, \delta_1) \geq_a (b, d)$ ,  $(\alpha_2, \delta_2) \geq_b (a, d)$ , or  $(\alpha_3, \beta_3) \geq_d (a, b)$ .

A 3PSA instance of size  $n$  involves a set  $S$  of cardinality  $n = 3k$ , where  $k$  is an integer. The *preference* of  $s \in S$ , denoted  $\geq_s$ , is a linear order on the set of unordered pairs  $\{ \{s_1, s_2\} \mid s_1 \neq s_2 \text{ and } s_1, s_2 \in S - \{s\} \}$ . A *stable assignment*  $M$  in 3PSA is a partition of  $S$  into  $k$  disjoint three-element subsets, such that,  $\forall\{s_1, s_2, s_3\} \notin M$  and for the subsets  $\{s_1, \sigma_{11}, \sigma_{12}\}$ ,  $\{s_2, \sigma_{21}, \sigma_{22}\}$ ,  $\{s_3, \sigma_{31}, \sigma_{32}\} \in M$ ; either  $\{\sigma_{11}, \sigma_{12}\} \geq_{s_1} \{s_2, s_3\}$ ,  $\{\sigma_{21}, \sigma_{22}\} \geq_{s_2} \{s_1, s_3\}$ , or  $\{\sigma_{31}, \sigma_{32}\} \geq_{s_3} \{s_1, s_2\}$ .

When referring to preferences, we adopt the convention that items are listed in decreasing order of favor. For example, the listing  $p_1 p_2 \dots p_k$ , where each  $p_i$  denotes a pair, represents the preference  $p_1 \geq p_2 \geq \dots \geq p_k$ . We also use the simpler notation  $xyz$  to denote the ordered triple  $(x, y, z)$  or unordered  $\{x, y, z\}$ . Similarly,  $xy$  denotes  $(x, y)$  or  $\{x, y\}$ .

Although 3GSM is similar to its 2-gender counterpart in that an instance can have more than one stable marriage,<sup>1</sup> it differs from the 2-gender counterpart in that there exist instances that have no stable marriage. Figure 1 shows a 3GSM instance with  $A = \{\alpha_1, \alpha_2\}$ ,  $B = \{\beta_1, \beta_2\}$  and  $D = \{\delta_1, \delta_2\}$ . A complete list of all possible marriages, each shown with a corresponding destabilizing triple, confirms that no stable marriage exists for this instance of 3GSM.

## $\mathcal{NP}$ -Completeness of 3GSM

In the previous section, we noted that some instances of 3GSM do not have stable marriages. In this section, we will show that deciding whether a given instance of 3GSM has a stable marriage is an  $\mathcal{NP}$ -complete problem. This is accomplished

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<sup>1</sup> In fact, the number of stable marriages in many instances is exponential in the instances' size. Irving and Leather [7] give a proof of this for the 2-gender case. Extending the proof to cover the 3-gender case is straightforward.

$\alpha_1$	$\beta_1\delta_2$	$\beta_1\delta_1$	$\beta_2\delta_2$	$\beta_2\delta_1$
$\alpha_2$	$\beta_2\delta_2$	$\beta_1\delta_1$	$\beta_2\delta_1$	$\beta_1\delta_2$
$\beta_1$	$\alpha_2\delta_1$	$\alpha_1\delta_2$	$\alpha_1\delta_1$	$\alpha_2\delta_2$
$\beta_2$	$\alpha_2\delta_1$	$\alpha_1\delta_1$	$\alpha_2\delta_2$	$\alpha_1\delta_2$
$\delta_1$	$\alpha_1\beta_2$	$\alpha_1\beta_1$	$\alpha_2\beta_1$	$\alpha_2\beta_2$
$\delta_2$	$\alpha_1\beta_1$	$\alpha_2\beta_2$	$\alpha_1\beta_2$	$\alpha_2\beta_1$

Possible Marriage	Destabilizing Triple
$\{\alpha_1\beta_1\delta_1, \alpha_2\beta_2\delta_2\}$	$\alpha_1\beta_1\delta_2$
$\{\alpha_1\beta_1\delta_2, \alpha_2\beta_2\delta_1\}$	$\alpha_2\beta_1\delta_1$
$\{\alpha_1\beta_2\delta_1, \alpha_2\beta_1\delta_2\}$	$\alpha_1\beta_1\delta_2$
$\{\alpha_1\beta_2\delta_2, \alpha_2\beta_1\delta_1\}$	$\alpha_2\beta_2\delta_2$

**Figure 1. An instance of 3GSM that has no stable marriage.**

by giving a polynomial transformation from the 3-dimensional matching problem (or 3DM for short) to 3GSM. A proof that 3DM is  $\mathcal{NP}$ -complete is first given in Karp's [8] landmark paper.

An instance of 3DM involves three finite sets of equal cardinality—which we denote by  $A'$ ,  $B'$ , and  $D'$ , relating them to  $A$ ,  $B$ , and  $D$  of 3GSM. Given a set of triples  $T' \subseteq A' \times B' \times D'$ , the 3DM problem is to decide if there exists an  $M' \subseteq T'$  such that  $M'$  is a complete matching, i.e., each element of  $A'$ ,  $B'$ , and  $D'$  appears exactly once in  $M'$ .

Given a 3DM instance  $I'$ , we construct a corresponding 3GSM instance  $I$ . Although our construction can be adapted to work for any 3DM instance in general; we shall assume, in order to simplify the presentation, that no element of  $A'$ ,  $B'$ , or  $D'$  appears in more than three triples of  $T'$ . This assumption is made without loss of generality. In their reference work on  $\mathcal{NP}$ -completeness, Garey and Johnson [2, p. 221] mention that 3DM remains  $\mathcal{NP}$ -complete with this restriction.

We construct  $I$  by first building a “frame” consisting of the elements  $\alpha_1, \alpha_2 \in A$ ,  $\beta_1, \beta_2 \in B$ , and  $\delta_1, \delta_2 \in D$ . The preferences of these elements do not depend on the structure of  $I'$  and are displayed in Figure 2. In Figure 2 and subsequent figures, we are only interested in the roles played by a few items in each preference list. Therefore, we use the notation  $\Pi_{\text{Rem}}$  to denote any fixed but arbitrary permutation of the remaining items.

$\alpha_1$	$\beta_1\delta_1$	$\beta_2\delta_1$	$\beta_1\delta_2$	$\dots$	$\Pi_{\text{Rem}}$	$\dots$
$\alpha_2$	$\beta_2\delta_2$			$\dots$	$\Pi_{\text{Rem}}$	$\dots$
$\vdots$						
$\beta_1$	$\alpha_1\delta_2$			$\dots$	$\Pi_{\text{Rem}}$	$\dots$
$\beta_2$	$\alpha_2\delta_2$	$\alpha_1\delta_1$		$\dots$	$\Pi_{\text{Rem}}$	$\dots$
$\vdots$						
$\delta_1$	$\alpha_1\beta_2$			$\dots$	$\Pi_{\text{Rem}}$	$\dots$
$\delta_2$	$\alpha_1\beta_1$	$\alpha_2\beta_2$		$\dots$	$\Pi_{\text{Rem}}$	$\dots$
$\vdots$						

**Figure 2. Preferences of the elements  $\alpha_1, \alpha_2, \beta_1, \beta_2, \delta_1, \delta_2$ .**

We shall prove later in Lemma 2 that the triples  $\alpha_1\beta_1\delta_1$  and  $\alpha_2\beta_2\delta_2$  must be included in any stable marriage. Note that  $\alpha_1\beta_1\delta_1$  is the weakest link in such a marriage because it represents the least preferred match for both  $\beta_1$  and  $\delta_1$ . Consequently, if any element  $a \in A$  is matched in marriage with a pair that it prefers less than  $\beta_1\delta_1$ , then  $a\beta_1\delta_1$  becomes a destabilizing triple.

The above observation gives us a strategy that uses the pair  $\beta_1\delta_1$  as a “boundary” in the preferences of  $A$ ’s remaining elements. A necessary condition for a stable marriage in  $I$  is that all remaining elements of  $A$  must match with pairs located left of the boundary, i.e.,  $\geq \beta_1\delta_1$ . Using information from  $T'$  to construct the set of items to be positioned left of the boundary, we ensure that this condition for stable marriage can be met only if  $T'$  contains a complete matching. The remaining difficulty is to ensure that matching all elements of  $A$  left of the boundary

is sufficient to yield a stable marriage. Before giving details of the construction that provides the solution, we first prove the lemmas that establish the frame's properties.

**Lemma 1:**

If a stable marriage  $M$  exists for  $I$  constructed by extending the frame in Figure 2, then  $\alpha_1\beta_2\delta_1 \notin M$ .

*Proof:* By contradiction. Suppose  $\alpha_1\beta_2\delta_1 \in M$ . Since  $\alpha_1\beta_2\delta_1 \in M$ ,  $\delta_2$ 's match cannot be  $\alpha_1\beta_1$  or  $\alpha_2\beta_2$ . From  $\delta_2$ 's preference,  $\alpha_1\beta_1$  is the only pair  $\geq_{\delta_2} \alpha_2\beta_2$ . Therefore,  $\alpha_2\beta_2 \geq_{\delta_2} \delta_2$ 's match in  $M$ . Moreover,  $\beta_2\delta_2$  and  $\alpha_2\delta_2$  are the first preference choices of  $\alpha_2$  and  $\beta_2$  respectively. Hence,  $\alpha_2\beta_2\delta_2$  is a destabilizing triple for  $M$ , a contradiction. ■

**Lemma 2:**

If a stable marriage  $M$  exists for  $I$  constructed by extending the frame in Figure 2, then  $\alpha_1\beta_1\delta_1 \in M$  and  $\alpha_2\beta_2\delta_2 \in M$ .

*Proof:* We first prove  $\alpha_1\beta_1\delta_1 \in M$ . Suppose  $\beta_1$  is not matched with  $\alpha_1\delta_1$  in  $M$ , we can then find a destabilizing triple for  $M$ . There are two cases:

Case 1:  $\beta_1$  is matched with  $\alpha_1\delta_2$ .  $\alpha_1\beta_1\delta_2 \in M$  implies that  $\alpha_2\beta_2\delta_2$ ,  $\alpha_1\beta_1\delta_1$ , and  $\alpha_1\beta_2\delta_1 \notin M$ . By an argument similar to that of Lemma 1,  $\alpha_1\beta_2\delta_1$  is a destabilizing triple.

Case 2:  $\beta_1$  is not matched with  $\alpha_1\delta_2$  nor  $\alpha_1\delta_1$ .  $\alpha_1\beta_2\delta_1 \notin M$  by Lemma 1. Also,  $\alpha_1\beta_1\delta_1 \notin M$ , which implies that  $\alpha_1\beta_1\delta_2$  is a destabilizing triple in this case.

Hence, we conclude that  $\alpha_1\beta_1\delta_1 \in M$ , which implies that  $\alpha_1\beta_1\delta_2 \notin M$ . It is now easy to verify that if  $\alpha_2\beta_2\delta_2 \notin M$ , then it is a destabilizing triple. ■



If the sets of  $I'$  ( $A'$ ,  $B'$ , and  $D'$ ) each has  $k$  elements, then the sets of  $I$  ( $A$ ,  $B$ , and  $D$ ) each has  $3k + 2$  elements. The  $\alpha$ 's,  $\beta$ 's, or  $\delta$ 's, which are in the frame, account for two elements. The remaining  $3k$  elements are defined as follows.

Suppose  $A' = \{a_1, a_2, \dots, a_k\}$ ,  $B' = \{b_1, b_2, \dots, b_k\}$ , and  $D' = \{d_1, d_2, \dots, d_k\}$ . According to an earlier assumption, each element  $a_i \in A'$  appears in no more than three triples of  $T'$ . We clone three copies of  $a_i$  and replace  $a_i$  with the clones  $a_i[1]$ ,  $a_i[2]$ , and  $a_i[3]$  in  $A$ . These clones' preferences are set up to make it possible for **exactly one** of their matches in a stable marriage to correspond to a triple in  $T'$ .

To prevent the two remaining clones from interfering with the above setup, we add elements  $w_{a_i}, y_{a_i}$  to  $B$  and  $x_{a_i}, z_{a_i}$  to  $D$ . In a stable marriage, the pairs  $w_{a_i}x_{a_i}$  and  $y_{a_i}z_{a_i}$  are required to match with two of  $a_i$ 's clones, putting them out of action. We complete the sets  $B$  and  $D$  by adding to them the elements of  $B'$  and  $D'$  respectively. To summarize,  $A = \{\alpha_1, \alpha_2\} \cup \bigcup_{a_i \in A'} \{a_i[1], a_i[2], a_i[3]\}$ ,  $B = B' \cup \{\beta_1, \beta_2\} \cup \bigcup_{a_i \in A'} \{w_{a_i}, y_{a_i}\}$ , and  $D = D' \cup \{\delta_1, \delta_2\} \cup \bigcup_{a_i \in A'} \{x_{a_i}, z_{a_i}\}$ .

Given that  $a_i b_{j_1} d_{l_1}$ ,  $a_i b_{j_2} d_{l_2}$ , and  $a_i b_{j_3} d_{l_3}$  are the triples containing  $a_i$  in  $T'$ , the preferences in Figure 3 accomplish the objectives outlined above. When there exist fewer than three triples containing  $a_i$ , we equate two or more of the  $j$ 's and  $l$ 's.

The following lemma establishes the roles of  $w_{a_i}$ ,  $x_{a_i}$ ,  $y_{a_i}$  and  $z_{a_i}$ .

**Lemma 3:**

If a stable marriage  $M$  exists for  $I$  constructed with the preferences shown in Figure 3, then for every  $a_i \in A'$ , there exist  $j_1, j_2 \in \{1, 2, 3\}$ ,  $j_1 \neq j_2$  such that

- a)  $a_i[j_1]w_{a_i}x_{a_i} \in M$ , and

$\alpha_1$						
$\alpha_2$						
$\vdots$						
$a_i[1]$	$w_{a_i} x_{a_i}$	$y_{a_i} z_{a_i}$	$b_{j_1} d_{l_1}$	$\beta_1 \delta_1$	$\dots$	$\Pi_{\text{Rem}}$
$a_i[2]$	$w_{a_i} x_{a_i}$	$y_{a_i} z_{a_i}$	$b_{j_2} d_{l_2}$	$\beta_1 \delta_1$	$\dots$	$\Pi_{\text{Rem}}$
$a_i[3]$	$w_{a_i} x_{a_i}$	$y_{a_i} z_{a_i}$	$b_{j_3} d_{l_3}$	$\beta_1 \delta_1$	$\dots$	$\Pi_{\text{Rem}}$
$\vdots$						
$\beta_1$						
$\beta_2$						
$\vdots$						
$w_{a_i}$	$a_i[1] x_{a_i}$	$a_i[2] x_{a_i}$	$a_i[3] x_{a_i}$	$\dots$	$\Pi_{\text{Rem}}$	
$y_{a_i}$	$a_i[1] z_{a_i}$	$a_i[2] z_{a_i}$	$a_i[3] z_{a_i}$	$\dots$	$\Pi_{\text{Rem}}$	
$\vdots$						
$b_i$	$\dots$	$\Pi_{\text{Rem}}$				
$\vdots$						
$\delta_1$						
$\delta_2$						
$\vdots$						
$x_{a_i}$	$a_i[3] w_{a_i}$	$a_i[2] w_{a_i}$	$a_i[1] w_{a_i}$	$\dots$	$\Pi_{\text{Rem}}$	
$z_{a_i}$	$a_i[3] y_{a_i}$	$a_i[2] y_{a_i}$	$a_i[1] y_{a_i}$	$\dots$	$\Pi_{\text{Rem}}$	
$\vdots$						
$d_i$	$\dots$	$\Pi_{\text{Rem}}$				
$\vdots$						

**Figure 3. Preferences in the 3GSM instance  $I$ .** The column of  $\beta_1 \delta_1$ 's represents the boundary. Preferences of  $\alpha$ 's,  $\beta$ 's, and  $\delta$ 's are those shown in Figure 2.

b)  $a_i[j_2] y_{a_i} z_{a_i} \in M$ .

*Proof:* Consider the triple  $a_i[1] w_{a_i} x_{a_i}$ , which represents the third preference choice of  $x_{a_i}$  and the first preference choices of  $a_i[1]$  and  $w_{a_i}$ . It becomes a destabilizing triple unless  $x_{a_i}$  is matched with one of its first three preference choices, proving part (a) of the lemma.

Similarly,  $z_{a_i}$  must be matched with one of its first three preference choices. Otherwise,  $y_{a_i}z_{a_i}$  forms a destabilizing triple with  $a_i[1]$  or  $a_i[2]$ , depending on which  $a_i$  clone is matched in part (a). ■

We are now ready to prove the  $\mathcal{NP}$ -completeness of 3GSM by showing that  $I$  has a stable marriage if and only if  $T'$  has a complete matching of  $I'$ .

**Theorem 1:**

If  $T'$  contains a complete matching  $M'$  of the 3DM instance  $I'$ , then the constructed 3GSM instance  $I$  has a stable marriage  $M$ .

*Proof:* We show that it is possible to construct a stable marriage  $M$ . Begin by adding  $\alpha_1\beta_1\delta_1$  and  $\alpha_2\beta_2\delta_2$  to  $M$ .

For each element  $a_i \in A'$ , the only triples in  $T'$  containing  $a_i$  are  $a_i b_{j_1} d_{l_1}$ ,  $a_i b_{j_2} d_{l_2}$ , and  $a_i b_{j_3} d_{l_3}$  using the notations found in Figure 3. One of these triples is in  $M'$ .

$$\text{Add to } M \begin{cases} a_i[1] b_{j_1} d_{l_1}, & a_i[2] w_{a_i} x_{a_i}, & \text{and} & a_i[3] y_{a_i} z_{a_i} & \text{if } a_i b_{j_1} d_{l_1} \in M'; \\ a_i[1] w_{a_i} x_{a_i}, & a_i[2] b_{j_2} d_{l_2}, & \text{and} & a_i[3] y_{a_i} z_{a_i} & \text{if } a_i b_{j_2} d_{l_2} \in M'; \\ a_i[1] w_{a_i} x_{a_i}, & a_i[2] y_{a_i} z_{a_i}, & \text{and} & a_i[3] b_{j_3} d_{l_3} & \text{if } a_i b_{j_3} d_{l_3} \in M'. \end{cases}$$

Since  $M'$  is a complete matching, the above construction guarantees that those elements of  $B$  and  $D$  that originate from  $B'$  and  $D'$  are used exactly once in  $M$ . It is easy to verify that all other elements of  $A$ ,  $B$ , and  $D$  are also used exactly once. Hence,  $M$  is a marriage.

To show that  $M$  is stable, it is sufficient to show that no element of  $A$  is a component of a destabilizing triple.  $\alpha_1$  and  $\alpha_2$  satisfy this condition immediately because they are matched with their first preference choices.

Referring to Figure 3, each of the remaining elements of  $A$  is matched with a pair located left of the boundary. Hence, the only pairs that can form destabilizing

triples are  $w_{a_i}x_{a_i}$  and  $y_{a_i}z_{a_i}$ . However,  $w_{a_i}$ 's ( $y_{a_i}$ 's) match is one of its first three preference choices. These three choices are in exact reverse order of  $x_{a_i}$ 's ( $z_{a_i}$ 's). This eliminates  $w_{a_i}$  and  $y_{a_i}$  from participating in any destabilizing triple. ■

**Theorem 2:**

If the 3GSM instance  $I$  has a stable marriage, then  $T^I$  contains a complete matching of the 3DM instance  $I'$ .

*Proof:* Suppose  $I$  has a stable marriage  $M$ . Lemma 2 requires  $M$  to include  $\alpha_1\beta_1\delta_1$  and  $\alpha_2\beta_2\delta_2$ . Lemma 3 requires that, for each  $a_i \in A'$ , two of the  $a_i$  clones match with  $w_{a_i}x_{a_i}$  and  $y_{a_i}z_{a_i}$ . Let  $M'$  represent the matching that results when  $M$  is restricted to the remaining elements that are without predetermined matches.

For each  $a_i \in A'$ , only one  $a_i$  clone remains to be matched in  $M'$ . Therefore, we shall drop the distinction between an  $a_i$  clone and the  $a_i$  it represents, without the risk of introducing any ambiguity in  $M'$ . The elements that participate in  $M'$  can then be characterized as exactly those elements of  $A'$ ,  $B'$ , and  $D'$ . Since  $M'$  is a subset of a marriage, it represents a complete matching.

Due to the absence of destabilizing triples, every  $a_i$  in  $M'$  must match with a preference choice located left of the boundary. The construction of  $I$ , as illustrated in Figure 3, restricts this choice to the third item in the preference list since the first two items are already matched. Moreover, the triple formed by  $a_i$  and this item is contained in  $T^I$ . Hence, every triple in  $M'$  is also a triple in  $T^I$ , and  $M'$  is the desired complete matching contained in  $T^I$ . ■

**Theorem 3:**

3GSM is  $\mathcal{NP}$ -complete.

*Proof:* It is easy to verify that the construction of  $I$  from  $I'$  can be accomplished within a polynomial time bound. Therefore, Theorems 1 and 2 establish that 3GSM is  $\mathcal{NP}$ -hard. It is also possible to check the stability of a given marriage in polynomial time, establishing 3GSM's membership in  $\mathcal{NP}$ . ■

### $\mathcal{NP}$ -Completeness of 3PSA

The  $\mathcal{NP}$ -completeness of 3PSA follows from that of 3GSM because the former is a generalization of the latter. Given a 3GSM instance  $I$  where  $A = \{a_1, a_2, \dots, a_k\}$ ,  $B = \{b_1, b_2, \dots, b_k\}$ , and  $D = \{d_1, d_2, \dots, d_k\}$ ; we can extend it into a 3PSA instance  $\hat{I}$  by defining  $S = A \cup B \cup D$ . Each element of  $S$  retains its entire preference list from  $I$  as the first  $k^2$  preference items in  $\hat{I}$ . We refer to these  $k^2$  items as *inherited items*. All remaining items are inconsequential in  $\hat{I}$  and are arranged in fixed but arbitrary permutations following the inherited items. The result is illustrated in Figure 4.

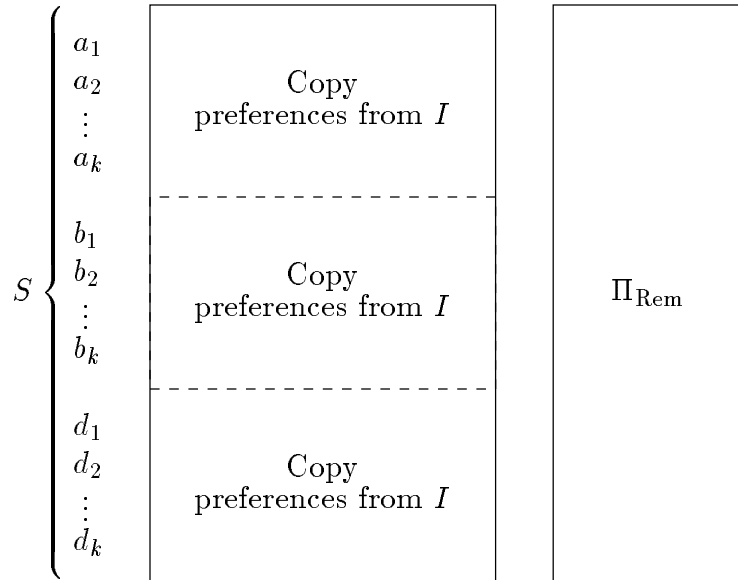


Figure 4. Preferences in the 3PSA instance  $\hat{I}$ .

**Theorem 4:**

3PSA is  $\mathcal{NP}$ -complete.

*Proof:* Any stable marriage  $M$  in  $I$  is an assignment in  $\hat{I}$ . Any destabilizing triple for  $M$  in  $\hat{I}$  is simultaneously a destabilizing triple for  $M$  in  $I$ . Therefore, the stability of  $M$  in  $I$  implies its stability in  $\hat{I}$ .

We claim that any stable assignment  $\hat{M}$  in  $\hat{I}$  involves only inherited items and is therefore a marriage in  $I$ . This is equivalent to claiming that  $\hat{M}$  is a complete matching of  $A \times B \times D$ . Otherwise, there exist elements  $a_i \in A, b_j \in B, d_l \in D$  not matched to inherited items, which implies that  $a_i b_j d_l$  is a destabilizing triple.

Since  $\hat{M}$  involves only inherited items, any destabilizing triple for  $\hat{M}$  in  $I$  is simultaneously a destabilizing triple for  $\hat{M}$  in  $\hat{I}$ . Therefore, the stability of  $\hat{M}$  in  $\hat{I}$  implies its stability in  $I$ . ■

**Related Results**

In addition to the interest generated amongst computer scientists, the stable marriage problem has also received substantial attention from game theorists. It is used to model economic problems that require matching representatives from different market forces, such as matching labor to the job market. Since 1951, the National Resident Matching Program (NRMP) has based its success on an algorithm that solves the stable marriage problem [14]. NRMP is the centralized national program in the United States that matches medical school graduates to hospital resident positions.

In recent years, NRMP administrators have recognized that an increasing proportion of medical school graduates comes from the set of married couples who are both medical students graduating in the same year. In 1983, NRMP instituted a “couples program” which allows a participating couple to increase the probability of

their being matched with two resident positions in close proximity. To participate in this special program, a couple submits a combined preference list that ranks pairs of resident positions.

In 1984, Roth [14, p. 1008] discovered a dilemma with NRMP's couples program. He showed that there are instances where no stable matching can exist. Recently, Ronn [13] proved that the problem of deciding whether a stable matching exists in an instance of the couples program is  $\mathcal{NP}$ -complete.

As an extension of our work in this paper, we have obtained an alternate  $\mathcal{NP}$ -completeness proof for NRMP's couples program [11]. We model the couples program as a job matching problem for dual-career couples where only a single job market is involved. Each couple has a preference list that ranks pairs of available positions. However, each employer ranks applicants individually without regard to marriage relations. A matching is stable if no couple can find an alternate pair of employers such that all four participants benefit from the new arrangement.

The  $\mathcal{NP}$ -completeness proof for the problem in the above model is an adaptation of those developed in this paper. We refer interested readers to [11] for further details. In addition, we also examine the simpler problem that results when the employers are partitioned into two disjoint job markets, one for the male and female participants respectively. We show that the problem remains  $\mathcal{NP}$ -complete even with this simplification.

## Conclusions and Open Problems

We have shown that three-dimensional generalizations of the stable marriage and stable roommate problems are  $\mathcal{NP}$ -complete. Our result also applies to the problem of finding stable job assignments for dual-career couples, resulting in an

alternate  $\mathcal{NP}$ -completeness proof for NRMP's couples program. It may be interesting, as a topic for further research, to investigate the possibility of applying our result to other matching problems and their variants.

The proofs in this paper exploit the ability to assign a somewhat “inconsistent” preference list. For example, in Figure 2,  $\delta_2$  does not rank  $\beta_1$  consistently ahead of  $\beta_2$  but instead depends on who the  $\beta$ 's are matched with. In the example,  $\alpha_1\beta_1 \geq_{\delta_2} \alpha_1\beta_2$  but  $\alpha_2\beta_2 \geq_{\delta_2} \alpha_2\beta_1$ . An interesting question to consider is whether the matching problems remain  $\mathcal{NP}$ -complete if all preference lists must obey a “consistency property”, namely,  $xy \geq_a xz$  holds for either all  $x$ 's or no  $x$ .

There are other ways to generalize the stable marriage problem in three dimensions besides those considered in this paper. One approach allows  $A$  to rank only elements of  $B$ ,  $B$  ranks only elements of  $D$ , and  $D$  ranks only elements of  $A$ . A triple  $abd \notin M$  is destabilizing if  $ab_1d_1, a_2bd_2, a_3b_3d \in M$  and  $b \geq_a b_1, d \geq_b d_2, a \geq_d a_3$ . One of the referees, who called our attention to this problem, attributes its origin to Knuth and dubbed it “circular” 3GSM. The complexity of this problem is currently an open problem.

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