# Elastic Data Compression with Improved Performance and Space Efficiency for Flash-based Storage Systems

Bo Mao<sup>†</sup>, Hong Jiang<sup>\*</sup>, Suzhen Wu<sup>‡</sup>⊠, Yaodong Yang<sup>Φ</sup>, Zaifa Xi<sup>‡</sup> <sup>†</sup>Software School of Xiamen University, China <sup>‡</sup>Computer Science Department of Xiamen University, China <sup>\*</sup>Department of Computer Science & Engineering at University of Texas-Arlington, USA <sup>Φ</sup>Microsoft, Redmond, WA, USA {maobo, suzhen}@xmu.edu.cn, hong.jiang@uta.edu, yaodong.yangy@gmail.com

Abstract—Data compression has become a commodity feature for space efficiency and reliability in flash-based storage systems by reducing write traffic and space capacity demand. However, it introduces noticeable processing overheads on the critical I/O path, which degrades the system performance significantly. Existing data compression schemes for flash-based storage systems use fixed compression algorithms for all the incoming write data, failing to recognize and exploit the significant diversity in compressibility and access patterns of data and missing an opportunity to improve the system performance, the space efficiency or both. To achieve a reasonable trade-off between these two important design objectives, in this paper we introduce an Elastic Data Compression scheme, called EDC, which exploits the data compressibility and access intensity characteristics by judiciously matching data of different compressibility with different compression algorithms while leveraging the access idleness. Specifically, for compressible data blocks EDC exploits the compression diversity of the workload, and employs algorithms of higher compression rate in periods of lower system utilization and algorithms of lower compression rate in periods of higher system utilization. For non-compressible (or very lowly compressible) data blocks, it will write them through to the flash storage directly without any compression. The experiments conducted on our lightweight prototype implementation of the EDC system show that EDC saves storage space by up to 38.7%, with an average of 33.7%. In addition, it significantly outperforms the fixed compression schemes in the I/O performance measure by up to 61.4%, with an average of 36.7%.

Keywords-Elastic Data Compression; Flash-based Storage; Data Compressibility; I/O Intensity

## I. INTRODUCTION

The I/O bottleneck has become an increasingly daunting challenge for big data analytics, along with the explosive growth in data volume. Flash-based SSDs have the potential to replace HDDs and have consequently been extensively deployed in modern storage systems to satisfy the increasing demand of storage performance and energy efficiency [1], [27]. At the same time, inline data compression techniques have been widely employed in flash-based storage products from leading companies, such as Nimble Storage [18], Pure Storage [19], and Tintri [33], for the purpose of enhancing system performance, reliability and space efficiency.

Two technological trends have propelled data compression to become a standard commodity feature in flash-based storage systems and products. The first trend is the need to increase



Fig. 1. The impact of request size on user response time of an Intel SSD with random accesses.

the storage density of the NAND flash devices by increasing the number of bits per storage cell from a single bit (SLC) to two bits (MLC), and to multiple bits (TLC), which leads to significant deterioration of chip endurance (cell erase limit) while keeping the latency essentially constant. The second trend is the continuous improvement in the processing power of processors, such as GPU and multi-core processors, which lowers the computation cost noticeably. The combined impact of these trends makes the data compression technique not only necessary but also affordable by trading compute overheads for several important benefits. First, it trades processing power for the improved space efficiency by storing much more user data than the physical capacity of a flash-based storage system [25], [38]. Second, it reduces the number of erase cycles for the NAND flash cells [24], thus increasing the lifetime of the flash-based storage systems. Third, it also reduces the I/O latency by shrinking the individual request size. Nevertheless, these benefits do come at the expenses of some system performance and extra system resources, which may cause the amount of the benefits to vary substantially depending on the data compressibility and access intensity of the workloads. Therefore, employing data compression should be done carefully in order to avoid potential pitfalls for flashbased storage systems [6], [40].

Previous studies have shown that the data compressibility distribution is skewed in that the main benefit of data compression comes from a subset of the data blocks [8], [10]. For example, based on the file data generated from 15 globally distributed file servers for over 2000 users in a large multinational corporation, researchers found that 50% of the data chunks are responsible for 86% of the compression savings and roughly 31% of the data chunks do not compress at all [10]. Our own analysis has been consistent with these published studies in showing that data blocks from different file types have different data compressibility characteristics. In addition, both previous studies and our own evaluations have demonstrated that different compression algorithms have different compression ratios and compute overheads [29], [39]. On the one hand, higher compression schemes require higher compute overheads in the compressing and decompressing processes, and vice versa, as shown in Section II-B. On the other hand, the access patterns of real-world workloads exhibit a significant interspersed idleness and burstiness characteristics [30]: periods of high utilization alternate with periods of little or no external load, as shown in Section II-C. Most existing data reduction schemes for flash-based storage systems use a fixed compression scheme in both high utilization and low utilization periods for all the incoming data. This approach has obvious drawbacks in that, for example, it will degrade the system performance if a scheme with a high compression ratio is used in high utilization periods, or diminish the space efficiency if an algorithm with a low compression ratio is used in low utilization periods. Moreover, applying data compression on non-compressible (or very lowly compressible) data chunks will both waste system resources and degrade the system performance.

To address these problems in current flash-based storage systems with the commodity data compression feature, we propose an Elastic Data Compression scheme, or EDC, to improve the system performance and space efficiency in such systems. EDC exploits the compression diversity of the workload characteristics, and for compressible data blocks employs algorithms with higher compression ratios in periods with lower system utilization and algorithms with lower compression ratios in periods with higher system utilization. For non-compressible (or very lowly compressible) data blocks, it will write them through to the flash storage directly without any compression. To validate EDC and evaluate its efficiency, we have conducted extensive trace-driven evaluations on a lightweight implementation of the EDC prototype. The performance results show that EDC achieves a much better trade-off between the performance and space efficiency than the existing schemes.

The rest of this paper is organized as follows. Background and motivation are presented in Section II. We describe the design details of EDC in Section III. The performance evaluation is presented in Section IV and the related work is presented in Section V. We conclude this paper in Section VI.

## II. BACKGROUND AND MOTIVATION

In this section, we first present the necessary background for the proposed solution, including a discussion on two unique characteristics of modern flash-based SSDs. Then we analyze the data compressibility of typical data formats and types and compression efficiency of representative compression algorithms, followed by a workload behavior characterization study that motivates our proposed elastic data compression for flash-based storage systems.

## A. Flash-based SSDs

Flash-based SSDs are made of silicon memory chips and do not have moving parts (*i.e.*, mechanical positioning parts in HDDs) [26], [36]. In addition to their high energy-efficiency and high random-read performance advantages, flash-based SSDs have the following two unique characteristics that distinguish them from HDDs.

First, flash-based SSDs have asymmetric read-write performance, with the write performance lagging the read performance by an order of magnitude [12]. Moreover, the required Garbage Collection (GC) operations in SSD significantly affect the user I/O performance [15], [35]. That is, in the flash storage, each 64-128 KB flash block must be erased in advance before any part of it can be re-written. Due to the sheer size of a block, an erase operation typically takes milliseconds to complete. The GC operations are triggered if there are not sufficient free space available within flash-based SSDs. Thus, the total data written to the flash-based SSDs has a direct relationship to the GC frequency and impacts the system performance. Existing studies have extensively applied the data compression and data deduplication technologies to reduce the total written data on the flash-based SSDs [3], [16], [25].

Second, the response time of a flash-based storage system tends to increase linearly with the request size. In order to understand the relationship between the response time and user request size for SSDs, we use the IOmeter tool to test an SSD device (Intel X25-E 64GB), under different request sizes. Figure 1 shows the normalized results, which tracks an approximately linear correlation between the average response time and the request size for the SSD. The reason for this correlation is that the read and write operations are implemented entirely through electronic circuitry for both control and data signal transmissions, which makes the data transmission time directly related to the request size and the dominant part of the user response time.

These two unique characteristics of flash-based SSDs imply that it is feasible and practical to improve the write performance by reducing the write request size with the data compression technique. With data compression, less data is written to the flash-based SSDs, resulting in better performance and better endurance. They are also the main reason why in-line data compression has become a commodity feature in flashbased storage products [18], [19], [33].

#### B. Data compressibility and compression efficiency

Lossless data compression techniques have the potential to reduce the data size effectively. However, certain types of file formats, such as TIF, JPEG, video and sound files, etc., are non-compressible in practice because they are already in compressed formats. Applying data compression on these non-compressible files not only wastes system processing resources, but also significantly increases the I/O response time



Fig. 2. The compression efficiency of the different compression algorithms in terms of compression ratio and compression speed on two different data sets. Note: C\_Speed denotes the compression speed, D\_Speed denotes the decompression speed and C\_Ratio denotes the compression ratio.

because the compression process sits on the IO critical path. On the other hand, previous studies also show that different compression algorithms have different compression ratios and compression/decompression speeds [8], [20]. To obtain a better understanding on compression efficiency, we conducted extensive experiments by using different compression algorithms on different data sets. Figure 2 shows the compression efficiency of the different compression algorithms on two types of files: the Linux source files and the Mozilla Firefox files. The compression ratio is defined to be the size of the original data volume divided by the size of the compressed data, thus the higher the ratio the better (i.e., the higher the data reduction rate).

Clearly, different datasets have different compressibility and different algorithms achieve different compression ratios at different compression and decompression speeds. In general, an algorithm with a higher compression ratio is associated with a slower compression/decompression speed, and vise verse. Among the evaluated compression algorithms, the Bzip2 and Gzip algorithms achieve the best compression ratios but at the lowest compression/decompression speeds. Lz4 and Lzf achieve the lower compression ratios but at much higher compression/decompression speeds than Bzip2 and Gzip. The observed trade-offs between the compression ratio and speed among the different compression algorithms are the key to the design of our proposed elastic data compression for the flash-based storage systems.

## C. Workload characteristics

Researchers have extensively collected and analyzed workloads on the storage I/O path, and found that burstiness



Fig. 3. The access patterns of the different applications exhibit clear burstiness and idleness for two applications: (a) OLTP application and (b) Enterprise workload.

and idleness are common among many applications [13], [30]. Figure 3 plots the access patterns of two applications, i.e., the financial workload obtained from the Storage Performance Council [11] and the enterprise workload obtained from Microsoft Research Cambridge [31]. The figure shows that the accesses exhibit a mixed pattern of burstiness and idleness in terms of I/O intensity. With the help of the upperlayer optimizing techniques such as DRAM buffer and I/O scheduling, the I/Os seen at the lower level are usually bursty and clustered along the time dimension.

While data compression is an effective way to reduce the data size, thus saving storage space for flash-based storage systems, this data reduction comes at the expense of additional compute overheads for compression and decompression. Recent studies have evaluated the data compression technology in the flash and NVM-based storage systems [6], [24], [25], [40] for the purpose of performance, space efficiency and reliability improvement. However, the diversity in compression algorithms and data compressibility associated with the bursty and clustering characteristics of the I/O workloads, while a potential opportunity for optimization of compression-based systems, has not been explored in previous studies and thus inspires us to rethink about the design of the compressionbased flash storage systems. Applying data compression on non-compressible (or lowly compressible) data will directly degrade system performance, particularly in any bursty period when performance should be considered a first-priority design factor. In other words, instead of using algorithms with higher compression ratios for all the requests all the time as is the case in existing compression-based flash storage products, including bursty periods where the I/O queue length will be increased to degrade the system performance, such algorithms are desirable only during an idle period to achieve higher space savings without noticeably affecting the performance. Based on these above observations, an adaptive data compression scheme is preferred for flash-based storage systems to achieve a good balance between the performance and space efficiency, which motivates us to propose the elastic data compression scheme.

## **III. ELASTIC DATA COMPRESSION**

In this section, we first outline the main design objectives of the EDC system. Then we present the architecture and design details of EDC.



Fig. 4. Architecture overview of EDC.

## A. The design objectives of EDC

The design of EDC aims to achieve the following three objectives.

- *Improving the system performance* During the system's bursty periods, EDC will utilize data compression algorithms with lower overhead to reduce the I/O queue length. Moreover, for non-compressible data blocks, it will write them through to the flash storage directly without any compression, thus improving the system performance without noticeably sacrificing the space efficiency.
- *Improving the space efficiency* By using data compression algorithms with higher compression ratios during the system's idle periods, the overall space efficiency is improved without degrading the system performance.
- *Improving the system reliability* The write traffic to and the amount of stored data on the flash-based storage system are significantly reduced by data compression. This leads to the number of block erase cycles to be significantly reduced, which improves the system reliability accordingly.

## B. EDC architecture

Figure 4 shows an architectural overview of our proposed EDC within the storage subsystem and on the I/O path. EDC is located at the block device level that sits directly below the file system. This makes it possible for EDC to be incorporated into any existing file systems, such as Ext4 and F2FS [21]. Moreover, it directly controls the underlying flash-based storage system that can be either a single SSD, an SSD-based disk array or a set of pure flash chips.

As shown in Figure 4, EDC has three main functional modules: Workload Monitor, Data Compression & Decompression Engine, and Request Distributer. The *Workload Monitor* module is responsible for monitoring the I/O accesses of appli-



Fig. 5. Data layout for a compressed data block in EDC.

cations, identifying the request type and data compressibility, and computing the I/O intensity. The value of I/O intensity is measured by the I/Os accessed Per Second (IOPS). The *Data Compression & Decompression Engine* module is responsible for compressing the incoming write data and decompressing the outgoing read data. Based on the I/O intensity value and the type of data determined by the *Workload Monitor* module, the *Data Compression & Decompression Engine* module will adaptively select the appropriate data compression algorithm or decide not to compress the data. The *Request Distributer* module is responsible for issuing the processed data to or fetching the requested data from the flash-based storage subsystem, from or to the upper compression/decompression engine layer.

## C. Data structure

EDC is a block-level compression scheme that operates on fixed-size input data blocks from the upper layer applications. However, compression shrinks these data blocks variably due to the diversity in compressibility, generating data blocks of variable sizes. Therefore, there is a need to track the placement of the post-compression data blocks and the mapping between pre- and post-compression data blocks. Figure 5 shows the data structure for the tracking mechanism. Since the flash translation layer (FTL) [1], at the heart of flash-based SSD control, uses an out-of-place update scheme, an overwrite or update request will only invalidate the old data block and the updated data is written to a new flash block, which further complicates things. For example, a 4096-Byte block is first compressed into a 1562-Byte block and written to the flash storage. After an update to this block, which entails a write to the uncompressed data block, the updated 4096-Byte block being compressed into a 2008-Byte block before writing to the flash, which means that the previously allocated space for this block is no longer sufficient to store the newly compressed data. To overcome this problem, EDC allocates spaces to the compressed data blocks that are 75%, 50% or 25% of their uncompressed (original) size, according to their compression ratios. If the compressed block is more than 75% of its original size, the data block is considered to be non-compressible and kept in its uncompressed form. Thus, the space can be well utilized and unnecessary fragmentations can be avoided [4].



Fig. 6. The feedback mechanism in EDC for the selection of the appropriate compression algorithm.

The mapping information records how the compressed data and metadata is stored within a data block. It includes three important fields: *LBA*, *Size*, and *Tag*. The Tag field contains 3 bits that record the corresponding compression algorithm used for the given data block, where "000" indicates no compression is applied. The LBA field contains the logical block address of the beginning of the compressed data block and the Size field indicates the compressed data size.

## D. Workload monitor

Knowing the workload is important for storage system design. The design of EDC is highly dependent on workload characteristics, especially the I/O intensity and the data compressibility. The I/O intensity measurement is an important factor for EDC in deciding the appropriate compression algorithm to use, as shown in Figure 6. Besides the "raw" I/O request rate (raw IOPS) issued to the storage subsystem, the request size is also measured in the monitoring scheme because it is the combination of IOPS and request size that determines the I/O intensity (I/O bandwidth requirement) for the flash-based storage system. In EDC, we quantify the I/O intensity by the number of 4KB requests issued to the flash-based system per second, which we call calculated IOPS, where 4KB is the default page size in Linux. In other words, when calculating the I/O intensity, EDC will convert a large request (of size greater than 4KB) into multiple 4KB requests. For example, one 8KB request is traded as two 4KB requests. By using the calculated IOPS, the latency involved in the data compression is also considered in the feedback mechanism.

Based on the calculated IOPS, EDC selects the most appropriate compression algorithm or does not apply data compression. It will set several calculated-IOPS thresholds for different compression algorithms. If the user workload I/O intensity falls in a specific I/O intensity range (i.e., between two neighboring thresholds), exceeds or is less than the specific calculated-IOPS threshold, the corresponding pre-selected compression algorithm will be applied to the incoming data blocks. Moreover, if the I/O intensity exceeds the highest calculated-IOPS threshold, EDC will skip the compression function to achieve the best performance.

On the other hand, data compressibility is also an important factor that affects the selection of data compression algorithms. In our current design, EDC only exploits the data compressibility in a simple way. EDC checks the data compressibility with a sampling technique [14], [37]. If the data stream is compressible, EDC will apply data compression on it. The

selection of compression algorithms is dependent on the I/O intensity characteristics. Otherwise, EDC will write it through to the flash storage directly, skipping any compression. In other words, the data compressibility will determine whether or not EDC applies data compression on the data.

## E. Data compression and decompression

Data compression works on the write path. Upon receiving a write steam, data compressibility of the data stream will be checked. If the compressibility is below a threshold, the data stream will be written without data compression. Otherwise, the written data will be stored in a compressed format. Based on the I/O intensity, data blocks may be compressed with different data compression algorithms. EDC uses the 3-bit Tag information to record the specific compression algorithm for the corresponding data blocks, as shown in Figure 5. Previous studies have shown that the larger the data block, the higher the compression ratio can be achieved. Moreover, for the same total amount of data, a smaller number of larger data blocks are usually decompressed much faster than a larger number of smaller data blocks [8], [25]. Based on these studies and findings, EDC combines multiple sequential write data blocks into a single large block to improve the system compression efficiency.

Write requests rarely arrive sparsely, but in bursts most of the time, which is very common in real-world workloads [13], [30]. If a write data block is compressed immediately when it is written into the flash-based storage system, it is likely to miss the opportunity to combine with the subsequent, contiguous blocks into a larger block, resulting in reduced I/O efficiency. For example, suppose that the write requests arrive in the following order:  $A_1$ ,  $A_2$ ,  $A_3$ ,  $B_1$ ,  $B_2$ ,  $C_1$ , and  $D_1$ .  $A_1$ ,  $A_2$  and  $A_3$  are physically sequential, so they can be combined into a larger block, namely,  $A_{1-3}$ . If data is compressed before combining, the sequential data will not be combined, thus losing the opportunity of finding the same patterns for further compression among contiguous data blocks [8], as shown in Figure 7(a).

To solve this problem, EDC uses a Sequentiality Detector (SD) to detect the sequential user accesses. The key here is to detect and merge as many contiguous write requests as possible to form a single larger one before compressing it. This write data contiguity (write sequentiality) is broken when a read request or non-contiguous write request arrives, at which point the currently detected and merged contiguous write requests are compressed in a single block. More specifically, when a request arrives, SD first checks whether it is a read request. If yes, its preceding write requests detected to be contiguous and merged are compressed in a single merged block. If it is a write request, SD checkswhether it is sequential with its preceding write requests still waiting for more contiguous write requests to merge. If not, these preceding write requests are compressed in a single block. Otherwise, it is contiguous with these preceding write requests, and SD merges them together and continues to seek opportunity to merge with the subsequent requests. The data block compressing flow with



Orde	r Access	SD Action				Вι	iffer st	ate	
1	write A1	wait	$A_I$						
2	write $A_2$	merge $A_1$ & $A_2$	A <sub>1-2</sub>						
3	write $A_3$	merge A1-2 & A3	A 1-3						
4	write $B_I$	compress A1-3	A1-3'	1	B <sub>1</sub>				
5	write $B_2$	merge $B_1 \& B_2$	A1-3'		B <sub>1-2</sub>				
6	write $C_I$	compress B1-2	A1-3'	E	3 <sub>1-2</sub> '		$C_I$		
7	write $D_l$	compress C1	A1-3'	E	3 <sub>1-2</sub> '		$C_I$ '	$D_l$	
(b) Data compressing with SD									

Fig. 7. Data block compressing flow. Data blocks are described as follows:  $A_1$  denotes the data block in the uncompressed form,  $A_1$ ' denotes the data block in the compressed form.

SD is illustrated in Figure 7(b). Thus, with SD, as illustrated in Figure 7(b), all sequential write requests are combined before they are compressed.

Data decompression works on the read path. Upon receiving a read request, when the fetched data is read from the flash to the host memory, the data will be decompressed according to the Tag value. After the data is decompressed, it will be returned to the upper layer applications. For the uncompressed data blocks, they will be returned directly. Although the data decompression process will introduce extra computing overhead on the read path, the overall response time is not increased. The reason is that the stored data size is reduced by data compression, compared with the system without data compression. Thus the time spend on reading the data from the flash to the memory is reduced, which is elaborated in section II-A. Furthermore, the decompression speed is significantly faster than the compression speed, as shown by our experimental results in Section II-B and the previous studies [6], [25]. The reduced read response time can offset the increased decompression overhead. Thus the overall read response times are not affected. It is also validated by our experimental results in Section IV.

## **IV. PERFORMANCE EVALUATION**

In this section, we first describe the evaluation setup and methodology. Then we evaluate the performance of the EDC prototype through trace-driven experiments.

#### A. Evaluation setup and methodology

*Experimental platform:* We have implemented an EDC prototype on top of the Linux operating system. The performance evaluation is conducted on a server with an Intel Xeon X5680 processor (3.33GHz), 8GB DDR memory and an attached SSD array. The array is composed of five SSDs of the Intel X25-E Extreme SATA SSD 64GB (abbreviated as Intel X25-E SSD). A separate HDD is used to house the operating system (Red Hat Enterprise Linux Server release 6.2) and other software. The experimental setup is outlined in Table I.

*Evaluation baselines:* In the experiments, we compare EDC with a system without any data compression, labeled *Native*, and a system with fixed compression algorithms, including Lzf, Gzip, and Bzip2, labeled *Lzf, Gzip* and *Bzip2*, that represent the latest flash-based storage products with always-on inline compression for all workloads [18], [19], [33]. For

TABLE I Experimental setup

Machine	Intel Xeon X5680, 8GB RAM					
OS	Red Hat Enterprise Linux Server 6.2					
Device adapter	PERC H710 SATA controller					
Disk driver	Intel X25-E 64GB SATA SSD					
<b>T</b>	OLTP [11]					
Traces	MSR Traces [31]					
Trace generation	SDGen [14]					
Compression algorithms	Lzf, Gzip, Bzip2					

example, storage companies such as Nimble Storage and Pure Storage use the Lempel-Ziv style ( $LZ^*$ ) data compression algorithms [18], [19]. In the evaluations, we measure the space efficiency in terms of the compression ratio and measure the performance in terms of the average response time. Moreover, since EDC aims to achieve a balance between the space saving and the performance, we also use a composite metric of compression-ratio divided by response-time to quantify the overall benefit of EDC. Clearly, this metric attempts to assess a combined benefit of a scheme in terms of both compression ratio and performance, where the higher the value of this metric, the more beneficial this scheme is.

Workload and compression characteristics: The traces used in our experiments are obtained from the Storage Performance Council [11] and Microsoft Research Cambridge [31]. The two financial traces (Fin1 and Fin2) were collected from OLTP applications running at a large financial institution. The other two traces (Usr\_0 and Prxy\_0) were collected from storage volumes in an enterprise environment in Microsoft Research Cambridge. These traces represent different access patterns in terms of read/write ratio, raw IOPS and average request size, with their main workload parameters being summarized in Table II. Since no real content is included in the traces, we use the SDGen scheme [14] to collect the data samples from real applications to emulate the compression characteristics. SDGen not only creates data with variable compression ratio, but also mimics the other properties and behaviors of data compression such as compression time and heterogeneity that are critical to system performance evaluation. More details about SDGen can be found in [14] and the GitHub website [7].

 TABLE II

 The key characteristics of evaluation workloads



Fig. 8. A comparison of compression ratio, normalized to that of the Native scheme (without any compression), among different schemes under various workloads.

#### B. Performance results

Figure 8 shows the data compression ratios of different schemes normalized to that of the Native system (i.e., without any compression). The Bzip2 compression algorithm achieves the best data compression ratio, followed by the Gzip compression algorithm. The Lzf compression algorithm achieves the lowest data compression ratio. In contrast, EDC has an average compression ratio of 1.5, which is better than that of the Lzf algorithm and lower than that of both the Bzip2 and Gzip algorithms. The reason is that EDC uses both the Gzip and Lzf compression algorithms during different periods of workload intensity to achieve a balanced space saving between them. The data compression ratio is directly related to the space saving for the flash-based storage systems, the higher the better. However, algorithm with higher compression ratio is associated with higher compression/decompression latency, especially during the I/O-intensive periods. Moreover, the space efficiency is not the sole objective for compressionbased storage systems. As we will see from the following results, high compression ratio usually comes at the cost of high access latency.

Figure 9 shows the space-performance results in terms of a composite metric of compression-ratio divided by responsetime, whose value is the larger the value. We can see that, when combining the two design objectives together, the fixed compression schemes are less beneficialthan the Native system, especially for Fin1 and Fin2 traces. The reason is that these fixed compression schemes usually only consider one design objective of compression ratio while ignoring the other design objective of performance, resulting in an overall reduced composite measure. In contrast, EDC performs the best among all the compression schemes and even better than the Native system, except for the Fin2 trace. The reason is that the design objectives of EDC consider both the performance



Fig. 9. A comparison of the Ratio/Time results, normalized to that of the Native scheme (without any compression), among different schemes under various workloads.

and the compression ratio, achieving a good balance between them.

Figure 10 compares the response time, normalized to that of the Native scheme, on a single SSD among different schemes, driven by the four traces. As expected, the Bzip2 compression algorithm has the highest access latency, by up to 9.8 times more than the Native system, which is clearly unacceptable for the end users. The reason is that the compression and decompression speeds of Bzip2 are much lower than the bandwidth of the flash-based SSD, as shown in Figure 2. Many user requests will be waiting in the I/O queue, which significantly degrades the system performance. The Gzip compression algorithm shows a similar trend to that of the Bzip2 compression algorithm. In contrast, Lzf is shown to achieve much better average response time, even better than that of the Native system for the Usr 0 trace. The reason is that data compression technique reduces the request size, which in turn reduces the time spent on reading the data from the flash accordingly.



Fig. 10. A comparison of response time, normalized to that of the Native scheme, on a single SSD among different schemes under various workloads.

It is noteworthy that EDC outperforms all the other compression schemes in the response time measure. For example, compared with the Lzf scheme, it reduces the average response time by up to 61.4% for the Fin1 trace, with an average of 36.7%. Compared with the Gzip and Bzip2 compression algorithms, EDC reduces the response time by an average of  $2.1 \times$  and  $4.9 \times$ , respectively. The reasons are two-fold. First, EDC does not apply data compression during periods when the



Fig. 11. A comparison of response time, normalized to that of the Native scheme, on a RAIS5 system consisting of five SSDs for different schemes, driven by the 4 traces.

I/O intensity is very high, which helps achieve the best system responsiveness. Even during the system idle periods, it does not apply data compression on non-compressible data blocks, which further eliminates the unnecessary computing overhead. Second, EDC exploits the I/O intensity characteristics to choose the most appropriate compression algorithm between Lzf and Gzip for the compressible data blocks. It reduces the average response time by reducing the long queuing latency during the I/O-intensive periods and achieves comparable space efficiency during the system idle periods. Thus EDC achieves a better balance between the system performance and the space efficiency than the other data compression schemes.

A single SSD cannot satisfy the performance, capacity and reliability requirements in enterprise storage systems. Thus, applying the RAID (Redundant Array of Independent Disks) [5] algorithm to SSDs is a promising approach to building large-scale high performance and highly reliable SSDbased storage systems [26]. In this paper, Redundant Array of Independent SSDs is abbreviated as RAIS. The different levels of RAIS are also abbreviated as RAISO, RAIS5 and so on. To evaluate EDC's efficiency on multiple SSDs, we also build a software RAIS5 system consisting of five Intel X25-E SSDs. Figure 11 shows the access latency, normalized to that of the Native scheme, on the RAIS5 system for different schemes. It shows a similar trend to that of a single SSD for the different schemes driven by the four traces. The results also validate EDC's applicability to and effectiveness for different flash-based storage systems. This also motivates us to consider conducting more experiments on HDD-based and NVM-based storage systems as a direction for our future work.

One important design factor in EDC is the IOPS threshold that determines the selection of the most appropriate data compression algorithm for a given I/O intensity and compressibility. Since we use the percentage of the calculated IOPS (see Section III-D) as a metric for the I/O intensity threshold, we conduct sensitivity experiments on different threshold values (percentages). Moreover, we set the non-compression percentage unchanged and only change the calculated IOPS between the Lzf and Gzip compression algorithms. Figure 12 shows the sensitivity study results driven by the Fin2 trace on a single SSD as an example. We can see that as the per-



Fig. 12. The sensitivity of EDC's performance and compression ratio to the IOPS threshold, under the Fin2 trace.

centage of requests that use the Gzip compression algorithm increases, the data compression ratio is increased. However, the overall system response time is also increased significantly and rapidly. The reason is that as the requests compressed with the Gzip algorithm increase, the overall compression ratio and the system response times are both increased. Thus the appropriate percentage for the Gzip algorithm is 20% for a better balance between performance and space saving in our experiments. However, the parameter is configurable to allow system administrators to achieve a much better balance between the performance and the space efficiency.

## V. RELATED WORK

Data compression and its effect on computer systems have been well studied in the literature. Recently, the data compression technology has been evaluated for its use in the flash and NVM-based storage systems [6], [17], [24], [25], [28], [40] for the purpose of performance, space efficiency and reliability improvement. Some studies have demonstrated how compression can be integrated into the FTL [28]. Their results show that data compression can reduce the write traffic to the storage medium and alleviate write amplification. However, applying data compression within the FTL will consume computing and memory resources in SSDs. To address this problem, Lee et. al [23] propose to use hardware assisted data compression to reduce the computing overhead. On the other hand, NVM-Compression [6] is designed to combine the best of application level compression and flash-aware integration by extending FTL capabilities.

Besides the studies on data compression integrated into SSDs, there are studies on the host-level data compression for SSD-based storage systems. Makatoset. al [25] propose to apply data compression to SSD to enlarge SSD-based cache for disk-based storage systems. Li et. al [24] propose and investigate an implicit data compression strategy to reduce cycling-induced flash memory cell physical damage and hence improve storage device lifetime. However, all these schemes use fixed compression algorithms for flash-based storage systems and ignore the performance and space impact of the user access intensity and data compressibility characteristics. Our proposed EDC scheme is orthogonal and complementary to these schemes and can be easily incorporated into these schemes to further improve system performance and space efficiency.

Data deduplication, another lossless data reduction technology, has been widely adopted for flash-based storage systems to improve their performance, reliability and space efficiency. CA-FTL [3] and CA-SSD [16] are two representative studies that apply the data deduplication technology to reduce write traffic to flash chips within SSDs. Delta-FTL [34] reduces write traffic to flash chips through extensive write buffering that is coupled with selective storing of compressed deltas for a small portion of the data. Flash-based storage companies, such as Nimble Storage [18] and Pure Storage [19], have incorporated both data compression and data deduplication in their products to improve the system performance and storage efficiency.

While some adaptive data compression approaches have been proposed for network transmission on different types of data [29], [39], none of these studies has focused on flashbased storage systems. Our EDC study is inspired by these previous studies in the aspect of reducing write traffic to flash chips to improve system performance and reliability. However, EDC is different from the above studies in that it not only leverages data compression to reduce the write traffic, but also exploits workload characteristics and the diversity of compression algorithms to improve the system performance and reliability.

In addition to storage systems, memory/cache and network systems have also been targeted for performance, energy and space efficiency improvement by applying compression techniques [2], [9], [22], [32]. Alameldeen and Wood [2] propose to take advantage of small values to create a compression algorithm called Frequent Pattern Compression (FPC) to effectively increase CPU L2 cache capacity for program performance improvement. Ekman and Stenstrom [9] propose a main-memory compression scheme to practically eliminate performance losses by a highly-efficient structure for locating a compressed block in memory, and a hierarchical memory layout that allows compressibility of blocks to vary with a low fragmentation overhead. Tuduce and Gross [32] present an adaptive main memory compression system to improve the application performance when the main memory does not have sufficient capacity to satisfy the application's requirement. All these studies demonstrate that the application data are compressible and both the system performance and space efficiency can be improved by the data compression technology, which further validates the viability and feasibility of our EDC scheme for flash-based storage systems.

# VI. CONCLUSION

Data compression is an important technique to improve the performance and space efficiency for flash-based storage systems. However, employing fixed compression algorithms, as in most current flash-based storage products that incorporate data compression, fails to recognize and exploit the significant diversity in compressibility and access patterns of data and misses the opportunity to improve system performance, space efficiency or both. EDC is proposed in this paper to exploit the compression diversity of the workload characteristics. More specifically, for compressible data blocks EDC employs algorithms with higher compression ratios in time periods with lower system utilization and algorithms with lower compression ratios in time periods with higher system utilization. For non-compressible (or very lowly compressible) data blocks, it will write them through to the flash storage directly without any compression. Our extensive trace-driven evaluations on a lightweight implementation of the EDC prototype show that EDC achieves a much better trade-off between performance and space efficiency than the state-of-the-art schemes with fixed algorithms.

EDC is an ongoing research project that offers several directions for future research. First, we will further examine the data compressibility characteristic of data under different compression algorithms by exploiting semantic information about application and file type. For instance, the file type information can be incorporated into the EDC design, so that different compression algorithms are responsible for different data content in different file types. Second, we will conduct more experiments on other storage devices, such as HDDbased and NVM-based storage systems, to evaluate the efficiency of the EDC prototype. Third, we will investigate EDC's impact on system energy consumption, given its dichotomy of compression/decompression that consumes additional energy and data reduction that decreases data movement and thus energy consumption. Finally, we will conduct additional experiments to evaluate the EDC's efficiency on the reliability of the flash-based storage systems. Since data compression will reduce the request size and improve the space efficiency, it will also improve the endurance of the flash-based storage systems.

## ACKNOWLEDGEMENT

This work is supported by the National Natural Science Foundation of China under Grant No. 61472336 and No. 61402385, the US NSF under Grant No. NSF-CNS-1116606 and NSF-CNS-1016609. This work is also sponsored by Huawei Innovation Research Program.

#### REFERENCES

- N. Agrawal, V. Prabhakaran, T. Wobber, D. J. Davis, M. Manasse, and R. Panigrahy. Design Tradeoffs for SSD Performance. In *Proceedings* of the USENIX Annual Technical Conference (USENIX ATC'08), pages 57–70, Boston, Massachusetts, 2008.
- [2] A. Alameldeen and D. Wood. Adaptive Cache Compression for High-Performance Processors. In Proceedings of the 31st Annual International Symposium on Computer Architecture (ISCA'04), Jun. 2004.
- [3] F. Chen, T. Luo, and X. Zhang. CAFTL: A Content-Aware Flash Translation Layer Enhancing the Lifespan of Flash Memory based Solid State Drives. In *Proceedings of the 9th USENIX Conference on File and Storage Technologies (FAST'11)*, Feb. 2011.
- [4] Linux Main Memory Compression. http://linux-mm.org/CompressedCaching.
- [5] G. Gibson D. Patterson and R. Katz. A Case for Redundant Arrays of Inexpensive Disks (RAID). In Proceedings of the 1988 ACM SIGMOD International Conference on Management of Data (SIGMOD'88), Jun. 1988.

- [6] D. Das, D. Arteaga, N. Talagala, T. Mathiasen, and J. Lindström. NVM Compression–Hybrid Flash-Aware Application Level Compression. In Proceedings of the 2nd Workshop on Interactions of NVM/Flash with Operating Systems and Workloads (INFLOW'14), Oct. 2014.
- [7] Synthetic data generator for storage benchmarks.

https://github.com/iostackproject/SDGen.

- [8] N. Edel, E. Miller, K. Brandt, and S. Brandt. Measuring the compressibility of metadata and small files for disk/nvram hybrid storage systems. In Proceedings of International Symposium on Performance Evaluation of Computer and Telecommunication Systems (SPECTS'04), Jul. 2004.
- [9] M. Ekman and P. Stenstrom. A Robust Main Memory Compression Scheme. In Proceedings of the 32nd Annual International Symposium on Computer Architecture (ISCA'05), Jun. 2005.
- [10] A. El-Shimi, R. Kalach, A. Kumar, A. Oltean, J. Li, and S. Sengupta. Primary Data Deduplication - Large Scale Study and System Design. In *Proceedings of the USENIX Annual Technical Conference (USENIX ATC'12)*, Jun. 2012.
- [11] OLTP Trace from UMass Trace Repository. http://traces.cs.umass.edu.
- [12] E. Gal and S. Toledo. Algorithms and data structures for flash memories. ACM Computing Survey, 37(2):138–163, 2005.
- [13] R. Golding, P. Bosch, and C. Staelin. Idleness is Not Sloth. In Proceedings of the USENIX Annual Technical Conference (USENIX ATC'95), Jan. 1995.
- [14] R. Gracia-Tinedo, D. Harnik, D. Naor, D. Sotnikov, S. Toledo, and A. Zuck. SDGen: Mimicking Datasets for Content Generation in Storage Benchmarks. In *Proceedings of the 13th USENIX conference on File* and Storage Technologies (FAST'15), Feb. 2015.
- [15] J. Guo, Y. Hu, B. Mao, and S. Wu. Parallelism and Garbage Collection aware I/O Scheduler with Improved SSD Performance. In *Proceedings* of the 31st IEEE International Parallel & Distributed Processing Symposium (IPDPS'17), Jun. 2017.
- [16] A. Gupta, R. Pisolkar, B. Urgaonkar, and A. Sivasubramaniam. Leveraging Value Locality in Optimizing NAND Flash-based SSDs. In *Proceed*ings of the 9th USENIX Conference on File and Storage Technologies (FAST'11), Feb. 2011.
- [17] D. Harnik, R. Kat, O. Margalit, D. Sotnikov, and A. Traeger. To Zip or not to Zip: Effective Resource Usage for Real-Time Compression. In Proceedings of the 11th USENIX Conference on File and Storage Technologies (FAST'13), Feb. 2013.
- [18] CASL Architecture in Nimble Storage. http://www.nimblestorage.com/products/architecture.php.
- [19] FlashReduce Data Reduction in Pure Storage. http://www.purestorage.com/flash-array/flashreduce.html.
- [20] E. Jeannot, B. Knutsson, and M. Bjorkmann. Adaptive Online Data Compression. In Proceedings of the 11th IEEE International Symposium on High Performance Distributed Computing (HPDC'02), Jul. 2002.
- [21] C. Lee, D. Sim, J. Hwang, and S. Cho. F2FS: A New File System for Flash Storage. In Proceedings of the 13th USENIX conference on File and Storage Technologies (FAST'15), Santa Clara, USA, Feb. 2015.
- [22] J. Lee, W. Hong, and S. Kim. Design and Evaluation of a Selective Compressed Memory System. In *Proceedings of the International Conference on Computer Design (ICCD'99)*, Oct. 1999.
- [23] S. Lee, J. Park, K. Arvind, and J. Kim. Improving Performance and Lifetime of Solid-State Drives using Hardware-accelerated Compression. *IEEE Transactions Consumer Electronics*, 57(4):1732–1739, 2011.
- [24] J. Li, K. Zhao, X. Zhang, J. Ma, M. Zhao, and T. Zhang. How Much Can Data Compressibility Help to Improve NAND Flash Memory Lifetime? In Proceedings of the 13th USENIX conference on File and Storage Technologies (FAST'15), Feb. 2015.
- [25] T. Makatos, Y. Klonatos, M. Marazakis, Michail D. Flouris, and A. Bilas. Using Transparent Compression to Improve SSD-based I/O Caches. In Proceedings of the 3rd European Conference on Computer Cystems (Eurosys'10), Apr. 2010.
- [26] B. Mao, H. Jiang, D. Feng, S. Wu, J. Chen, L. Zeng, and L. Tian. HPDA: A Hybrid Parity-based Disk Array for Enhanced Performance and Reliability. In *Proceedings of 24th International Parallel Distributed Processing Symposium (IPDPS'10)*, Apr. 2010.
- [27] B. Mao and S. Wu. Exploiting Request Characteristics and Internal Parallelism to Improve SSD Performance. In *Proceedings of the 33rd IEEE International Conference on Computer Design (ICCD'15)*, Oct. 2015.
- [28] Y. Park and J. Kim. zftl: Power-Efficient Data Compression Support for

NAND Flash-based Consumer Electronics Devices. *IEEE Transactions Consumer Electronics*, 57(3):1148–1156, 2011.

- [29] C. Pu and L. Singaravelu. Fine-Grain Adaptive Compression in Dynamically Variable Networks. In *Proceedings of the 35th IEEE International Conference on Distributed Computing Systems (ICDCS'15)*, Jun. 2005.
- [30] A. Riska and E. Riedel. Disk Drive Level Workload Characterization. In Proceedings of the USENIX Annual Technical Conference (USENIX ATC'06), Jun. 2006.
- [31] MSR Cambridge Traces. http://iotta.snia.org/tracetypes/3.
- [32] I. Tuduce and T. Gross. Adaptive Main Memory Compression. In Proceedings of the USENIX Annual Technical Conference (USENIX ATC'05), Apr. 2005.
- [33] Tintri VMstore. http://info.tintri.com/vmstore-whitepaper.
- [34] G. Wu and X. He. Delta-ftl: Improving SSD Lifetime via Exploiting Content Locality. In Proceedings of the 5th European Conference on Computer Systems (EuroSys'12), Apr. 2012.
- [35] S. Wu, Y. Lin, B. Mao, and H. Jiang. GCaR: Garbage Collection aware Cache Management with Improved Performance for Flash-based SSDs. In Proceedings of the 30th International Conference on Supercomputing (ICS'16), Jun. 2016.
- [36] S. Wu, B. Mao, X. Chen, and H. Jiang. LDM: Log Disk Mirroring with Improved Performance and Reliability for SSD-based Disk Arrays. *ACM Transactions on Storage*, 12(4):1–22, 2016.
- [37] F. Xie, M. Condict, and S. Shete. Estimating Duplication by Contentbased Sampling. In *Proceedings of the USENIX Annual Technical Conference (USENIX ATC'13)*, Jun. 2013.
- [38] X. Zhang, J. Li, H. Wang, K. Zhao, and T. Zhang. Reducing Solid-State Storage Device Write Stress through Opportunistic In-place Delta Compression. In *Proceedings of the 14th USENIX Conference on File* and Storage Technologies (FAST'16), Feb. 2016.
- [39] E. Zohar and Y. Cassuto. Automatic and Dynamic Configuration of Data Compression for Web Servers. In Proceedings of the 28th USENIX Large Installation System Administration Conference (LISA'14), Nov. 2004.
- [40] A. Zuck, S. Toledo, D. Sotnikov, and D. Harnik. Compression and SSDs: Where and How? In Proceedings of the 2nd Workshop on Interactions of NVM/Flash with Operating Systems and Workloads (INFLOW'14), Oct. 2014.