## CSE 2320 Lab Assignment 2

Due October 23, 2014

## **Goals:**

- 1. Understanding of heaps and interfacing with a dictionary.
- 2. Understanding of the five steps for developing a dynamic programming solution.

## **Requirements:**

1. Use C to implement algorithms for (a) approximate *order-preserving* Huffman coding - each phase merging two *adjacent* subtrees whose weights give the smallest sum, and (b) exact *order-preserving* Huffman coding - using a dynamic programming formulation as described in Notes 07.C.

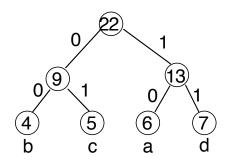
The input is 1) a positive integer n and 2) a sequence of n positive integers giving the frequency counts (weights) for symbols in an ordered character set.

For both types of subtrees, your program should output the bit code assigned to each symbol and the weighted sum  $\left(\sum_{i} length_{i} \bullet count_{i}\right)$  based on the generated code tree and the frequency counts.

2. Submit your program on Blackboard by 10:45 a.m. on October 23, 2014. One of the comment lines should include the compilation command used on OMEGA.

## **Getting Started:**

1. Suppose the input frequency counts are: 6 4 5 7. The following tree is for the listed conventional Huffman code.

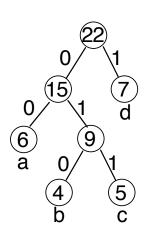


- 6 10 4 00
- 5 01
- 7 11

The weighted sum is  $6 \cdot 2 + 4 \cdot 2 + 5 \cdot 2 + 7 \cdot 2 = 44$ 

Now suppose the ordered character set is {a, b, c, d} with the indicated frequency counts. If the strings "abc" and "bad" are compressed to "100001" and "001011", respectively, they *do not compare* the same way as their uncompressed counterparts. Order preservation is guaranteed *only* when the leaf order is consistent with the order of the character set.

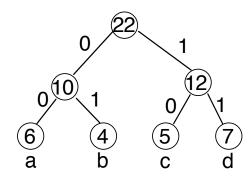
2. For the same input sequence, the following tree is for the approximate *order-preserving* Huffman code. At each step in its construction, we greedily merge the two *adjacent* trees whose weights have the smallest sum.



The weighted sum is  $6 \cdot 2 + 4 \cdot 3 + 5 \cdot 3 + 7 \cdot 1 = 46$ .

Now, the strings "abc" and "bad" will be compressed to "00010011" and "010001", respectively, but the sum has not been minimized.

3. For the same input sequence, the following tree is for the exact *order-preserving* Huffman code. It was constructed using dynamic programming to determine optimal order-preserving subtrees.



The weighted sum is  $6 \cdot 2 + 4 \cdot 2 + 5 \cdot 2 + 7 \cdot 2 = 44$ .

- 4. It is not difficult to see that the weighted sums for the three different approaches have the following relationship: conventional ≤ exact ≤ approximate.
- 5. Your approximate solution must use a heap to achieve  $\Theta(n \log n)$  time. Submissions taking  $\Theta(n^2)$  time will be severely penalized.
- 6. Input is to be read from standard input. Do not prompt for a file name.
- 7. Heap-based code (http://ranger.uta.edu/~weems/NOTES2320/huffman.freq.c) for conventional Huffman coding with frequencies is available on the course webpage. It may be modified significantly to achieve part (a). You will want each heap entry to correspond to *two* adjacent subtrees that could be merged. After a PQdelmin() determines the merge to apply, you will need PQdelete() to discard unneeded candidate(s) (due to the merge) and a PQinsert() to include new candidate(s) (also resulting from the merge). Handles facilitate this.