

CSE 3318 Notes 11: Rooted Trees

(Last updated 1/10/24 2:14 PM)

CLRS 10.3, 12.1-12.3, 17.1, 13.2

11.A. TREES

Representing Trees (main memory, disk devices in CSE 3330)

Binary tree

Mandatory

Left
Right

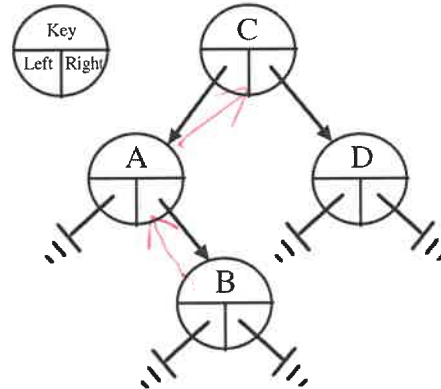
Optional

Parent

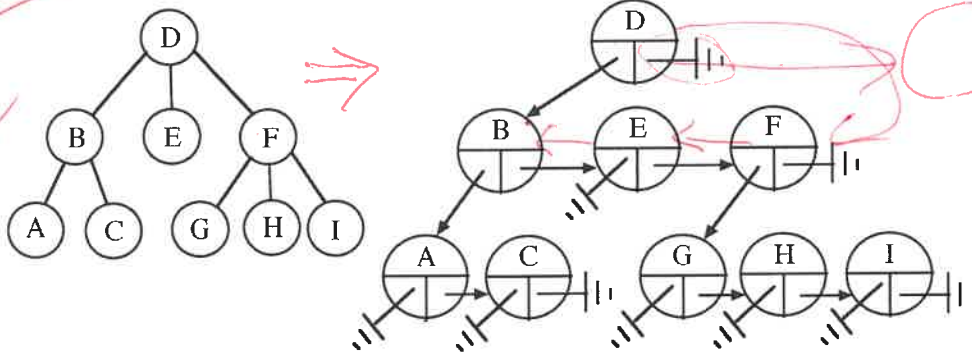
Key

Data

Subtree Size



Rooted tree with linked siblings



Mandatory

First Child
Right Sibling

Optional

Last Child
Left Sibling
Parent

Key

Data

Subtree Size

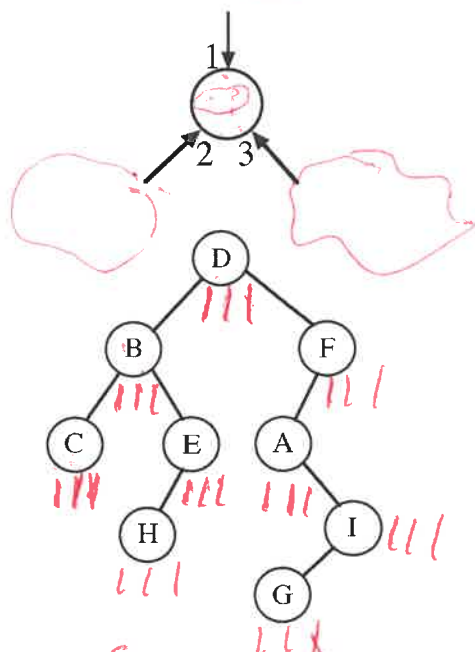
11.B. Binary Tree Traversals (review)

1st Visit – Preorder2nd Visit – Inorder3rd Visit – Postorder

```

recTraversal(Node h)
{
    if (h!=null)
    {
        PRE
        recTraversal(h.l);
        IN
        recTraversal(h.r);
        POST
    }
}

```



Preorder

D B C E H F A I G

Inorder

C B H E D A G I F

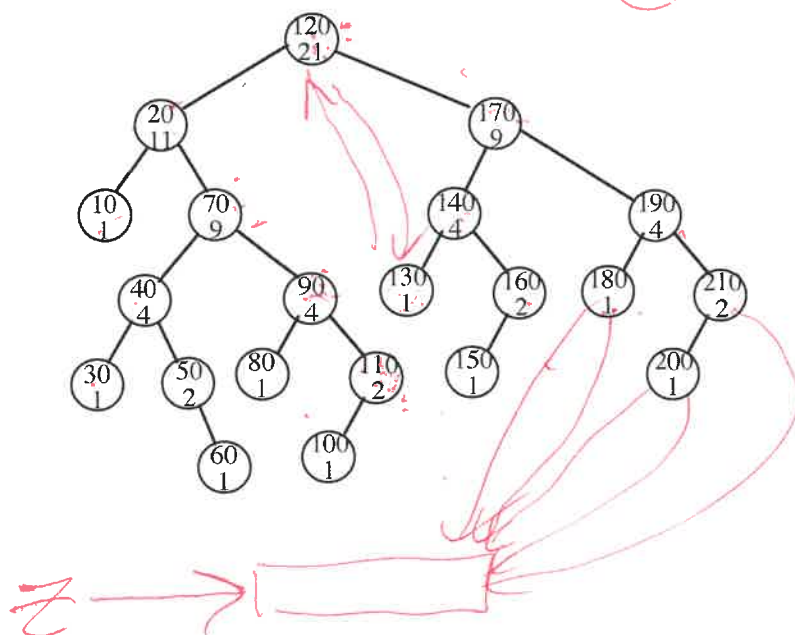
Postorder

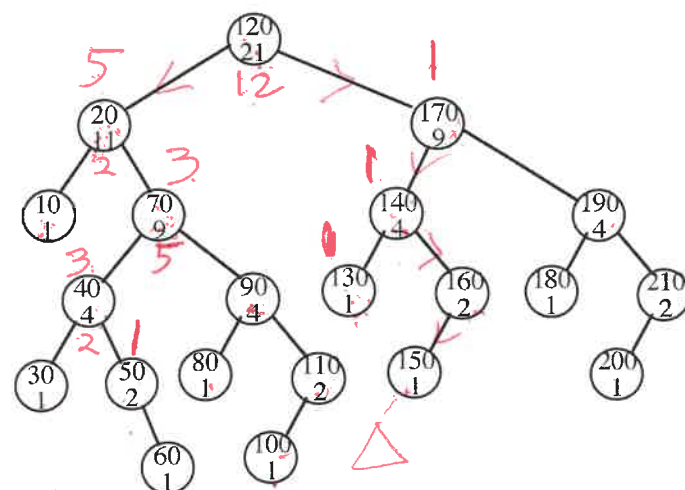
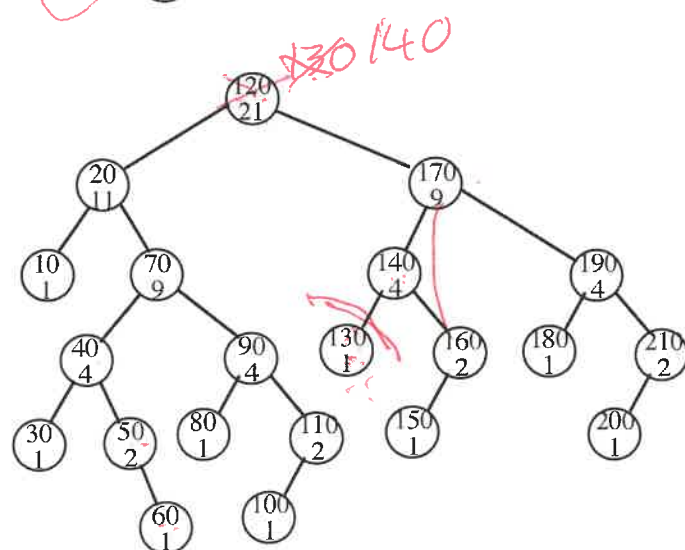
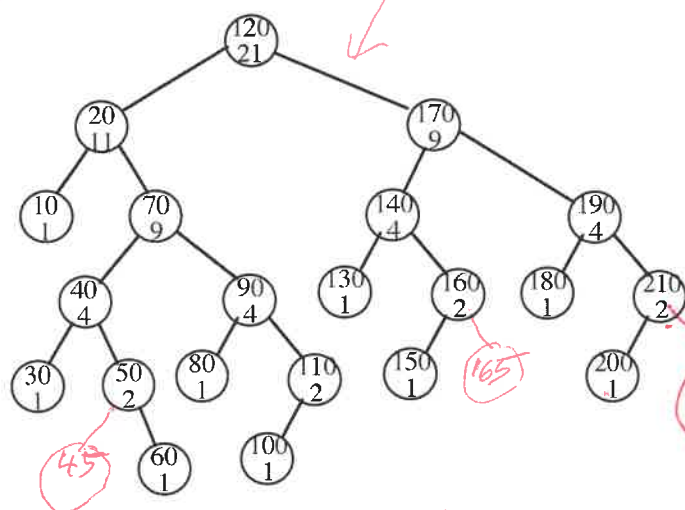
C H E B G I A F D

11.C. BINARY SEARCH TREES

Basic property – Go left for smaller keys. Go right for larger keys. (Use of sentinel)

Which traversal lists the keys in ascending order?





Key $\Rightarrow$ Rank

120

12

150

11 + 1 + 1 + 1 + 1 + 1

15

100

1 + 1 + 4 + 1 + 1 + 1 + 1

Rank $\Rightarrow$ Key

5

50

13

130

Operations: (see <https://ranger.uta.edu/~weems/NOTES3318/BST/bst.c>)

1. Search (searchR)

Suppose that only numbers in $1 \dots 100$ appear as keys in a binary search tree. While searching for 50, which of the following sequences of keys could not be examined?

- A. 10, 30, 70, 60, 50
C. 1, 100, 20, 70, 50

- B. 100, 20, 80, 30, 50
D. 10, 40, 70, 30, 50

2. Minimum / maximum in tree

3. Successor/predecessor of a node

4. Insert (STinsertR)

Give the unbalanced binary search tree that results when the keys 60, 30, 80, 40, 70, 50, 10, 90 are inserted, in the given order, into an initially empty tree. (5 points)

5. Deletion of key and associated data is contained in:

- a. Leaf
b. Node with one child
c. Node with two children

1. Find node's successor (convention)

2. Move key and data (but not pointer values) from successor node to node of deletion.

3. Successor has either

- a. Zero children – leaf is removed (5.a)
b. One child (right) – point around successor node to remove (5.b)

May also use *tombstones* and periodically recycle dead nodes.

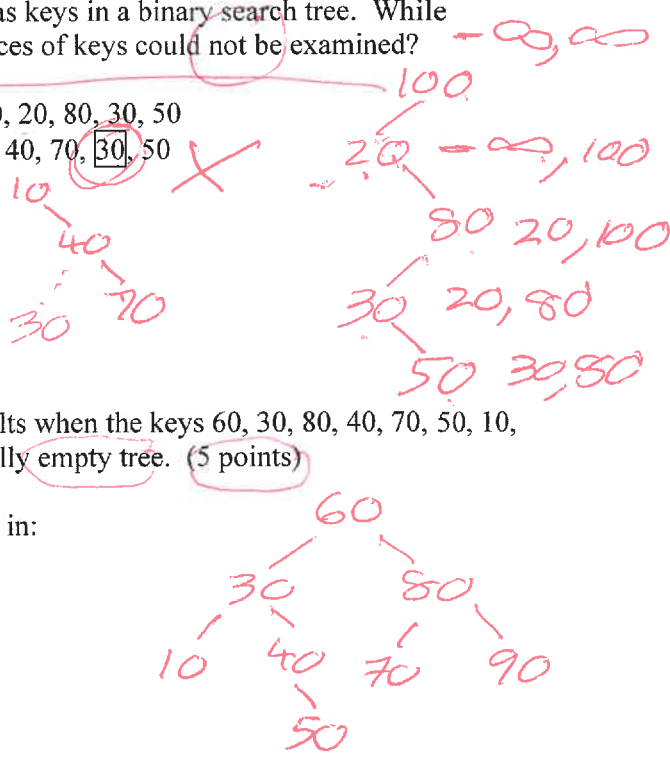
Implementing operations 6. and 7. efficiently requires maintaining subtree sizes "incrementally".

Rank of a key X that appears in tree = number of nodes with keys $\leq X$.

Number of nodes on search path to X with keys $\leq$ key in given node

+

Sizes of their left subtrees



6. Rank of a key (invSelectR).

7. Finds key with a given rank (selectR) - This is the same as flattening tree into an ordered array and then subscripting (or using inorder traversal).

Time for operations?

$O(n)$

$O(h)$

$O(\log n)$

From <https://ranger.uta.edu/~weems/NOTES3318/BST/bst.c>

(z points to the sentinel)

```
int invSelectR(link h, Key v)
// Inverse of selectR
{
    Key t = key(h->item);
    int work;

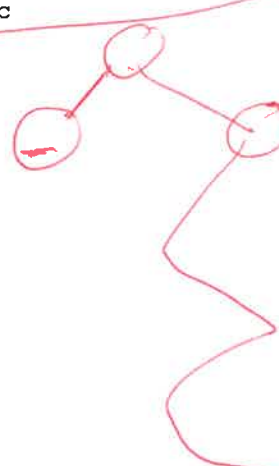
    if (h==z)
        return -1; // v doesn't appear as a key
    if (eq(v, t))
        return h->l->N+1;
    if (less(v, t))
        return invSelectR(h->l, v);
    work=invSelectR(h->r, v);
    if (work==(-1))
        return -1; // v doesn't appear as a key
    return 1 + h->l->N + work;
}
```

```
int STinvSelect(Key v)
{
    return invSelectR(head, v);
}
```

```
Item selectR(link h, int i)
// Returns the ith smallest key where i=1 returns the smallest
// key. Thus, this is like flattening the tree inorder into an array
// and applying i as a subscript.
```

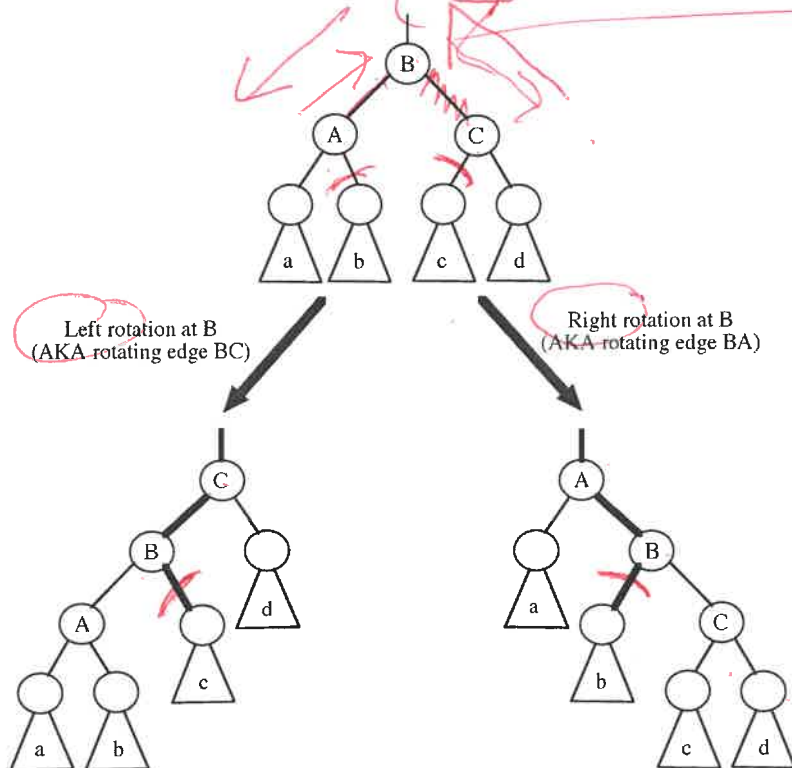
```
{
    int r = h->l->N+1;
    if (h == z)
    {
        printf("Impossible situation in selectR\n");
        STprintTree();
        exit(0);
    }
    if (i==r)
        return h->item;
    if (i<r)
        return selectR(h->l, i);
    return selectR(h->r, i-r);
}
```

```
Item STselect(int k)
{
    if (k<1 || k>head->N)
    {
        printf("Range error in STselect() k %d N %d\n", k, head->N);
        exit(0);
    }
    return selectR(head, k);
}
```



11.D. ROTATIONS

Technique for rebalancing in balanced binary search tree schemes. Takes $\Theta(1)$ time.



11.E. INSERTION AT ROOT: rotates all edges on the insertion path:

